



Last updated: 22 November 2019

## Public Meeting Register – Quarter2/2019 – Adam Farkas

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
03/04/2019 – 05/04/2019	Speaking and bilateral meetings at Eurofi Financial Forum, Bucharest		Chairing panel: AML-TF: improving supervision and detection;  Chairing panel: Investment firm prudential regime.
	Bilateral meeting with The Investment Association	Chris Cummings, CEO  Emily Walch, Corporate Affairs Director	Brexit; Equivalence; Call for Advice on Basel Implementation.
	Bilateral meeting with Santander	Antonio Garcia del Riego, Managing Director - European Corporate Affairs  Gloria Hervas, Public Policy Director	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation), Brexit; Call for Advice Basel Implementation; ESA Review.
	Bilateral meeting with the Financial Conduct Authority (FCA)	Charles Randell, FCA's Chairman	Brexit; Equivalence.

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	Bilateral meeting with Western Union	Duncan DeVillie, Global Head of Financial Crimes Compliance	Western Union's current priorities, especially in the area of anti-money laundering and terrorist financing; ESAs review and the role of the EBA; How the payment sector can effectively contribute to the development of digital retail financial services in Europe.
	Bilateral meeting with Deutsche Bank	Sylvie Matherat, Chief Regulatory Officer Karin Dohm, Managing Director	ESA Review; AML; Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation); Call for Advice Basel Implementation.
	Bilateral meeting with German Savings Bank Association	Michael Engelhard, Head of Banking Supervision and Government Affairs	ESA Review; AML; Call for Advice Basel Implementation; Reporting.
	Bilateral meeting with Mitsubishi UFJ Financial Group MUFG	Tomohiro Ishikawa, Managing Director and Head of Regulatory Strategy for EMEA	ESA Review; Call for Advice Basel Implementation; Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation).
	Bilateral meeting with IIF	Robert Priester, Chief European Representative & Regulatory Council Andres Portilla, Deputy Director of Regulatory Affairs	Brexit; Call for Advice Basel Implementation; Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation).
	Bilateral meeting with BBVA	José Manuel González-Páramo, BBVA Executive Board Member Eduardo Arbizu, Global Head of Regulation	Recent legislative files (Risk Reduction Measures Package; Investment Firms Directive/Regulation); Brexit; Call for Advice Basel Implementation; ESA Review.

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	Bilateral meeting with Bank of America Merrill Lynch	Andrei Magasiner, Corporate Treasurer	Brexit; Securitisation; Call for Advice Basel Implementation.
	Bilateral meeting with Managed Funds Association	Michael Pedroni, Executive Vice-President in charge of governmental affairs	Ongoing EU initiatives; Prudential Regime for Investment Firms; ESAs review.
	Bilateral meeting with Goldman Sachs	Dermot McDonogh, COO GS Int and CEO GS Int Bank Martine Doyon, Head of Government Affairs EMEA, GS International Martijn Vliegenthart, Representative Office	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation); Brexit; Call for Advice Basel Implementation; ESAs Review.
	Bilateral meeting with Swift	Stephen Lindsay, Head of Standards at SWIFT	PSD2 Implementation; AML.
	Bilateral meeting with Moody's	Alain Laurin, Associate Managing Director Banking	Brexit; Call for Advice Basel Implementation; ESAs Review.
	Bilateral meeting with French Banking Federation	Marie-Anne Barbat-Layani, Director General Benoît de la Chapelle Bizot, Director General, Benjamin Quatre, Director European and International Affairs	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation); Brexit; Call for Advice Basel Implementation.
	Bilateral meeting with Luxembourg Bankers' Association (ABBL) / Association of the Luxembourg Fund Industry (ALFI)	Serge de Cillia, ABBL, CEO	Recent legislative files (Risk Reduction Measures Package, Investment Firms

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		Gilles Pierre, ABBL, Head of Banking Regulation  Antoine Kremer, ABBL & ALFI, Head of European Affairs	Directive/Regulation); Brexit; Call for Advice Basel Implementation; ESA Review.
	Bilateral meeting with European Retail and Savings Banks Group	Sebastian Stodulka, Head of Regulatory Affairs, accompanied by Members from: German savings banks, Groupe BPCE, Caixabank, Austrian savings banks	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation); Brexit; Call for Advice Basel Implementation; ESAs Review.
	Bilateral meeting with State Street	Stefan Gavell, Global Head of Regulatory, Industry and Government Affairs  Stefan Gmuer, CEO of the European bank	Banking issues; including in relation to Brexit; EU priorities under the next legislative mandate.
	Bilateral meeting with American Express	Brett Loper, Executive Vice President, Global Government Affairs  Elie Beyrouthy, Vice President, European Government Affairs	AML; Brexit; PSD2 Implementation.
	Bilateral meeting with US Treasury	Sharon Yang, Director for International Financial Markets at the U.S. Treasury Department in Washington  Lawrence Norton, the US Treasury Representative for	Brexit; Call for Advice Basel Implementation; ESA Review; EU-US Regulatory Cooperation.

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		Europe Corrado Camera, advisor to the Treasury Rep.'s office Gavin Buckley	
	Bilateral meeting with Bank of New York Mellon (BNY)	Ben Pott, Head of Public Policy and Government Affairs, EMEA	Brexit, Call for Advice Basel Implementation, ESA Review, EU-US Regulatory Cooperation
	Bilateral meeting with BNP Paribas	Veronique Ormezzano, Head of Group Prudential Affairs for BNP Paribas	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation), Call for Advice Basel Implementation, ESA Review, Sustainable Finance
	Bilateral meeting with Associazione Bancaria Italiana	Federico Cornelli, Head of the EU Regulatory Affairs	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation), NPL, Call for Advice Basel Implementation
	Bilateral meeting with ING	Tanate Phutrakul, CFO Executive Board ING Group	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation), NPL, Call for Advice Basel Implementation, Specialised Lending
	Bilateral meeting with Sumitomo Mitsui Banking Corporation Europe Limited	Mr Hideo Kawafune, Chief Operating Officer, Sumitomo Mitsui Banking Corporation Europe Ian Jameson, General Counsel, Sumitomo Mitsui Banking Corporation Europe	Recent legislative files (Risk Reduction Measures Package, Investment Firms Directive/Regulation), Brexit, Equivalence, Call for Advice Basel Implementation

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		Masakazu Matsui, Joint General Manager, Corporate Planning Department Takahito Oka, CFA, Head of Financial Research Dept	
08/04/2019	Speaking event 15 <sup>th</sup> Anniversary 2004 EU Enlargement – Financial Integration in Enlargements, Vienna	European Commission, Austrian National Bank, OeNB	Speaking session: Financial Integration in Enlargements.
29/04/2019	Speaking at Innovate Finance Global Summit (IFGS 2019), London	Innovate Finance	Panel: 15 Months On: Has Open Banking Changed Anything?
08/05/2019	Meeting with European Principal Traders Association (FIA EPTA), EBA London  Accompanied by EBA Staff	Piebe Teeboom, Secretary General of the FIA EPTA Virginie Saade, Member of FIA EPTA's executive committee and also the Head of Government and Regulatory Policy Europe at Citadel	Introduction of FIA EPTA, its members' activities and contribution to the functioning of European markets; Investment Firms Review Upcoming level 2 work by the EBA Brexit and its effect on the markets
10/05/2019	Meeting with CITI	David Livingstone, Zdenek Turek	Regulatory Issues; Brexit.
17/05/2019	Meeting with IMF  Accompanied by EBA Staff	Mahmood Pradhan, Shekhar Aiyar, Bergljot Barkbu, Ashok Bhatia, Srobona Mitra, Anke Weber, Li Lin, Balazs Parkanyi	Euro Area Article IV review
23/05/2019	Speaking event at AFME's Supervision and Integration Conference, Frankfurt	AFME	Keynote: Key Regulatory challenges for banks going forward

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25/05/2019	Lecture at CAS Financial Services 2019 – Legal Fundamentals of Financial Regulation, Geneva, Switzerland	Students of CAS Financial Regulation	European Financial and Supervisory Architecture – European Banking Stress Tests Role of EBA, ECB and national supervisory agencies
14/06/2019	Speaking event at the Institute of Law and Finance on the Westend Campus of the Goethe University, Frankfurt	Goethe University	Seminar on EDIS, NPLs, sovereign debt and safe assets
24/06/2019	Meeting with KPMG, at the EBA, Paris	Francisco Uría, Head of Financial Services in KPMG EMA & Senior Partner in KPMG Abogados Fabrice Odent, Financial Services head in France Sophie Sotil, KPMG-France	Regulatory Issues
26/06/2019	Meeting with Nicolas Véron, at the EBA, Paris  Accompanied by EBA Staff	Nicolas Véron, Bruegel	AML