



EBA/GL/2014/06 Appendix 1

18 July 2014 – Updated – 16 January
2023

GL/2014/06 + Appendix 1

Compliance Table - Guidelines

Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines – EBA/GL/2014/06 on Guidelines on the range of scenarios to be used in recovery plans – published on 18th July 2014.

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Yes	
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Yes	
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Yes	
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)	Yes	Notified on 30/06/2022 that in line with ECB's approach BaFin has not extended the COVID-19 relief measures to recovery plan submissions in 2022. Therefore BaFin has notified that is fully compliant again with the EBA Guidelines on the range of scenarios to be used in recovery plans.
EE	Estonia	Finantsinspektsioon	Yes	
IE	Ireland	Central Bank of Ireland	Yes	
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Yes	
HR	Croatia	National Bank of Croatia	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
ES	Spain	Banco de España (Bank of Spain)	Yes	
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Yes	
IT	Italy	Banca d'Italia (Bank of Italy)	Yes	
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Yes	
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Yes	
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Yes	
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	Yes	
HU	Hungary	Magyar Nemzeti Bank (National Bank of Hungary)	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		Hungary)		
MT	Malta	Malta Financial Services Authority	Yes	
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	Yes	
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Yes	Complies as of date of notification, 04/08/2022. Following partial non-compliance in 2021 due to a reduced number of stress scenarios applying (two rather than three), from 2022 three stress scenarios again apply, and full compliance is therefore restored.
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Yes	Within the current legal framework in relation to investment firms.
PT	Portugal	Banco de Portugal (Bank of Portugal)	Yes	Complies as of the date of notification, 21/12/2022. Banco de Portugal is the competent authority for the credit institutions. Banco de Portugal informs that has restored the full compliance with the above guidelines, removing all the simplifications applied since the last communication. The guidelines are incorporated in the national regulation (Notice 3/2015) and in the supervision tools.
RO	Romania	Banca Națională a României (National Bank of Romania)	Yes	
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
SK	Slovakia	Národná Banka Slovenska	Yes	
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	Yes	
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	Yes	
EEA-EFTA State				
IS	Iceland	Financial Supervisory Authority	Yes	As at 04.03.2021, notification date.
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	Yes	As at 09.03.2021, notification date.
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Intends to comply**	The BRRD-directive has not yet been incorporated into the EEA agreement. As soon as the BRRD-directive is made part of the Norwegian legal order, we will return to you confirming how Norway complies with the Guideline.

Member State		Competent authority	Complies or intends to comply	Comments
EU Institutions - Agencies				
ECB	ECB	ECB	Yes	Complies as of the date of the notification, 08.06.2022. For Significant Institutions, as defined in Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions.

Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website (www.eba.europa.eu).

