



Last updated: 28-Jan-19

Public Meeting Register – Q3 2018 – Adam Farkas

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
02/07/2018	Speaking at PWC Brexit Roundtable, London	Ana Cox, PwC	EBA Brexit Opinion
02/07/2018	Meeting with Citi Group (London) Accompanying Andrea Enria, EBA Chairperson	Jim Cowles, CEO and Senior team Alan Houmann, MD, Head of EMEA Government Affairs for Citi Group	Update on Citi's Brexit plans and regulatory developments
02/07/2018	Meeting with Deloitte LLP (London) Accompanying Andrea Enria, EBA Chairperson	David Strachan Vishal Vedi Francesco Bellasi Mark Adams	Brexit preparations of the banking industry
04/07/2018	Meeting with the European Forum of Deposit Insurers (EDFI)	Thierry Dissaux, Chairman Andras Fekete-Gyor, Secretary General	Regulatory outlook on deposit insurance (EU Policy agenda)

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
10/07/2018	Meeting with the European Financial Forum (EFF)	Sergio Ermotti, Chief Executive Officer, UBS AG	EU Financial services regulatory outlook
11/07/2018	Cicero Group, Sustainable Finance Panel Discussion, London	Will Martindale, Head of Policy, Principles for Responsible Investment, Lisa Ashford, Chief Executive, Ethex, Ed Wells, Head of Global Markets Policy, HSBC	Sustainable Finance
31/07/2018	Bilateral meeting with David Green Consulting, London	David Green, advisor, former UK FSA	Regulatory outlook
5/09/2018-7/09/2018	Bilateral meeting with Bank of America Merrill Lynch (Vienna) Accompanying Andrea Enria, EBA Chairperson	Bruce Thompson, Vice Chairman of Bank of America Andrei Magasiner, Corporate Treasurer Daniela Marilungo, Public Policy Executive, Head of EMEA	Exchange views on the challenges and opportunities for the financial services sector in Europe, in a post-Brexit context
	Bilateral meeting with Sumitomo Mitsui Banking Corporation Europe Limited (Vienna) Accompanying Andrea Enria, EBA Chairperson	Tetsuro Imaeda, CEO and Head of EMEA Takahito Oka, CFA, Head of Financial Research Dept	Exchange views on Brexit and financial regulation
	Bilateral meeting with BBVA (Vienna) Accompanying Andrea Enria, EBA Chairperson	José Manuel González-Páramo, Executive Board Member	CRD 5, Resolution (Liquidiy, MREL), Supervisory dialogue, Non-performing loans, Banking Union (EIDS), Brexit, ESAs Review, Sandboxes, Prudential perimeter, Cloud computing

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
	<p>Bilateral meeting with Goldman Sachs (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Richard Gnodde, Vice Chairman GS Group & CEO GS International</p> <p>Dermot McDonogh, COO GS Int and CEO GS Int Bank</p> <p>Faryar Shirzad, Chief of Staff EMEA, GS International</p> <p>Martine Doyon, Head of Government Affairs EMEA, GS International</p>	<p>Brexit – related matters; supervisory cooperation</p> <p>Prudential reform: Risk reduction package</p>
	<p>Bilateral meeting with the Association of German Public Banks, VÖB (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Johannes-Jörg Riegler</p> <p>The President of the Association of German Public Banks, Chairman of the Board of Management of Bayerische Landesbank (BayernLB)</p>	<p>Current issues of banking regulation</p>
	<p>Bilateral meeting with BNY Mellon (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Susan Revell, Deputy Chair for EMEA</p> <p>Veronica Iommi, European Bank General Counsel and EMEA Head of Public Policy</p>	<p>Current regulatory topics including Brexit, the Capital Markets Union, Sustainable Finance, and the Banking Package</p>
	<p>Bilateral meeting with Deutsche Bank (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Sylvie Mathérat, Chief Regulatory Officer</p> <p>Karin Dohm, Global Head of Government & Regulatory Affairs</p>	<p>Risk reduction package</p> <p>Stress test</p>

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
	<p>Bilateral meeting with French Banking Federation / Association of German Banks (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Marie-Anne Barbat-Layani, CEO (Fédération Bancaire Française)</p> <p>Christian Ossig, Chief Executive (Association of German Banks)</p> <p>Benoît de la Chapelle Bizot, Director General (FBF), Benjamin Quatre, Director European and International Affairs, (FBF)</p>	Implementation of Basel IV and the review of the European Supervisory Authorities
	<p>Bilateral meeting with American Express (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Laureen Seeger, Executive Vice President and General Counsel</p> <p>Elie Beyrouthy, Vice President, European Government Affairs</p>	Fintech, legislative proposals
	<p>Bilateral meeting with Credit Agricole (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Xavier Musca, Deputy CEO</p> <p>Hubert Reynier, CRO</p>	<p>Prudential treatment of guarantees given to funds under CRR</p> <p>Final Basel 4 / QIS/ Call for Advice</p>
	<p>Bilateral meeting with Bundesverband der Deutschen Volksbanken und Raiffeisenbanken (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Gerhard Hofmann, Member of the Board</p> <p>Volker Heegemann, EU-Delegate</p>	Ongoing regulatory issues
	<p>Bilateral meeting with AFME (Vienna)</p> <p>Accompanying Andrea Enria, EBA Chairperson</p>	<p>Michael Cole-Fontayn, Chairman</p> <p>Pablo Portugal, Director</p>	Update on recent work on Brexit preparedness and the future EU-UK relationship; Key policy developments affecting wholesale markets; Debates

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
		Tanguy van de Werve, Managing Director	concerning the future of the Capital Markets Union; ESAs review; Regulatory framework for investment firms
	Bilateral meeting with Irish Ministry of Finance (Vienna) Accompanying Andrea Enria, EBA Chairperson	Oliver Gilvarry, Principal Michael McGrath, Assistant Secretary	Regulatory developments
	Bilateral meeting with US Treasury (Vienna) Accompanying Andrea Enria, EBA Chairperson	Sharon Yang, Director for International Financial Markets at the U.S. Treasury Department in Washington Lawrence Norton, the US Treasury Representative for Europe Corrado Camera, advisor to the Treasury Rep.'s office	Banking package EMIR Investment Firm Review
	Bilateral meeting with Managed Funds Association (Vienna) Accompanying Andrea Enria, EBA Chairperson	Michael Pedroni, Executive Vice-President in charge of governmental affairs	Ongoing EU initiatives Prudential Regime for Investment Firms ESAs review Exchange views on latest developments in the US regulatory framework
	Bilateral meeting with EIB (Vienna) Accompanying Andrea Enria, EBA Chairperson	Alain Godard, DG	EIB approach to implement EBA standards and guidelines

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
	Bilateral meeting with Austrian Savings Banks Association (Vienna) Accompanying Andrea Enria, EBA Chairperson	Amrit Rescheneder, Head of European Affairs	Published proposals CRR2, CRD V, to review the ESA's and the ongoing EBA consultation on outsourcing
10/09/2018	Meeting with the Association of German Public Banks, VÖB (London) Accompanying Andrea Enria, EBA Chairperson and EBA staff	Matthias Danne, CFO DekaBank and Chairman of the Committee for Risk Management and Accounting Georg Baur, Member of the Management Board Carsten Groß, Head of Banking Regulation Simon Recker, Head of Finance	Presentation to the EBA of the VÖB study "The consequences of Basel IV"
11/09/2018	Speaking at the Yankee Bank Credit Forum (Barclays), New York	Peter Jurdjevic, Managing Director, Global Finance Solutions, Barclays	Key Challenges in the European Banking Sector in 2018 and Plans for the Final Stretch of Basel III Implementation
13/09/2018	Attending conference organized by The City of London Corporation and Chatham House, London	The Rt Hon Lord Darling of Roulanish, Chancellor of the Exchequer of the United Kingdom (2007-10) Sir Tim Besley CBE, Professor of Economics, London School of Economics; Member, Monetary Policy Committee, Bank of England (2006-09) Baroness Bowles of Berkhamsted, House of Lords UK	A Decade on from the Financial Crisis: The Legacy and Lessons of 2008

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
		<p>Alison Cottrell CB, CEO, Banking Standards Board</p> <p>Reza Moghadam, Head of Policy Development and Review Department, International Monetary Fund (2008-11)</p> <p>Sir Paul Tucker, Deputy Governor for Financial Stability, Bank of England (2009-13)</p>	
14/09/2018	<p>Eurogroup at the EBA (London)</p> <p>Accompanying Andrea Enria, EBA Chairperson and EBA staff</p>	<p>President Mario Centeno,</p> <p>Hugo Coelho, Advisor,</p> <p>Luis Rego (Press Officer) and Andre Moz Caldas.</p>	<p>Indicators of risk reduction in the banking sector, in particular NPLs and MREL</p> <p>Risk-based contributions for national deposit insurance funds, stages of EDIS</p> <p>Prudential treatment of sovereign bonds</p> <p>Brexit</p>
17/09/2018	<p>Bilateral meeting with Deutsche Bankenverband, BDB , London</p>	<p>Dirk Jaeger, Managing Director</p>	<p>Development of NPLs, ESA-Review, Single European banking market, Future EBA activities relating to European implementation of Basel IV</p>
21/09/2018	<p>Speaking at the meeting of ICMA's Regulatory Policy Committee (RPC), London</p>	<p>David Hiscock, Senior Director, Market Practice and Regulatory Policy, ICMA</p>	<p>EBA's work - implications of regulation and market developments for the cross-border fixed income securities market</p> <p>Brexit</p>

Meeting Date	Event or meeting name (Location)	Entity(ies) / Stakeholder(s) met	Subject(s) covered
25/09/2018	Speaking at the Liquidity & funding Risk Conference organized by RiskNet, London		Industry funding and liquidity challenges ahead for Banks
27/09/2018	Meeting with HSBC , London	Christoph Hittmair, Managing Director-Global Head of FIG- DCM, Global Banking and Markets, HSBC Bank Plc, Nik Dhanani and Bharat Kumar	AT1 Issuances Brexit 2018 EBA Funding report BRRD
28/09/2018	Bilateral meeting with Lisa Rabbe	Lisa Rabbe, independent consultant, former CSFB	Fintech, cloud services