

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
1	It is crucial that risk weights of SME lending are carefully assessed. For this reason, the EBA is requested to analyse and report by 1 September 2012 on the current risk weights, testing the possibilities for a reduction, taking into consideration a scenario with a reduction by one third in relation to the current situation.	01/09/2012	N/A	Regulation CRR 5.3.1	1
2	Moreover the Commission, consulting EBA, will, within 24 months after the entry into force of this Regulation, report on lending to small and medium-sized enterprises and natural persons and shall submit this report to the European Parliament and the Council together with any appropriate proposal.	01/01/2015	N/A	Regulation CRR 5.3.1	2
3	There is an additional possibility for EBA to mediate in case of disagreement between the competent authorities. The result of the mediation is however only binding regarding the conditions in Article 7(1). The individual competent authorities retain the last say regarding the conditions in Article 7(2), i.e. regarding the adequacy of the group's liquidity management and regarding the liquidity adequacy of the individual credit institutions or investment firms.	N/A	N/A	Regulation CRR 5.5.1	1
4	Competent authorities may, after having consulted EBA, waive the application of the Basel I limit to an institution provided that all requirements for the use of the advanced approaches for credit and operational risks are met.	N/A	N/A	Regulation CRR 5,7	1
5	To this end, the liquidity coverage requirement should be subject to an observation period.	TBD	N/A	Regulation CRR [75]	1
6	Based on the observations and supported by EBA, the Commission should confirm or adjust the liquidity coverage requirement by means of a delegated act	TBD	N/A	Regulation CRR[75]	1
7	The BCBS thus agreed that the NSFR will be subject to an observation period and will include a review clause.	TBD	N/A	Regulation CRR[76]	1
8	In this context, EBA should, based on reporting required by this Regulation, evaluate how a stable funding requirement should be designed. Based on this evaluation, the Commission should report to Council and European Parliament together with any appropriate proposals in order to introduce such a requirement by 2018	TBD	N/A	Regulation CRR[76]	1
9	EBA shall develop draft regulatory technical standards to specify conditions according to which consolidation shall be carried out in the cases referred to in paragraphs 2 to 6 of this Article. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016.	31/12/2016	2016Q2	Regulation CRR Art 16 point 7	3
10	EBA shall develop draft implementing technical standards to specify the joint decision process referred to in paragraph 1(a), with regard to the applications for permissions referred to in Articles 138(1), 146(9), 301(2), 277, 352, and for the liquidity intra-group treatment referred to in paragraph 1(b) with a view to facilitating joint decisions. EBA shall submit those technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 18 point 7	2
11	EBA shall develop draft implementing technical standards to specify the joint decision process referred to in this Article, with regard to the application of Article 7, with a view to facilitating joint decisions. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2016.	31/12/2016	2016Q2	Regulation CRR Art 19 point 4	2
12	EBA shall develop draft regulatory technical standards to specify the meaning of foreseeable when determining whether any foreseeable charge or dividend has been deducted. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 24 point 3	1
13	EBA shall establish, maintain and publish a list of the forms of capital instrument in each Member State that qualify as Common Equity Tier 1 instruments. EBA shall establish and publish this list by 1 January 2013.	31/12/2012	N/A	Regulation CRR Art 24 point 4	1
14	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions according to which competent authorities may determine that a type of undertaking recognised under applicable national law qualifies as a mutual, cooperative society or similar institution for the purposes of this Part; (...). EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 25 point 2	1
15	EBA shall develop draft regulatory technical standards to specify the following: (...) (b) the nature and extent of the following: (i) the features that could cause the condition of an institution to be weakened as a going concern during periods of market stress; (ii) the market stress under which such features could cause the condition of the institution to be weakened as a going concern. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 25 point 2	1
16	EBA shall develop draft regulatory technical standards to specify the following: (a) the applicable forms and nature of indirect funding of capital instruments; (...) EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 26 point 3	1
17	EBA shall develop draft regulatory technical standards to specify the following: (...) (b) the meaning of distributable items for the purposes of determining the amount available to be distributed to the holders of own funds instruments of an institution. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 26 point 3	1
18	EBA shall develop draft regulatory technical standards to specify the nature of the limitations on redemption necessary where the refusal by the institution of the redemption of own funds instruments is prohibited under applicable national law. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 27 point 6	1
19	EBA shall develop draft regulatory technical standards to specify further the concept of a gain on sale referred to in point (a) of paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013. (Securitised assets)	31/12/2012	April 2012	Regulation CRR Art 29 point 2	1
20	EBA shall develop draft regulatory technical standards to specify the conditions according to which the requirements of Article 100 referred shall be applied for the purposes of paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013. (Additional value adjustments)	31/12/2012	June 2012	Regulation CRR Art 31 point 2	2
21	EBA shall develop draft regulatory technical standards to specify the following: (a) in greater detail, the application of the deductions referred to in points (a), (c), (e) and (l) of paragraph 1; (...) EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 33 point 2	1
22	EBA shall develop draft regulatory technical standards to specify the following: (...) (b) the types of capital instrument of financial institutions, third country insurance and reinsurance undertakings, and undertakings excluded from the scope of Directive 2009/138/EC in accordance with Article 4 of that Directive that shall be deducted from the following elements of own funds: (i) Common Equity Tier 1 items; (ii) Additional Tier 1 items; (iii) Tier 2 items. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 33 point 2	1
23	EBA shall develop draft regulatory technical standards to specify the criteria according to which a competent authority shall permit an institution to reduce the amount of assets in the defined benefit pension fund as specified in point (b) of paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 38 point 2	1

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24	EBA, EIOPA and ESMA shall, through the Joint Committee, develop draft regulatory technical standards to specify for the purposes of this Article the conditions of application of the calculation methods listed in Annex I, Part II and Article 228(1) of Directive 2002/87/EC for the purposes of the alternatives to deduction referred to in paragraph 1 and point (a) of paragraph 3. EBA, EIOPA and ESMA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	End June 2012	Regulation CRR Art 46 point 4	2
25	EBA shall develop draft regulatory technical standards to specify the conditions of application of point (b) of paragraph 3 where an institution referred to in Article 25 has a holding in another such institution, or in its central or regional credit institution, and the following conditions are met: (i) where the holding is in a central or regional credit institution, the institution with that holding is associated with that central or regional credit institution in a network subject to legal or statutory provisions and the central or regional credit institution is responsible, under those provisions, for cash-clearing operations within that network; (ii) the institutions fall within the same institutional protection scheme referred to in Article 108(7); (iii) the competent authorities have granted the permission referred to in Article 108(7); (iv) the conditions laid down in Article 108(7) are satisfied; (v) the institution draws up and reports to the competent authorities the consolidated balance sheet referred to in point (e) of Article 108(7) no less frequently than own funds requirements are required to be reported under Article 95. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 46 point 5	1
26	EBA shall develop draft regulatory technical standards to specify all the following: (a) the form and nature of incentives to redeem; (b) the nature of the write down of the principal amount; (c) the procedures and timing for the following: (i) determining that a trigger event has occurred; (ii) notifying the competent authority and the holders of the instrument that a trigger event has occurred and that the principal amount of the instrument will be written down or the instrument converted to a Common Equity Tier 1 instrument, as applicable, in accordance with the provisions governing the instrument; (iii) writing down the principal amount of the instrument, or converting it to a Common Equity Tier 1 instrument, as applicable; (d) features of instruments that could hinder the recapitalisation of the institution; (e) the use of special purposes entities for indirect issuance of own funds instruments. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 49 point 2	1
27	EBA shall develop draft regulatory technical standards to specify: (a) the extent of conservatism required in estimates used as an alternative to the calculation of underlying exposure referred to in paragraph 1; (b) the meaning of operationally burdensome for the purposes of paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 71 point 3	1
28	EBA shall adopt draft regulatory technical standards to specify the following: (a) the meaning of sustainable for the income capacity of the institution; (b) the appropriate bases of limitation of redemption referred to in paragraph 2; (c) the process and data requirements for an application by an institution for the consent of the competent authority to carry out an action listed in Article 72, including the time period for processing such application. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 73 point 3	1
29	EBA shall develop draft regulatory technical standards to specify the concept of temporary for the purposes of paragraph 1 and the conditions according to which a competent authority may deem the temporary holdings referred to be for the purposes of a financial assistance operation designed to reorganise and save a relevant entity. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 74 point 2	1
30	EBA shall monitor the quality of own funds instruments issued by institutions across the Union and shall notify the Commission immediately where there is significant evidence of material deterioration in the quality of those instruments. A notification shall include a detailed explanation of the nature and extent of the deterioration identified and a technical advice on the action by the Commission that EBA considers to be necessary	Ongoing	N/A	Regulation CRR Art 75 point 1&2	1
31	EBA shall provide technical advice to the Commission on any significant changes it considers to be required to the definition of own funds as a result of any of the following: (a) relevant developments in market standards or practice; (b) changes in relevant legal or accounting standards; (c) significant developments in the methodology of EBA for stress testing the solvency of institutions.	Ongoing	TBD	Regulation CRR Art 75 point 3	1
32	EBA shall provide technical advice to the Commission by 31 December 2013 on possible treatments of unrealised gains measured at fair value other than including them in Common Equity Tier 1 without adjustment. Such recommendations shall take into account relevant developments in international accounting standards and in international agreements on prudential standards for banks.	31/12/2013	2013Q2	Regulation CRR Art 75 point 4	2
33	EBA shall develop draft regulatory technical standards to specify the concepts of minimal and insignificant referred to in point (d) of paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013. (Additional Tier 1 and Tier 2 instruments issued by special purpose entity)	31/12/2012	April 2012	Regulation CRR Art 78 point 2	1
34	EBA shall develop draft regulatory technical standards to specify: (a) activities that are a direct extension of banking; (b) activities that concern services ancillary to banking; (c) similar activities for the purposes of point (b)(iii) of paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 84 point 4	2
35	EBA shall develop draft regulatory technical standards to specify in greater detail the following: (a) the calculation of the requirement to hold eligible capital of at least one quarter of the fixed overheads of the previous year; (b) the conditions for the adjustment by the competent authority of the requirement to hold eligible capital of at least one quarter of the fixed overheads of the previous year; (c) the calculation of projected fixed overheads in the case of an investment firm that has not completed business for one year. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 92 point 4	1
36	EBA shall develop draft implementing technical standards to specify the uniform formats, frequencies and dates of reporting to be applied in the Union for such reporting. The reporting formats shall be proportionate to the nature, scale and complexity of the activities of the institutions. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013. [To enable parallel running for ensuring reporting in order, deadline brought forward by 6 months by 30/6/2012] (<i>capital</i>)	31/12/2012	End December 2011	Regulation CRR Art 95 point 2	1
37	EBA shall develop IT solutions for the submission of data to be applied in the Union for such reporting	31/12/2012	End June 2012	Regulation CRR Art 95 point 2	1
38	The competent authorities shall publish annually on an aggregated basis the data specified in points (a) to (d) of paragraph 1, together with historical data, where available. A competent authority shall, upon the request of another competent authority in a Member State or the EBA provide to that competent authority or the EBA more detailed information on the condition of the residential or commercial immovable property markets in that Member State.	N/A	N/A	Regulation CRR Art 96 point 2	N/A

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39	EBA shall develop draft implementing technical standards to specify the following: (a) uniform formats, frequencies and dates of reporting of the items referred to in paragraph 1; b) uniform formats, frequencies and dates of publication of the aggregate data referred to in paragraph 2. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013. [To enable parallel running for ensuring reporting in order, deadline brought forward by 6 months by 30/6/2012] <i>(mortgage lending)</i>	31/12/2012	End December 2011	Regulation CRR Art 96 point 3	1
40	EBA shall develop draft regulatory technical standards to specify the calculation of specific credit risk adjustments and general credit risk adjustments under the relevant accounting framework for the following: (a) exposure value under the Standardised Approach referred to in Articles 106 and 122; (b) exposure value under the IRB Approach referred to in Articles 162 to 164; (c) treatment of expected loss amounts referred to in Article 155; (d) exposure value for the calculation of the risk-weighted exposure amounts for securitisation position referred to in Article 241 and 261; (e) the determination of default under Article 174; (f) information on specific and general credit risk adjustment referred to in Article 428. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 105 point 4	1
41	EBA shall develop draft implementing technical standards to specify the exposures to regional governments and local authorities that shall be treated as exposures to central governments based on the criteria set out in the previous subparagraph. EBA shall submit those draft technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 75 point 4	2
42	EBA shall develop draft implementing technical standards to specify the public sector entities that may be treated according to paragraphs 1 and 2. EBA shall submit those draft technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 111 point 6	2
43	The competent authorities shall consult EBA on the adjustments to the risk weights and criteria applied. EBA shall publish the risk weights and criteria that the competent authorities set for exposures referred to in Articles 120, 121 and 195. EBA shall develop regulatory technical standards to specify the conditions that competent authorities shall take into account when determining stricter risk-weights or stricter criteria. EBA shall submit those draft technical standards to the Commission by 31 December 2014. <i>(real estate exposure)</i>	31/12/2014	2014Q2	Regulation CRR Art 119 point 2	3
44	EBA shall issue guidelines specifying which types of exposures are associated with particularly high risk and under which circumstances.	No Deadline	TBD	Regulation CRR Art 123 point 3	3
45	The competent authorities may, after having consulted EBA, partly waive the application of (c) and allow credit quality step 2 for up to 10 % of the total exposure of the nominal amount of outstanding covered bonds of the issuing institution, provided that significant potential concentration problems in the Member States concerned can be documented due to the application of the credit quality step 1 requirement referred to in (c); <i>(covered bonds)</i>	N/A	N/A	Regulation CRR Art 124 point 1 c	3
46	EBA shall publish a list of eligible ECAIs for the use in the standardised approach.	Ongoing	N/A	Regulation CRR Art 130 point 3	1
47	EBA to have an IT platform to publish list / collate data on ECAIs	Ongoing	N/A	Regulation CRR Art 130 point 3	1
48	EBA shall develop draft implementing standards to specify for all eligible ECAIs, with which of the credit quality steps set out in Section 2 the relevant credit assessments of the eligible ECAI correspond ("mapping"). Those determinations shall be objective and consistent. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2014 and shall submit revised draft technical standards where necessary.	31/12/2013	2013Q2	Regulation CRR Art 131 point 1	2
49	EBA shall develop draft implementing technical standards to specify the quantitative factors referred to in point (a), the qualitative factors referred to in point (b) and the benchmark referred to in point (c) of paragraph 2. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 131 point 3	2
50	EBA shall issue guidelines in accordance with Article 16 of Regulation 1093/2010 by 1 January 2014 on the Export Credit Agencies that may be used by institutions in accordance with paragraph 1.	31/12/2013	2013Q2	Regulation CRR Art 132 point 3	2
51	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities shall assess the equivalence of the prudential supervisory and regulatory requirements set out in the legislation of third countries. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 137 point 2	2
52	EBA shall develop draft regulatory technical standards to specify the conditions according to which institutions shall assess the materiality of the changes to rating systems or internal models approaches to equity exposures under the IRB Approach referred to in paragraph 1 that require additional permission or require notification. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2013.	31/12/2013	2013Q2	Regulation CRR Art 138 point 5	2
53	EBA shall develop regulatory technical standards to specify the processes competent authorities shall follow in assessing the compliance of an institution with the requirements to use the IRB Approach. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 139 point 2	3
54	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities shall determine the conditions by which they shall require institutions to implement the IRB approach in accordance with this Article. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 143 point 6	3
55	EBA shall develop draft regulatory technical standards to determine the conditions of application of points (a), (b) and (c). EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2013. <i>(permanent partial use IRB)</i>	31/12/2013	2013Q2	Regulation CRR Art 145 point 3	2
56	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities may permit institutions to use Article 145(1) under point (b) of paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2013.	31/12/2013	2013Q2	Regulation CRR Art 147 point 5	2

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57	EBA shall develop draft regulatory technical standards to specify the conditions according to which institutions shall take into account the factors referred to the second subparagraph of paragraph 5 when assigning risk weights to specialised lending exposures. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 148 point 9	3
58	EBA shall develop regulatory technical standards to specify the conditions according to which institutions shall ensure the integrity of the assignment process and the regular and independent assessment of risks. EBA shall submit the draft regulatory technical standards referred to in the first sub-paragraph to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 169 point 3	3
59	EBA shall develop draft implementing technical standards to specify in greater detail the meaning of severe but plausible recession scenarios referred to in paragraph 2. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013. (<i>stress test credit risk</i>)	31/12/2012	End June 2012	Regulation CRR Art 173 point 4	2
60	EBA shall develop draft regulatory technical standards to specify the conditions according to which a competent authority shall set the threshold referred to in paragraph 1 which an exposure shall qualify as past due. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 174 point 5	3
61	EBA shall issue guidelines on the application of this Article. (<i>past due</i>)	No Deadline	TBD	Regulation CRR Art 174 point 6	3
62	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions according to which competent authorities may grant the permissions referred to in point (h) of paragraph 1 and point (e) of paragraph 2; (b) the conditions according to which competent authorities shall assess the methodology of an institution for estimating PD pursuant to Article 138. EBA shall submit the draft regulatory technical standards referred to in the first sub-paragraph to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 176 point 3	3
63	EBA shall develop draft regulatory technical standards to specify the following: (a) the nature, severity and duration of an economic downturn referred to in paragraph 1; (b) the conditions according to which a competent authority may permit and institution pursuant to paragraph 3 to use relevant data covering a period of two years when the institution implements the IRB approach. EBA shall submit the draft regulatory technical standards referred to in the first subparagraph to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 177 point 3	3
64	EBA shall develop draft regulatory technical standards to specify the following: (a) the nature, severity and duration of an economic downturn referred to in paragraph 1; (b) conditions according to which a competent authority may permit and institution to use relevant data covering a period of two years at the time an institution first implements the IRB approach. EBA shall submit the draft regulatory technical standards referred to in the first subparagraph to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 178 point 4	3
65	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities may permit conditional guarantees to be recognised. EBA shall submit the draft regulatory technical standards referred to in the first sub-paragraph to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 179 point 6	3
66	EBA shall develop draft regulatory technical standards to specify in greater detail the requirements on the management body, a designated committee thereof and senior management laid down in this Article. EBA shall submit the draft regulatory technical standards referred to in the first sub-paragraph to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 185 point 4	3
67	EBA shall develop draft regulatory technical standards to specify the following: a) what constitutes sufficiently liquid assets and when can asset values be considered as sufficiently stable for the purpose of paragraph 3; (b) which degree of correlation between the value of the assets relied upon for protection and the credit quality of the obligor is considered as too high for the purpose of paragraph 4; (c) when is a protection provider considered to be sufficiently reliable for the purpose of point b of paragraph 5. EBA shall develop those draft regulatory technical standards for submission to the Commission by 31 December 2013.	31/12/2013	2013Q2	Regulation CRR Art 190 point 10	1
68	EBA shall develop draft regulatory technical standards to specify the methodology for calculation of the amount of units or shares in a CIU that institutions may use as collateral referred to in paragraph 6 and in Article 194(2). EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2013	31/12/2013	2013Q2	Regulation CRR Art 193 point 9	2
69	EBA shall develop draft implementing technical standards to specify the types of physical collaterals for which the conditions referred to in points (a) and (b) of paragraph 8 are met, based on the criteria set out in those points. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 195 point 10	3
70	EBA shall develop draft regulatory technical standards to specify the following: (a) what represents an immaterial portfolio for the purpose of paragraph 3; (b) the criteria for determining whether an internal model is sound and implemented with integrity for the purposes of paragraphs 4 and 5. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014.	31/12/2014	2014Q2	Regulation CRR Art 216 point 9	3
71	The competent authorities shall keep EBA informed about the specific cases, referred to in paragraph 2, where the possible reduction in risk-weighted exposure amounts is not justified by a commensurate transfer of credit risk to third parties, and the use institutions make of paragraph 4. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines. (<i>securitisation</i>)	No Deadline	TBD	Regulation CRR Art 238 point 6	3
72	The competent authorities shall keep EBA informed about the specific cases, referred to in paragraph 2, where the possible reduction in risk-weighted exposure amounts is not justified by a commensurate transfer of credit risk to third parties, and the use institutions make of paragraph 4. The European Banking Authority shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines.	No Deadline	TBD	Regulation CRR Art 239 point 6	3
73	EBA shall issue, in accordance with Article 16 or Regulation (EU) No 1093/2010, guidelines on what constitutes arm's length conditions and when a transaction is not structured to provide support.	No Deadline	TBD	Regulation CRR Art 243 point 2	3
74	The competent authorities shall keep the EBA informed about the use institutions make of the previous sub-paragraph. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines. (<i>Supervisory Formula Method</i>)	No Deadline	TBD	Regulation CRR Art 257 point 3	3
75	EBA shall publish a list of eligible ECAIs, for the use in the securitisation approach.	Ongoing	N/A	Regulation CRR Art 262 point 3	1
76	EBA to have an IT platform to publish list / collate data on ECAIs, for the use in the securitisation approach.	Ongoing	N/A	Regulation CRR Art 130 point 3	1

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77	EBA shall develop draft implementing technical standards to determine, for all eligible ECAIs, which of the credit quality steps set out in this Chapter are associated with the relevant credit assessments of an eligible ECAI. Those determinations shall be objective and consistent, and carried out in accordance with the following principles: (a) EBA shall differentiate between the relative degrees of risk expressed by each assessment; (b) EBA shall consider quantitative factors, such as default and/or loss rates and the historical performance of credit assessments of each ECAI across different asset classes; (c) EBA shall consider qualitative factors such as the range of transactions assessed by the ECAI, its methodology and the meaning of its credit assessments, in particular whether based on expected loss or first Euro loss; (d) EBA shall seek to ensure that securitisation positions to which the same risk weight is applied on the basis of the credit assessments of eligible ECAIs are subject to equivalent degrees of credit risk. EBA shall consider modifying its determination as to the credit quality step with which a particular credit assessment shall be associated, as appropriate. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 265	2
78	EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No 1093/2010, issue guidelines on the application of this Article. (<i>Integrity of the modelling process</i>)	No Deadline	TBD	Regulation CRR Art 286 point 10	3
79	EBA shall develop implementing technical standards to specify the following: (a) the frequency and dates of the calculations specified in paragraph 1; (b) the frequency, dates and uniform format of the notification specified in paragraph 4; (c) the situations in which the competent authority of an institution acting as a clearing member may require higher frequencies of calculation and reporting than the ones set out following points a and b. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2014. (CCP)	31/12/2013	2013Q2	Regulation CRR Art 299 point 7	2
80	EBA shall develop draft regulatory technical standards to specify the following: (a) the assessment methodology under which the competent authorities permit institutions to use Advanced Measurement Approaches; (b) the conditions for assessing the materiality of extensions and changes to the Advanced Measurement Approaches. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2013.	31/12/2013	2013Q2	Regulation CRR Art 299 point 7	2
81	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions that competent authorities shall use when assessing the methodology referred to in point (a) of paragraph 2; (b) the conditions that the competent authorities shall use when deciding whether to impose the additional conditions referred to in paragraph 3. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016. (AMA)	31/12/2016	2016Q2	Regulation CRR Art 303 point 5	3
82	EBA shall develop draft regulatory technical standards to determine the methodology to calculate the relevant indicator referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016. (accounting)	31/12/2016	2016Q2	Regulation CRR Art 305 point 3	3
83	EBA shall develop draft implementing technical standards to determine the conditions of application of the principles for business line mapping provided in this Article. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2017.	31/12/2017	2017Q2	Regulation CRR Art 307 point 3	3
84	EBA shall develop regulatory technical standards to further specify the conditions for the use of the Alternative Standardised Approach referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016.	31/12/2016	2016Q2	Regulation CRR Art 308 point 3	3
85	EBA shall develop regulatory technical standards to specify the following: (a) the conditions for assessing whether a system is sound and implemented with integrity for the purposes of point (d) of paragraph 2; EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016.	31/12/2016	2016Q2	Regulation CRR Art 311 point 7	3
86	EBA shall develop regulatory technical standards to specify the following: (b) the exceptional circumstances in which an institution may allocate loss events to an additional business line referred to in point (b) of paragraph 3. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2016.	31/12/2016	2016Q2	Regulation CRR Art 311 point 7	3
87	No netting shall be allowed between a convertible and an offsetting position in the instrument underlying it, unless the competent authorities adopt an approach under which the likelihood of a particular convertible's being converted is taken into account or require an own funds requirement to cover any loss which conversion might entail. Such approaches or own funds requirements shall be notified to the EBA. EBA shall monitor the range of practices in this area and shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines.	No Deadline	TBD	Regulation CRR Art 316 point 2	3
88	EBA shall develop draft regulatory technical standards defining a range of methods to reflect in the own funds requirements other risks, apart from delta risk, referred to in paragraph 2 in a manner proportionate to the scale and complexity of institutions' activities in options and warrants. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 318 point 3	2
89	In accordance with Article 16 of Regulation (EU) No. 1093/2010, EBA shall issue guidelines on the use of estimates of PD and LGD as inputs when those estimates are based on an IRC approach.	No Deadline	TBD	Regulation CRR Art 326 point 2	3
90	Correction shall be made to the calculation of the modified duration for debt instruments which are subject to prepayment risk. EBA shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines about how to apply such corrections.	No Deadline	TBD	Regulation CRR Art 329 point 3	3
91	EBA shall develop draft regulatory technical standards defining the term market referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 330 point 3	2
92	EBA shall develop draft implementing technical standards listing the stock indices for which one or more of the treatments in paragraphs 3 and 4 is available. EBA shall submit those draft technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 333	2
93	EBA shall develop draft regulatory technical standards defining a range of methods to reflect in the own funds requirements other risks, apart from delta risk, in a manner proportionate to the scale and complexity of institutions' activities in options. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013. (<i>fx risk</i>)	31/12/2012	June 2012	Regulation CRR Art 341 point 6	2
94	EBA shall develop draft implementing technical standards listing the currencies which meet the requirements set out in paragraph 1. EBA shall submit those draft technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 343 point 3	2
95	EBA shall develop draft regulatory technical standards defining a range of methods to reflect in the own funds requirements other risks, apart from delta risk, referred to in paragraph 2 (re particular instruments) in a manner proportionate to the scale and complexity of institution. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013 (<i>commodities risk</i>)	31/12/2012	June 2012	Regulation CRR Art 347 point 4	2

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
96	EBA shall develop draft regulatory technical standards to specify the following: (a) the conditions for assessing materiality of extensions and changes to the use of internal models; (b) the assessment methodology under which competent authorities permit institutions to use internal models. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 352 point 3	2
97	In addition, the institution shall at least weekly calculate a 'stressed value-at-risk' of the current portfolio, in accordance with the requirements set out in the first paragraph, with value-at-risk model inputs calibrated to historical data from a continuous 12-month period of significant financial stress relevant to the institution's portfolio. The choice of such historical data shall be subject to at least annual review by the institution, which shall notify the outcome the competent authorities. EBA shall monitor the range of practices for calculating stressed value at risk and shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines on such practices.	No Deadline	June 2012	Regulation CRR Art 354 point 2	2
98	If an institution uses an internal model to capture incremental default and migration risks that does not comply with all requirements of Articles 363, 364 and 365 but that is consistent with the institution's internal methodologies for identifying, measuring and managing incremental default and migration risks, it shall be able to demonstrate that its internal model results in an own funds requirement that is at least as high as if it was based on a model in full compliance with the requirements of those Articles. The competent authorities shall review compliance with the previous sentence at least annually. EBA shall monitor the range of practices concerning internal models not complying with all requirements of Articles 363, 364 and 365 and shall, in accordance with Article 16 of Regulation (EU) No. 1093/2010, issue guidelines on those practices.	No Deadline	TBD	Regulation CRR Art 365	2
99	EBA shall develop draft regulatory technical standards to specify in greater detail a) how a proxy spread should be determined for the purposes of identifying LGDMKT for the purposes of the calculation required by paragraph 1; (b) the number and size of portfolios that fulfil the criterion of a limited number of smaller portfolios referred to in paragraph 4. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	June 2012	Regulation CRR Art 373 point 59	2
100	EBA shall develop draft regulatory technical standards to specify the following: (a) which exposures referred to in point (p) of Article 107 are subject to the treatment of this paragraph; (b) the conditions and methodologies used to determine the existence of a group of connected clients in respect of such exposures. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Regulation CRR Art 379 point 8	2
101	EBA shall develop draft implementing technical standards to specify the following: (a) the uniform formats for the reporting referred to in paragraph 2 which shall be proportionate to the nature, scale and complexity of institutions' activities and the instructions for using those formats; (b) the frequencies and dates of the reporting referred to in paragraph 2; EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013. (large exposures). [To enable parallel running for ensuring reporting in order, deadline brought forward by 6 months by 30/6/2012]	31/12/2012	End February 2012	Regulation CRR Art 383 point 3	1
102	EBA shall develop the IT solutions to be applied for the reporting referred to in paragraph 2. [Pending on delivery of ITS in January 2012]	31/12/2012	N/A	Regulation CRR Art 383 point 3	1
103	Competent authorities may set a lower limit than EUR 150 million and shall inform EBA and the Commission thereof. (large exposures)	N/A	N/A	Regulation CRR Art 383 point 2	N/A
104	EBA shall report to the Commission annually on measures taken by the competent authorities in order to ensure the compliance with the requirements of Titles II and III by institutions. (securitisation retention requirements)	Ongoing	N/A	Regulation CRR Art 399 point 1	2
105	EBA shall develop draft implementing technical standards for facilitating the convergence of supervisory practices with regard to Articles 394 to 398, including the measures to be taken in case of breach of the due diligence and risk management obligations. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2014. (Securitisation)	31/12/2013	2013Q2	Regulation CRR Art 399 point 2	2
106	EBA shall develop draft implementing technical standards to specify the following: (a) uniform formats with associated instructions for and frequencies, dates and delays of reporting. The reporting formats and frequencies shall be proportionate to the nature, scale and complexity of different institutions' activities and shall comprise the reporting required according to paragraphs 1 and 2; where required by Directive [inserted by OP] and this Regulation. EBA shall submit those draft technical standards to the Commission by 1 January 2013.	31/12/2012	End June 2012	Regulation CRR Art 403 point 3	1
107	EBA shall develop draft implementing technical standards to specify the following: additional liquidity monitoring metrics required, to allow competent authorities to obtain a comprehensive view of the liquidity risk profile, proportionate to the nature, scale and complexity of an institution's activities; where required by Directive [inserted by OP] and this Regulation. EBA shall submit those draft technical standards to the Commission by 1 January 2013.	31/12/2012	End June 2012	Regulation CRR Art 403 point 3	1
108	EBA shall develop draft implementing technical standards to specify the following: the IT solutions to be applied for such reporting which allow for direct and immediate electronic access to the reporting of an institution where required by Directive [inserted by OP] and this Regulation. EBA shall submit those draft technical standards to the Commission by 1 January 2013.	31/12/2012	TBD	Regulation CRR Art 403 point 3	1
109	EBA shall develop draft implementing technical standards listing the currencies which meet the conditions referred to in the paragraph 3. EBA shall submit those draft technical standards to the Commission by 1 January 2013. (liquid asset)	31/12/2012	End June 2012	Regulation CRR Art 404 point 4	2
110	EBA shall assess the availability for institutions of the liquid assets referred to in point (b) of Article 404(1) in the currencies that are relevant for EU institutions.	31/12/2012	N/A	Regulation CRR Art 407 point 1	1
111	EBA shall develop draft implementing technical standards listing the currencies which meet the conditions set out in this Article. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013	31/12/2012	End June 2012	Regulation CRR Art 407 point 4	1
112	EBA shall develop draft regulatory technical standards to specify the exceptions referred to in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	End June 2012	Regulation CRR Art 407 point 4	1
113	EBA shall provide advice to the Commission by 31 December 2013 about the appropriate haircuts for assets held as a result of the derogation referred to in point (a) of paragraph 2.	31/12/2013	2013Q2	Regulation CRR Art 407 point 6	1
114	The competent authorities shall at least annually report to EBA the types of products or services for which they have determined outflows on the basis of the reports from institutions. They shall in this report also explain the methodology applied to determine the outflows.	N/A	N/A	Regulation CRR Art 408 point 2	1

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
115	EBA shall develop draft regulatory technical standards specifying the treatment of products and services referred to in paragraph 2, identifying products or services that shall be covered for these purposes and the appropriate methods to determine the outflows to be assigned. EBA shall submit those draft regulatory technical standards to the Commission by 30 June 2014.	30/06/2014	2013Q4	Regulation CRR Art 408 point 3	1
116	EBA shall develop draft implementing technical standards to determine the conditions of application of paragraphs 1 and 2 in relation to the identification of retail deposits subject to higher outflows than specified in paragraph 1 or 2 and the definitions of those products and the appropriate outflows for purposes of this Title. These standards shall take account of the likelihood of these deposits to lead to outflows of liquidity during the next 30 days. These outflows shall be assessed under the assumption of a combined idiosyncratic and market-wide stress scenario. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013.	31/12/2012	End June 2012	Regulation CRR Art 409 point	1
117	Where such lower outflow is permitted to be applied, the competent authorities shall inform EBA about the decision and its reasons. The conditions for such lower outflows shall be regularly reviewed by the competent authorities.	N/A	N/A	Regulation CRR Art 410 point 8	1
118	EBA shall develop draft regulatory technical standards to determine the conditions of application in relation to the notion of materiality and methods for the measurement of this additional outflow. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	End June 2012	Regulation CRR Art 411 point 3	1
119	Where such higher inflow is permitted to be applied, the competent authorities shall inform EBA about the decision and its reasons. The conditions for such higher inflows shall be regularly reviewed by the competent authorities.	N/A	N/A	Regulation CRR Art 413 point 4	1
120	EBA shall develop draft implementing technical standards to determine the contents and format of the uniform reporting template for the reporting requirement referred to in paragraph 1. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2013. [To enable parallel running for ensuring reporting in order, deadline brought forward by 6 months by 30/06/2011]	31/12/2012	April 2012	Regulation CRR Art 417 point 2	1
121	EBA shall develop instructions on how to use such template and the frequencies and dates of reporting.	31/12/2012	N/A	Regulation CRR Art 417 point 2	1
122	EBA shall develop draft implementing technical standards to specify uniform templates for disclosure under points (a), (b), (d) and (e) of paragraph 1. EBA shall submit those draft implementing technical standards to the Commission by 31 December 2013. (Own funds)	31/12/2013	2013Q2	Regulation CRR Art 424 point 2	2
123	EBA shall develop draft regulatory technical standards specifying the disclosure requirements set out in paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2014. (capital buffers)	31/12/2014	2014Q2	Regulation CRR Art 427 point 2	3
124	EBA shall develop draft implementing technical standards to determine the uniform disclosure template for the disclosure referred to in paragraph 1. EBA shall submit those draft implementing technical standards to the Commission by 30 June 2014. (Leverage)	30/06/2014	2013Q4	Regulation CRR Art 436 point 2	2
125	EBA shall develop instructions on how to use such template. (Leverage)	30/06/2014	N/A	Regulation CRR Art 436 point 2	2
126	By 30 June 2015, EBA shall report to the Commission whether the evolving economic situation and developments in relevant regulatory requirements would justify an extension of the requirements set out in Article 476. (Delegated Acts)	30/06/2015	N/A	Regulation CRR Art 441	3
127	EBA shall develop draft regulatory technical standards to specify the conditions according to which competent authorities shall determine whether adjustments made to own funds, or elements thereof, in accordance with national transposition measures for Directive 2006/48/EC or Directive 2006/49/EC that are not included in Part Two should for the purposes of this Article be made to Common Equity Tier 1 items, Additional Tier 1 items, Tier 1 items, Tier 2 items or own funds. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 461 point 4	1
128	EBA shall develop draft regulatory technical standards to specify the conditions for treating own funds instruments referred to in paragraphs 1 and 2 as falling under Article 464(4) or 464(5) during the period from 1 January 2013 to 31 December 2021. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013.	31/12/2012	April 2012	Regulation CRR Art 465 point 3	1
129	Competent authorities shall notify the Commission and EBA of the implementation of this paragraph.	N/A	N/A	Regulation CRR Art 472 point 1	N/A
130	Operations shall provide the IT tool for this notification	31/12/2012	N/A	Regulation CRR Art 472 point 1	N/A
131	EBA shall develop draft regulatory technical standards to specify the conditions according to which Member States shall afford the exemption referred to in paragraph 1. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2013. (Own funds requirements under the IRB approach)	31/12/2012	June 2012	Regulation CRR Art 472 point 3	2
132	The competent authorities may, after having consulted EBA, waive the application of paragraph 1(b) to institutions provided that all the requirements for the Internal Ratings Based Approach set out in Part Three, Title II, Chapter 3, Section 6 and the qualifying criteria for the use of the Advanced Measurement Approach set out in Part Three, Title III, Chapter 4 are met.	N/A	N/A	Regulation CRR Art 476 point 2	N/A
133	The Commission, in cooperation with EBA, ESRB and the Member States, and taking into account the contribution of the European Central Bank, shall periodically monitor whether this Regulation taken as a whole, together with Directive [inserted by OP] has significant effects on the economic cycle and, in the light of that examination, shall consider whether any remedial measures are justified. By 31 December 2013, the EBA shall report to the Commission if and how methodologies of institutions under the IRB Approach should converge with a view to more comparable capital requirements while mitigating pro-cyclicality.	31/12/2013	N/A	Regulation CRR Art 477	2
134	The Commission shall, by 31 December 2015 and after consulting the EBA, report to the Parliament and the Council, together with any appropriate proposals, whether the risk weights laid down in Article 124 and the own funds requirements for specific risk in Article 325(5) are adequate for all the instruments that qualify for these treatments and whether the criteria in Article 124 should be made stricter. (covered bonds)	31/12/2015	N/A	Regulation CRR Art 478	3
135	By 31 December 2014, the Commission shall report on whether and how the liquidity coverage requirement laid down in Article 401 should apply to investment firms and shall, after consulting EBA, submit this report to the European Parliament and the Council, and, if appropriate, a legislative proposal.	31/12/2014	N/A	Regulation CRR Art 480 point 2	1

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
136	EBA shall , and after consulting the ESRB, annually and for the first time by 31 December 2013 report to the Commission whether a specification of the general liquidity coverage requirement in Article 401 based on the criteria for liquidity reporting in Part Six Title II, considered either individually or cumulatively, is likely to have a material detrimental impact on the business and risk profile of Union institutions or on financial markets or the economy and bank lending, with a particular focus on lending to small and medium enterprises and on trade financing, including lending under official export credit insurance schemes.	31.12.2013 (afterwards annually)	N/A	Regulation CRR Art 481 point 1	2
137	EBA shall, by 31 December 2013, report to the Commission on appropriate uniform definitions of high and of extremely high liquidity and credit quality of transferable assets for purposes of Article 404. EBA shall in particular test the adequacy of the following criteria and the appropriate levels for such definitions: (a) minimum trade volume of the assets (b) minimum outstanding volume of the assets (c) transparent pricing and post-trade information (d) credit quality steps referred to in Sub-section 2 of Annex VI(e) proven record of price stability(f) average volume traded and average trade size (g) maximum bid/ask spread (h) remaining time to maturity (i) minimum turnover ratio	31/12/2013	N/A	Regulation CRR Art 481 point 2	1
138	By 31 December 2015, EBA shall report to the Commission on whether and how it would be appropriate to ensure that institutions use stable sources of funding, including an assessment of the impact on the business and risk profile of Union institutions or on financial markets or the economy and bank lending, with a particular focus on lending to small and medium enterprises and on trade financing, including lending under official export credit insurance schemes.	31/12/2015	N/A	Regulation CRR Art 481 point 3	3
139	For the purposes of paragraph 1, the EBA shall report to the Commission by 31 October 2016 on at least the following: (a) whether the requirements laid out in Articles 75 and 85 of Directive [inserted by OP] in accordance with Articles 72 and 92 of Directive [inserted by OP] for addressing the risk of excessive leverage ensure sound management of this risk by institutions and, if not, which further enhancement they need in order to ensure these objectives; (b) whether – and if so, which – changes to the calculation methodology detailed in Article 416 would be necessary to ensure that the leverage ratio can be used as an appropriate indicator of an institution’s risk of excessive leverage; (c) whether, in the context of the calculation of the total exposure measure of the leverage ratio, the exposure value of items listed in Annex II and credit derivatives determined by using the Original Exposure Method differs in a material way from the exposure value determined by using the Mark-to-Market Method; (d) whether using either own funds or Common Equity Tier 1 capital as the capital measure of the leverage ratio could be more appropriate for the intended purpose of tracking the risk of excessive leverage and, if so, what would be the appropriate calibration of the leverage ratio; (e) whether the 10% conversion factor for commitments that are unconditionally cancellable is appropriately conservative based on the evidence collected during the observation period; (f) whether the frequency and format of the disclosure of items referred to in Article 436 are adequate; (g) whether 3% would be an appropriate level for the leverage ratio based on Tier 1 capital and, if not, what level would be the appropriate one; (h) whether introducing the leverage ratio as a requirement for institutions would necessitate any changes to the leverage ratio framework provided by this Regulation and, if so, which ones; (i) whether introducing the leverage ratio as a requirement for institutions would effectively constrain the risk of excessive leverage on the part of those institutions and, if so, whether the level for the leverage ratio should be the same for all institutions or should differ for different types of institution and, in the latter case, what additional calibrations would be required.	31/10/2016	N/A	Regulation CRR Art 482 point 2	3
140	The Commission shall within 24 months after the entry into force of this Regulation, report on the impact of the own funds requirements laid down in this Regulation on lending to small and medium sized enterprises and natural persons and shall submit this report to the European Parliament and the Council, and, if appropriate, a legislative proposal. For these purposes, EBA shall report the following to the Commission with regard to Article 118: (a) a comparison between actual unexpected credit losses on lending to small and medium sized enterprises and natural persons within the European Union over a full economic cycle and the unexpected credit losses based on the credit risk weights applicable to lending to small and medium-sized enterprises; (b) an analysis whether the limit of EUR 1 million constrains the appropriate application of the risk-weighting.	01/08/2013	N/A	Regulation CRR Art 485	2
141	The Member States shall notify EBA if they believe a zero-weighting to be justified according to the criteria laid down in point 21. Other Member States may offer the credit institutions under the supervision of their competent authorities the possibility of applying a zero weighting where they undertake business with the regional governments or local authorities in question or where they hold claims guaranteed by the latter, including collateral in the form of securities	N/A	N/A	Regulation CRR Annex IV part 4 - 22	N/A
142	The Member States shall notify EBA of any provisions adopted pursuant to points 23 and 24 and of the grounds for such provisions.	N/A	N/A	Regulation CRR Annex IV part 5 - 25	N/A
143	It is appropriate that decisions of Member States on countercyclical buffer rates are coordinated as far as possible. In this regard, the ESRB, if requested by national authorities, could facilitate discussions among them about their proposed buffer settings. In order to promote a consistent approach to the factors on which designated authorities base those decisions, and to ensure that the setting of countercyclical buffer rates is consistent with the fundamental principles of the internal market, designated authorities should also be required to notify the ESRB and the EBA whenever they take into account variables other than the deviation of the ratio of credit-to-GDP from its long term trend and related guidance from the ESRB, and as a result set a buffer rate that is higher than it would have been if those variables had not been taken into account. The purpose of such notification should be for the ESRB and the EBA to assess the nature of those variables and the consistency of the setting of the buffer rate with the internal market principles.	TBD	N/A	Directive CRD 60	N/A
144	Member States shall designate competent authorities that carry out the duties provided for in this Directive. They shall inform the Commission and EBA thereof, indicating any division of duties.	N/A	N/A	Directive CRD Art 5 point 1	N/A
145	Member States shall require credit institutions to obtain authorisation before commencing their activities. Without prejudice to Articles 10 to 14, they shall lay down the requirements for such authorisation and notify EBA.	N/A	N/A	Directive CRD Art 9 point 1	N/A
146	EBA shall develop draft regulatory technical standards on the following: (a) specifying the information to be provided to the competent authorities in the application for the authorisation of credit institutions, including the programme of operations provided for in Article 10; (b) specifying the conditions to comply with the requirement set out in Article 13; (c) specifying the requirements applicable to shareholders and members with qualifying holdings; (d) specifying obstacles which may prevent effective exercise of the supervisory functions of the competent authority, as provided for in Article 14. EBA shall submit the draft technical standards referred to in paragraphs 2 and 3 to the Commission by 31 December 2015	31/12/2015	2015Q2	Directive CRD Art 9 point 2	3
147	EBA shall develop draft implementing technical standards on standard forms, templates and procedures for such provision of information. EBA shall submit the draft technical standards referred to in paragraphs 2 and 3 to the Commission by 31 December 2015	31/12/2015	2015Q2	Directive CRD Art 9 point 3	3

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
148	Member States may, subject to the following conditions, grant authorisation to particular categories of credit institutions the initial capital of which is less than that specified in paragraph 1: (a) the initial capital shall be no less than EUR 1 million; (b) the Member States concerned shall notify the Commission and EBA of their reasons for exercising that option.	N/A	N/A	Directive CRD Art 12 point 4	N/A
149	EBA shall assess those instances where MS have exercised the option	N/A	N/A	Directive CRD Art 12 point 4	N/A
150	Competent authorities shall notify to EBA every authorisation granted under Article 9.	N/A	N/A	Directive CRD Art 20 point 1	N/A
151	EBA specifies each credit institution to which authorisation has been granted	Ongoing	N/A	Directive CRD Art 20 point 2	1
152	EBA publishes list containing the name of each credit institution to which authorisation has been granted on EBA's website and updates regularly	Ongoing	N/A	Directive CRD Art 20 point 2	1
153	The consolidating supervisor shall provide the competent authorities concerned and EBA with all information regarding the group of institutions in accordance with Articles 14(3), 73(1) and 104(2), in particular regarding the legal and organisational structure of the group and its governance	Ongoing	N/A	Directive CRD Art 20 point 3	N/A
154	The competent authorities shall notify each withdrawal of authorisation to EBA together with the reasons for such a decision.	Ongoing	N/A	Directive CRD Art 20 point 5	N/A
155	EBA shall develop draft regulatory technical standards to establish an exhaustive list of information, referred to in Article 23(4), to be included by proposed acquirers in their notification, without prejudice to paragraph 3 of this Article. EBA shall submit the draft technical standards referred to in paragraphs 9 and 10 to the Commission by 31 December 2015.	31/12/2015	2015Q2	Directive CRD Art 22 point 9	3
156	EBA shall develop draft implementing technical standards to establish common procedures, forms and templates for the consultation process between the relevant competent authorities as referred to in Article 24. EBA shall submit the draft technical standards referred to in paragraphs 9 and 10 to the Commission by 31 December 2015.	31/12/2015	2015Q2	Directive CRD Art 22 point 10	3
157	EBA shall develop draft regulatory technical standards to specify the information to be notified in accordance with this Article. EBA shall submit the draft technical standards referred to in paragraphs 5 and 6 to the Commission by 1 January 2014. (<i>establishment of branch</i>)	31/12/2013	2013Q2	Directive CRD Art 35 point 5	2
158	EBA shall develop draft implementing technical standards to establish standard forms, templates and procedures for such notification. EBA shall submit the draft technical standards referred to in paragraphs 5 and 6 to the Commission by 1 January 2014. (<i>establishment of branch</i>)	31/12/2013	2013Q2	Directive CRD Art 35 point 6	2
159	EBA shall develop draft regulatory technical standards to specify the information to be notified in accordance with this Article. EBA shall submit the draft technical standards referred to in paragraphs 5 and 6 to the Commission by 1 January 2014. (<i>Commencement of activities</i>)	31/12/2013	2013Q2	Directive CRD Art 36 point 5	2
160	EBA shall develop draft implementing technical standards to establish standard forms, templates and procedures for such notification. EBA shall submit the draft technical standards referred to in paragraphs 5 and 6 to the Commission by 1 January 2014. (<i>Commencement of activities</i>)	31/12/2013	2013Q2	Directive CRD Art 36 point 6	2
161	The Member States shall inform the Commission and EBA of the number and type of cases in which there has been a refusal pursuant to Article 35 and Article 36(1), (2) and (3) (Refusal of activities)	N/A	N/A	Directive CRD Art 37	N/A
162	EBA shall develop draft regulatory technical standards to specify the information to be notified in accordance with this Article. EBA shall submit the draft technical standards referred to in paragraphs 4 and 5 to the Commission by 1 January 2014. (<i>Notification procedure</i>)	31/12/2013	2013Q2	Directive CRD Art 39 point 4	2
163	EBA shall develop draft implementing technical standards to establish standard forms, templates and procedures for such notification. EBA shall submit the draft technical standards referred to in paragraphs 4 and 5 to the Commission by 1 January 2014. (<i>Notification procedure</i>)	31/12/2013	2013Q2	Directive CRD Art 39 point 5	2
164	Where the competent authorities of the host Member State allege that the competent authorities of the home Member State have not fulfilled their obligations or will not fulfill their obligation pursuant to paragraph 1, they may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) 1093/2010. In that case, EBA may act in accordance with the powers conferred on it by that Article. EBA shall take any decision under Article 19(3) of Regulation (EU) No 1093/2010 within 24 hours.	N/A	N/A	Directive CRD Art 41 point 2	1
165	The Commission, EBA and the competent authorities of the other Member States concerned shall be informed of precautionary measures without undue delay. Where competent authorities of the home Member State have objections against measures taken by the competent authorities of the host Member State, they may refer the matter to EBA and request its assistance in accordance with Article 19 of Regulation (EU) No 1093/2010. In that case, EBA may act in accordance with the powers conferred on it by that Article. Where it acts, EBA shall take any decision under Article 19(3) of Regulation (EU) No 1093/2010 within 24 hours.	N/A	N/A	Directive CRD Art 43 point 5	1
166	The Commission may, after consulting the competent authorities of the Member States concerned and EBA, decide that the Member State in question shall amend or abolish precautionary measures.	N/A	N/A	Directive CRD Art 43 point 6	1
167	The competent authorities shall notify the Commission, EBA and the European Banking Committee of all authorisations for branches granted to credit institutions having their head office in a third country.	N/A	N/A	Directive CRD Art 47 point 2	N/A
168	EBA shall assist the Commission for the purposes of this Article in accordance with Article 33 of Regulation (EU) No 1093/2010. (<i>consolidated basis supervision</i>)	N/A	N/A	Directive CRD Art 48 point 4	1

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
169	The competent authorities of the home Member State shall communicate and explain upon request to the competent authorities of the host Member State how information and findings provided by the latter have been taken into account. Where, following communication of information and findings, the competent authorities of the host Member State maintains that no appropriate measures have been taken by the competent authorities of the home Member State, the competent authorities of the host Member State may refer the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010. In that case, EBA may act in accordance with the powers conferred on it by that Article. Where it acts, EBA shall take any decision within one month.	N/A	N/A	Directive CRD Art 51 point 4	1
170	The competent authorities may refer to EBA situations where a request for collaboration, in particular to exchange information, has been rejected or has not been acted upon within a reasonable time. Without prejudice to Article 258 of the Treaty, EBA may, in those situations, act in accordance with the powers conferred on it under Article 19 of Regulation (EU) No 1093/2010.	N/A	N/A	Directive CRD Art 51 point 5	1
171	EBA shall develop draft regulatory technical standards to specify the information contained in this Article. EBA shall submit the draft technical standards referred to in paragraphs 6 and 7 to the Commission by 1 January 2014.	31/12/2013	2013Q2	Directive CRD Art 51 point 6	2
172	EBA shall develop draft implementing technical standards to establish standard forms, templates and procedures for the information sharing requirements which are likely to facilitate the monitoring of institutions. EBA shall submit the draft technical standards referred to in paragraphs 6 and 7 to the Commission by 1 January 2014.	31/12/2013	2013Q2	Directive CRD Art 51 point 7	2
173	If, at the end of the initial two-month period any of the competent authorities concerned has referred the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010, the competent authorities of the host Member State shall defer their decision and await the decision that EBA may take in accordance with Article 19(3) of that Regulation. The competent authorities of the host Member State shall take their decision in conformity with that of EBA. The two-month period shall be deemed to be the conciliation phase within the meaning of Article 19 of that Regulation. EBA shall take its decision within 1 month. The matter shall not be referred to EBA after the end of the initial two month period or after a joint decision has been reached.	N/A	N/A	Directive CRD Art 52 point 1	1
174	EBA shall develop draft regulatory technical standards in order to specify general conditions for the functioning of colleges of supervisors. EBA shall submit the draft technical standards referred to in paragraphs 5 and 6 to the Commission by 31 December 2015	31/12/2015	2015Q2	Directive CRD Art 52 point 5	1
175	EBA shall develop draft implementing technical standards in order to determine the operational functioning of colleges of supervisors EBA shall submit the draft technical standards referred to in paragraphs 5 and 6 to the Commission by 31 December 2015	31/12/2015	2015Q2	Directive CRD Art 52 point 6	1
176	Member States shall communicate to EBA the names of the authorities which may receive information pursuant to paragraphs 1 and 2.	N/A	N/A	Directive CRD Art 58 point 2	N/A
177	EBA shall issue guidelines addressed to competent authorities in accordance with Article 16 of Regulation (EU) No 1093/2010 on types of administrative measures and sanctions and level of administrative pecuniary sanctions.	No Deadline	TBD	Directive CRD Art 69 point 2	3
178	EBA shall develop draft regulatory technical standards to specify the arrangements, processes and mechanisms referred to in paragraph 1, in accordance with the principles of proportionality and comprehensiveness set out in paragraph 2. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2015. (<i>Procedures and internal control mechanisms</i>)	31/12/2015	2015Q2	Directive CRD Art 73 point 3	3
179	Competent authorities shall use the information collected in accordance with the criteria for disclosure established in Article 435 (1) of Regulation [inserted by OP] to benchmark remuneration trends and practices. The competent authorities shall provide EBA with that information.	N/A	N/A	Directive CRD Art 74 point 1	N/A
180	EBA shall issue guidelines on sound remuneration policies which comply with the principles set out in Article 88. The guidelines shall take into account the principles on sound remuneration policies set out in the Commission Recommendation of 30 April 2009 on remuneration policies in the financial services sector ³⁹ . ESMA shall cooperate closely with EBA to develop guidelines on remuneration policies for categories of staff involved in the provision of investment services and activities within the meaning of point 2 of Article 4(1) of Directive 2004/39/EC. EBA shall use the information received from the competent authorities in accordance with paragraph 3 to benchmark remuneration trends and practices at Union level.	No Deadline	TBD	Directive CRD Art 74 point 2	2
181	Competent authorities shall collect information on the number of individuals per institution in pay brackets of at least EUR 1 million, including the business area involved and the main elements of salary, bonus, long-term award and pension contribution. That information shall be forwarded to EBA. The EBA may elaborate guidelines to facilitate the implementation of this paragraph and ensure the consistency of the information collected.	No Deadline	TBD	Directive CRD Art 74 point 3	1
182	Competent authorities shall collect information on the number of individuals per institution in pay brackets of at least EUR 1 million, including the business area involved and the main elements of salary, bonus, long-term award and pension contribution. EBA shall publish it on an aggregate home Member State basis in a common reporting format.	Ongoing	N/A	Directive CRD Art 74 point 3	1
183	EBA shall develop draft regulatory technical standards to further define the notion 'exposures which are material in absolute terms' referred to in paragraph 1 and 2 and the thresholds for large numbers of material counterparties and positions in debt instruments of different issuers. EBA shall submit those draft regulatory technical standards to the Commission by 1 January 2014.	31/12/2013	2013Q2	Directive CRD Art 76 point 3	2
184	Competent authorities shall use the information collected in accordance with the criteria for disclosure established in Article 422 of Regulation [inserted by OP] to benchmark diversity practices. The competent authorities shall provide EBA with that information.	31/12/2013	N/A	Directive CRD Art 87 point 4	2
185	EBA shall use this information to benchmark diversity practices at Union level - as the provisions are to be applied by 1 January 2013, a first report would be expected for 2013 - a GL is needed to define the common reporting framework	31/12/2013	N/A	Directive CRD Art 87 point 4	2

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
186	EBA shall develop draft regulatory technical standards to specify the following: (a) the notion of sufficient time commitment of a member of the management body to perform his functions, in relation to the individual circumstances and the nature, scale and complexity of activities of the institution which competent authorities must take into account when they authorise a member of the management body of an institution to combine more directorships than permitted as referred to in paragraph 1(a); (b) the notion of adequate collective knowledge, skills and experience of the management body as referred to in paragraph 1(b); (c) the notions of honesty, integrity and independence of mind of a member of the management body as referred to in paragraph 1(c); (d) the notion of adequate human and financial resources devoted to the induction and training of members of the management body as referred to in paragraph 2; (e) the notion of diversity to be taken into account for the selection of members of the management body as referred to in paragraph 3. EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2015.	31/12/2015	2015Q2	Directive CRD Art 87 point 5	3
187	EBA shall develop draft regulatory technical standards with respect to the criteria to determine the appropriate ratios between fixed and the variable component of the total remuneration referred to in point (e) and to specifying the classes of instruments that satisfy the conditions laid down point (j)(ii). EBA shall submit those draft regulatory technical standards to the Commission by 31 December 2013.	31/12/2013	2013Q2	Directive CRD Art 90 point 2	1
188	The consolidating supervisor shall inform EBA and the European Insurance and Occupational Pensions Authority (EIOPA) established by Regulation (EU) No 1094/2010 of the decisions taken under paragraphs 1 and 2. (<i>Supervision of mixed financial holding companies</i>)	N/A	N/A	Directive CRD Art 93 point 3	N/A
189	EBA, EIOPA and ESMA shall, through the Joint Committee referred to in Article 54 of those Regulations, develop guidelines aimed at converging supervisory practices and shall, within three years of the adoption of those guidelines, develop draft regulatory technical standards. (<i>Supervision of mixed financial holding companies</i>)	No Deadline	TBD	Directive CRD Art 93 point 4	3
190	The competent authorities shall notify EBA where they apply paragraph 1. EBA shall monitor supervisory practices and issue guidelines to specify how similar risks should be assessed. Those guidelines shall be adopted in accordance with Article 16 of Regulation (EU) No 1093/2010. (<i>Application of supervisory measures to a type of institutions</i>)	No Deadline	TBD	Directive CRD Art 95 point 2	3
191	EBA shall issue guidelines in accordance with Article 16 of Regulation (EU) No. 1093/2010 to ensure that common methodologies are used by the competent authorities when conducting annual supervisory stress tests.	No Deadline	TBD	Directive CRD Art 97 point 2	3
192	In order to promote consistent soundness of internal approaches in the Union, EBA shall analyse internal approaches across institutions, including the consistency of implementation of the definition of default and how those institutions treat similar risks or exposures. EBA shall develop guidelines in accordance with Article 16 of Regulation (EU) No 1093/2010, which contain benchmarks on the basis of that analysis. (<i>use internal approaches</i>)	No Deadline	TBD	Directive CRD Art 98 point 5	3
193	Competent authorities shall notify EBA of the following: (a) the functioning of their review and evaluation system referred to in Article 92; (b) the methodology used to base decisions referred to in Articles 94(3) and Articles 97, 98 and 99 on the systems referred to in point (a). Competent authorities shall notify EBA of the decisions including their reasons, which they have taken in accordance with Articles 94(3) and Articles 97, 98 and 99.	N/A	N/A	Directive CRD Art 102 point 1	N/A
194	EBA shall annually report to the European Parliament and the Council on the degree of convergence of the application of the provisions of this Chapter between Member States. (<i>review processes</i>)	Ongoing	N/A	Directive CRD Art 102 point 2	1
195	EBA shall annually report to the European Parliament and the Council on the degree of convergence of the application of the provisions of this Chapter between Member States. In order to increase the degree of such convergence, EBA shall conduct peer reviews in accordance with Article 30 of Regulation (EU) No 1093/2010. (<i>sanctioning</i>)	Ongoing	N/A	Directive CRD Art 102 point 2	1
196	EBA shall develop draft regulatory technical standards to further specify the following: (a) the common procedure and methodology for review and evaluation systems referred to in paragraph 1 and in Article 92; (b) the criteria concerning the organisation and treatment of the risks referred to in Articles 75 to 85 and the criteria on review and evaluation by the competent authorities as referred to in Article 92. EBA shall submit the draft technical standards referred to in paragraph 3 to the Commission by 31 December 2015. (<i>Supervisory review and evaluation</i>)	31/12/2015	2015Q2	Directive CRD Art 102 point 3	2
197	The competent authorities shall notify the Commission and EBA of any agreement falling within paragraph 5. (<i>consolidated supervision</i>)	N/A	N/A	Directive CRD Art 106 point 6	N/A
198	The joint decision shall be set out in a document containing the fully reasoned decision which shall be provided to the EU parent institution by the consolidating supervisor. In the event of disagreement, the consolidating supervisor shall at the request of any of the other competent authorities concerned consult EBA. The consolidating supervisor may consult EBA on its own initiative.	N/A	N/A	Directive CRD Art 108 point 2	1
199	In the absence of such a joint decision between the competent authorities within the time period referred to in paragraph 2, a decision on the application of Articles 72, 84, 92, 98 and 99 shall be taken on a consolidated basis by the consolidating supervisor after duly considering the risk assessment of subsidiaries performed by relevant competent authorities. If, at the end of the time period referred to in paragraph 2, any of the competent authorities concerned has referred the matter to EBA in accordance with Article 19 of Regulation (EU) No 1093/2010, the consolidating supervisor shall defer its decision and await any decision that EBA may take in accordance with Article 19(3) of that Regulation, and shall take its decision in conformity with the decision of EBA. The time period referred to in paragraph 2 shall be deemed the conciliation period within the meaning of the Regulation. EBA shall take its decision within 1 month. The matter shall not be referred to EBA after the end of the four month period or after a joint decision has been reached.	N/A	N/A	Directive CRD Art 108 point 3	1
200	EBA shall develop draft implementing technical standards to ensure uniform conditions of application of the joint decision process referred to in this Article, with regard to the application of Articles 72, 84, 92, 98 and 99 with a view to facilitating joint decisions. EBA shall develop draft implementing technical standards for submission to the Commission by 31 December 2013.	31/12/2013	2013Q2	Directive CRD Art 108 point 5	1

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
201	Where an emergency situation, including a situation as defined in Article 18 of Regulation (EU) No 1093/2010 or a situation of adverse developments in markets, arises, which potentially jeopardises the market liquidity and the stability of the financial system in any of the Member State where entities of a group have been authorised or where significant branches referred to in Article 52 are established, the consolidating supervisor shall, subject to Chapter 1, Section 2, and where applicable Articles 54 and 58 of Directive 2004/39/EC, alert as soon as is practicable, EBA, ESRB and the authorities referred to in Article 59(4) and in Article 60 and shall communicate all information essential for the pursuance of their tasks. Those obligations shall apply to all competent authorities if the authority referred to in Article 59(4) becomes aware of a situation described in the first subparagraph, it shall alert as soon as is practicable the competent authorities referred to in Article 107, and EBA.	N/A	N/A	Directive CRD Art 109 point 1	N/A
202	The competent authorities responsible for authorising the subsidiary of a parent undertaking which is a institution may, by bilateral agreement, in accordance with Article 28 of Regulation (EU) No 1093/2010, delegate their responsibility for supervision to the competent authorities which authorised and supervise the parent undertaking so that they assume responsibility for supervising the subsidiary in accordance with this Directive. EBA shall be kept informed of the existence and content of such agreements. It shall forward such information to the competent authorities of the other Member States and to the European Banking Committee.	N/A	N/A	Directive CRD Art 110 point 2	N/A
203	EBA shall contribute to promoting and monitoring the efficient, effective and consistent functioning of colleges of supervisors referred to in this Article in accordance with Article 21 of Regulation (EU) No 1093/2010. To that end, EBA shall participate as it deems appropriate and shall be considered as a competent authority for that purpose	Ongoing	N/A	Directive CRD Art 111 point 1	1
204	EBA shall develop draft regulatory technical standards in order to specify general conditions of functioning of the colleges of supervisors. EBA shall submit these draft regulatory technical standards by 31 December 2013.	31/12/2013	2013Q2	Directive CRD Art 111 point 4	1
205	EBA shall develop draft implementing technical standards in order to determine the operational functioning of the colleges of supervisors. EBA shall submit these draft implementing technical standards by 31 December 2013.	31/12/2013	2013Q2	Directive CRD Art 111 point 5	1
206	The consolidating supervisor, subject to the confidentiality requirements under Chapter 1, Section II, and where applicable, Articles 54 and 58 of Directive 2004/39/EC, shall inform EBA of the activities of the college of supervisors, including in emergency situations, and communicate to EBA all information that is of particular relevance for the purposes of supervisory convergence.	N/A	N/A	Directive CRD Art 111 point 9	N/A
207	The competent authorities responsible for supervision on a consolidated basis shall establish lists of the financial holding companies or mixed financial holding companies referred to in Article 10 of Regulation [inserted by OP]. Those lists shall be communicated to the competent authorities of the other Member States, to EBA and to the Commission.	N/A	N/A	Directive CRD Art 119 point 3	N/A
208	The Commission may request the European Banking Committee to give general guidance as to whether the consolidated supervision arrangements of competent authorities in third countries are likely to achieve the objectives of consolidated supervision as defined in this Chapter, in relation to institutions, the parent undertaking of which has its head office in a third country. The Committee shall keep any such guidance under review and take into account any changes to the consolidated supervision arrangements applied by such competent authorities. EBA shall assist the Commission and the European Banking Committee in carrying out those tasks, including as to whether such guidance should be updated. The competent authority carrying out the verification referred to in the first subparagraph of paragraph 1 shall take into account any such guidance. For that purpose, the competent authority shall consult EBA before adopting a decision.	TBD	N/A	Directive CRD Art 121 point 2	2
209	The supervisory techniques shall be designed to achieve the objectives of consolidated supervision as defined in this Chapter.	N/A	N/A	Directive CRD Art 121 point 3	N/A
210	The supervisory techniques shall be designed to achieve the objectives of consolidated supervision as defined in this Chapter and shall be notified to the other competent authorities involved, EBA and the Commission.	N/A	N/A	Directive CRD Art 121 point 3	N/A
211	Where, in setting the countercyclical buffer rate, a designated authority takes into account variables mentioned in point (c), and the setting of that buffer rate would have been lower if variables mentioned in point (c) had not been taken into account, the designated authority shall notify EBA and the ESRB. EBA and the ESRB shall assess whether the variables on which the buffer rate is based relate to risks to financial stability and whether the setting of a buffer rate taking into account those variables is consistent with the fundamental principles of the internal market for financial services as reflected in Union legislation in the field of financial services.	N/A	N/A	Directive CRD Art 126 point 4	1
212	EBA shall develop draft regulatory technical standards to specify the method for the identification of the geographical location of the relevant credit exposures referred to in paragraph 5. EBA shall submit the draft regulatory standards to the Commission by 31 December 2014. (countercyclical buffer)	31/12/2014	2014Q2	Directive CRD Art 130 point 7	3
213	EBA shall develop draft implementing technical standards to determine the format, structure, contents list and annual publication date of the information listed in paragraph 1. EBA shall submit those draft implementing technical standards to the Commission by 1 January 2014. (disclosure general requirements)	31/12/2013	2013Q2	Directive CRD Art 133 point 3	2
214	[Review Clause] From 2014 onwards, EBA shall, in cooperation with EIOPA and ESMA, biannually publish a report about the extent legislation of Member States refers to external ratings and about steps taken by Member States to reduce such references. This report shall also outline how competent authorities meet their obligations set out in Article 76(1) and (2) and in Article 77(1)(b). This report shall also outline the degree of supervisory convergence in this regard.	31/12/2014	N/A	Directive CRD Art 150 point 2	3
215	Member States shall communicate to the Commission and to EBA the text of the main provisions of national law which they adopt in the field covered by this Directive.	N/A	N/A	Directive CRD Art 151 point 4	N/A
216	Redesign of risk assessment questionnaire and thematic reviews	31/03/2012	N/A	Regular EBA task	1
217	Finalise revised XBRL taxonomy for revised reporting frameworks	31/12/2012	N/A	Regular EBA task	1
218	Data gaps identified and agreed with SCARA	31/03/2012	N/A	Regular EBA task	1
219	Enhanced micro prudential Key Risk Indicators (KRIs) developed as part of the risk dashboard (V2 dashboard)	30/06/2012	N/A	Regular EBA task	1
220	Revised strategy for Pillar 3 disclosures	30/06/2012	N/A	EBA initiative	1
221	Develop a project plan and exercise the take over of collection CBD on behalf of ESRB	31/12/2012	N/A	EBA/ECB	2

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
222	Perform cross sector risk assessment and feed into sector work	31/12/2012	N/A	EBA initiative	1
223	Assisting the EBA in preparing cooperation agreements with 3rd countries	31/12/2013	N/A	EBA initiative	3
224	Semi-annual fully fledged risk assessment reports (to BoS/ ESRB and also to be used as an input to the Joint Committee's bi-annual submission to the EFC-FST)	ongoing	N/A	Regular EBA task	1
225	Quarterly risk assessment updates (to BoS/ESRB)	ongoing	N/A	Regular EBA task	1
226	Annual EBA risk assessments to EP and publications	31/12/2012	N/A	EBA Regulation	2
227	Dashboard with comprehensive info on major cross-border banking groups including qualitative and quantitative elements	Ongoing	N/A	Regular EBA task	1
228	Peer group analysis based on groups of major cross-border banking groups	Ongoing	N/A	Regular EBA task	1
229	Sector dashboard for FBA BoS and ESRB	Ongoing	N/A	Regular EBA task	1
230	Assessment of consistency of outcomes in RWAs, including assessment of specific portfolios/customers in a sample of banks	31/03/2012	N/A	EBA initiative, together with ESRB	1
231	Report on extent of forbearance in major EU banking groups and associated risks	30/06/2012	N/A	EBA initiative	1
232	An EU-wide stress testing exercise coordinated and reported	31/12/2012	N/A	Regular EBA task	1
233	Top-down stress test and benchmarks developed	30/09/2012	N/A	EBA initiative	1
234	Development of analytical products, including network analysis	31/12/2012	N/A	EBA/ESRB regulations	2
235	ESRB collaborative work on funding structures and asset encumbrance	31/12/2012	N/A	ESRB	2
236	Follow up work on further development of the JRAD implementation tools	31/12/2012	N/A	EBA initiative and CRD review	1
237	Targets identified set for the minimum operations of colleges for major cross border banks in 2012	31/03/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	1
238	Targets achieved as set for the operations of colleges for major cross border banks in 2012	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	1
239	Assessment of exchange of information within the colleges through formal channels, in particular regarding adverse developments	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	1
240	Assessment of JRAD documents (consistency and comprehensiveness, determination of appropriateness of risk management and own funds, identification of risk drivers, identification of key risks and strengths, forward-looking nature, identification of areas where information gathering or corrective actions are required)	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	1
241	Assessment of joint decisions (consistency and comprehensiveness in light of the JRAD)	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	1
242	Assessment of joint planning and coordination of supervisory activities (Coordination of off-site and on-site work, performance of coordinated and/or joint inspections, coordinated follow-up and remedial actions, delegation of tasks)	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	1
243	Develop instruments for peer review analyses of competent authorities (Art. 8e EBA Regulation)	31/12/2012	N/A	Regular EBA task	1
244	Training for NSAs on effective college participation, two courses during 2012, on BTS drafting, 2 courses in 2012 (regulation, legal)	31/12/2012	N/A	Regular EBA task	1
245	Continued improvement and roll out off EBA IT tool for colleges	31/12/2012	N/A	Regular EBA task	1
246	Follow up work on assessment of 3rd country equivalence of confidentiality (mapping of third countries presence and MoUs)	31/03/2012	N/A	Regular EBA task	1
247	Implementation study for EBA Guidelines for the operational functioning of colleges	31/03/2012	N/A	EBA initiative and CRD review	1
248	Update and maintain the monitoring template for colleges	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	2
249	Maintain and update where appropriate the template for multilateral written agreements for colleges	31/12/2012	N/A	Regular EBA task / Directive CRD Art 111 point 1	2
250	Practical procedures developed for binding mediation for all aspects of EBA work where mediation required	31/12/2012	N/A	Regular EBA task	2
251	Implementation study on methodological aspects of GL39 (JRAD)	31/03/2012	N/A	EBA initiative and CRD review	3
252	Development of practical steps for implementing the EBA's role in crisis management	N/A	N/A	Expected EC legislative proposal	1

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
253	Development of practical steps for emergency situations, including establishment of an EBA crisis management team	N/A	N/A	EBA Regulation (Art 18)	1
254	Development of guidelines on treatment of FX lending under Pillar 2 (ICAAP and SREP) with progress report in 2012	31/12/2013	2013Q2	ESRB recommendation	2
255	EBA Data architecture established	30/06/2012	N/A	EBA initiative	2
256	IT solutions for production of 2012 SAS reports in place	30/06/2012	N/A	EBA initiative	2
257	Formalisation of procedures to issue recommendations and monitor them as well as monitoring fulfilment of ESRB warnings	30/06/2012	N/A	Regular EBA task	1
258	Developments of best practices for supervisory stress testing	31/09/2012	N/A	EBA initiative	3
259	COREP implementation questions answered	31/12/2012	N/A	regular EBA task	2
260	FINREP implementation questions answered	31/12/2012	N/A	regular EBA task	2
261	Assessment of banks' disclosures in Pillar 3 reports 2011	30/09/2012	N/A	Regular EBA task	2
262	Assessment of banks' disclosures in annual reports 2011	30/09/2012	N/A	Regular EBA task	3
263	Maintenance of JEGR classification system reflecting FINREP and COREP amendments	31/12/2012	N/A	ESCB	3
264	Development of networks and assessment capacity for instruments validation and lists publication	30/06/2012	N/A	EBA initiative	1
265	The EBA shall issue guidelines/regulatory technical standards on the calculation of contributions to Deposit Guarantee Schemes	TBC	N/A	EC legislative proposal	1
266	Mortgage credit and indemnity insurance	TBC	N/A	Credit agreements relating to residential property	1
267	Monitor developments in accounting standards (Input into accounting standard setting process and exchange of views with IASB and other relevant stakeholders)	N/A	N/A	EBA Initiative	2
268	Monitor developments in auditing standards (input into auditing standard setting process and exchange of views with IAASB and other relevant stakeholders)	N/A	N/A	EBA Initiative	2
269	Powers are conferred upon the Commission to adopt implementing technical standards in accordance with Article 15 of Regulation (EU) No 1095/2010 specifying criteria for establishing which [OTC] derivative contracts are objectively measurable as reducing risks directly related to the commercial activity referred to in paragraph (3) and setting values of the clearing thresholds. When doing so, the Commission shall take into account the systemic relevance of the sum of net positions and exposures by counterparty and per class of [OTC] derivatives over a time period of 6 months. Periodically review the thresholds and each six months propose the necessary implementing technical standards to amend them.	31/06/2012	April 2012	EMIR	2
270	specifying the arrangements required for compliance with paragraph (1), levels of collateral required for compliance with paragraph (1aa), levels of capital required for compliance with paragraph (1bb), equivalence of risk mitigation as referred to in para 1aa and the criteria for the exemption set forth in paragraph (1aaa).	31/06/2012	April 2012	EMIR	2
271	Specifying the appropriate percentage and time horizon, as referred to in paragraph 1, to be considered for the different classes of financial instruments.	31/06/2012	April 2012	EMIR	2
272	Specifying the framework that a CCP should use when defining extreme but plausible market conditions referred to in paragraph (2a), including governance, methodology and types of extreme but plausible market conditions.	31/06/2012	April 2012	EMIR	2
273	Specifying the framework for managing the CCP's liquidity risk referred to in paragraph 1 that the CCP shall withstand.	31/06/2012	April 2012	EMIR	2
274	Specifying the methodology for calculation and maintenance of the amount of the CCP's own resources to be used in accordance with paragraph 3a.	31/06/2012	April 2012	EMIR	2
275	Specifying the type of collateral that can be considered highly liquid, the haircuts, and the conditions under which commercial bank guarantees may be accepted as collateral referred to in paragraph 1.	31/06/2012	April 2012	EMIR	2
276	Specifying the financial instruments that can be considered highly liquid, bearing minimal credit and market risk as referred to in paragraph 1, the highly secured arrangements referred to in paragraph 2 and the concentration limits referred to in paragraph 4.	31/06/2012	April 2012	EMIR	2
277	In order to facilitate the exercise of the tasks (duty to report to supervisors) referred to in the first subparagraph, EBA and EIOPA shall issue guidelines addressed to the competent authorities supervising credit institutions and insurance undertakings...	2 years after entry into force of regulation	TBD	Audit regulation Art 25(2)	3
278	In order to facilitate the exercise of the task of the audited entity to organize a selection procedure for the appointment of a statutory auditor or audit firm, EBA, EIOPA and ESMA shall issue guidelines addressed to the public-interest entities on the criteria governing the selection procedure referred to in paragraph 3	2 years after entry into force of regulation	TBD	Audit regulation Art 32(10)	3
279	The Member States shall inform each other, EBA, EIOPA and ESMA in accordance with the relevant provisions of Regulations (EU) No 1093/2010, (EU) No 1094/2010 and (EU) No 1095/2010, and shall inform the Commission of the appointment of competent authorities for the purposes of this Regulation.	ongoing after entry into force of regulation	n/a	Audit regulation Art 35(6)	3
280	By X X 20XX [2 years after the entry into force of the Regulation], and at least on a two-year basis thereafter, each competent authority shall draw up a report on this issue and submit it to ESMA, EBA and EIOPA. ESMA, EBA and EIOPA shall use those reports to draw up a joint report on the situation at Union level. The report shall be submitted to the Commission, the European Central Bank and the European Systemic Risk Board.	2 years after entry into force and then 2 yearly	n/a	Audit regulation Art 42(2)	3

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
281	ESMA shall cooperate with EBA and EIOPA within the framework of the Joint Committee of the European Supervisory Authorities established in Article 54 of Regulation (EU) No 1095/2010.	ongoing	n/a	Audit regulation Art 46 (1)	3
282	In order to facilitate the exercise of the tasks provided for in this Regulation, ESMA shall issue guidelines, in accordance with Article 16 of Regulation (EU) No 1095/2010, as appropriate, on (a to m) ... ESMA shall consult EBA and EIOPA before issuing the guidelines referred to in the first subparagraph.	2 years after entry into force of regulation	TBD	Audit regulation Art 46 (3)	3
283	Common standards on the content and presentation of the report referred to in Article 22	2 years after entry into force of regulation	TBD	Art 46 (3) a	3
284	Common standards on the content and presentation of the report referred to in Article 23	2 years after entry into force of regulation	TBD	Art 46 (3) b	3
285	Common standards on the oversight activity of the audit committee referred to in Article 24;	2 years after entry into force of regulation	TBD	Art 46 (3) c	3
286	Common standards and best practices on the content and presentation of the report referred to in Article 27, including the statement referred to in Article 28;	2 years after entry into force of regulation	TBD	Art 46 (3) d	3
287	Common standards and best practices on the gradual rotation mechanism referred to in Article 33;	2 years after entry into force of regulation	TBD	Art 46 (3) e	3
288	Common standards and best practices regarding the dismissal of auditors, in particular on the existence of proper grounds for it, as referred to in Article 34;	2 years after entry into force of regulation	TBD	Art 46 (3) f	3
289	Enforcement practices and activities to be conducted by competent authorities under this Regulation;	2 years after entry into force of regulation	TBD	Art 46 (3) g	3
290	Common standards and best practices for conducting quality assurance reviews provided for in Article 40, taking into consideration, in particular: (i) the different scale and dimension of activity of statutory auditors and audit firms and policies; (ii) the commonality of quality standards, policies and procedures to which members of networks of statutory auditors and audit firms adhere;	2 years after entry into force of regulation	TBD	Art 46 (3) h	3
291	Common standards and best practices for conducting investigations provided for in Article 41;	2 years after entry into force of regulation	TBD	Art 46 (3) i	3
292	Procedures for the exchange of information provided for in Article 48;	2 years after entry into force of regulation	TBD	Art 46 (3) j	3
293	Procedures and modalities for cooperation with regard to quality assurance reviews provided for in Article 49;	2 years after entry into force of regulation	TBD	Art 46 (3) k	3
294	Procedures and modalities for joint investigations and inspections provided for in Article 51;	2 years after entry into force of regulation	N/A	Art 46 (3) l	3
295	The operational functioning of the colleges provided for in Article 53, including on the modalities for determining the membership to the colleges, the selection of facilitators, the written arrangements for the operation of the colleges and the coordination arrangements between colleges.	2 years after entry into force of regulation	TBD	Art 46 (3) m	3
296	By X X 20XX [four years after the entry into force of the Regulation], and at least on a two-year basis thereafter, ESMA shall prepare a report on the application of this Regulation. ESMA shall consult EBA and EIOPA before making public its report.	2 years after entry into force and then 2-yearly	N/A	Audit regulation Art 46(4)	3
297	EBA, EIOPA and ESMA shall jointly issue guidelines addressed to competent authorities in accordance with Article 16 of Regulation No (EU) 1093/2010, Regulation No (EU) 1094/2010 and Regulation No (EU) 1095/2010 on types of administrative measures and sanctions and level of administrative pecuniary sanctions to be applied in individual cases within the national legal framework.	2 years after entry into force of regulation	TBD	Audit regulation Art 63(2)	2
298	Monitor global and EU developments in relation to SIFIs	N/A	N/A	FSB Initiative	2
299	Monitor global and EU developments in relation to Shadow Banking	N/A	N/A	FSB Initiative	2
300	Document management system (incl. workflow and secure exchange of documents with NSAs)	30/06/2012	N/A	EBA initiative	1
301	Implementation of EBA Reporting infrastructure 2013 project	31/12/2012	N/A	EBA initiative	1
302	Implementation of Phase 1 of Financial Institutions Register project. (Phase 2 will start in 2012 but will be concluded in 2013)	30/06/2012	N/A	EBA Regulation - Article 8	1
303	Functional and technical upgrade of EBA website (incl. EBA intranet, meeting management, members' only website, etc.)	31/12/2012	N/A	EBA initiative	2
304	Develop EBA staff training programme	30/06/2012	N/A	EBA initiative	2
305	Review of the EBA's medium and long term IT strategy	30/06/2012	N/A	EBA initiative	1

Number	Description	Deadline (most dates taken from EC Legislative proposals)	Consultation planned	Source of task	Priority
306	Adoption and implementation of the remaining detailed financial procedures and internal rules, the introduction of process SLAs in financial processes which could measure the efficiency of these processes, and the introduction of a financial controlling system that provides regular management information as well as reports to the governing bodies on the financial position of the EBA	31/12/2012	N/A	EBA initiative	1
307	Identify and implement medium term solution for EBA's office needs.	30/06/2012	N/A	EBA initiative	1
308	EBA to develop guidelines/technical standards to ensure consistency and convergence of supervisory practice concerning the development, review and assessment of the recovery and resolution plans	TBC	TBD	Article 25 EBA Regulation and EC (expected) Crisis Management Legislative Proposal	1
309	EBA to develop guidelines/regulatory standards to specify the operational functioning of resolution colleges	TBC	TBD	Art. 25 EBA regulation and EC (expected) Crisis Management Legislative Proposal	1
310	EBA to develop guidelines/technical standards to ensure consistent application of early intervention measures and resolution tools	TBC	TBD	Art. 25 EBA regulation and EC (expected) Crisis Management Legislative Proposal	1
311	Follow up on EU Commissions proposals on Corporate Governance	TBC	TBD	EC's (expected) proposals on Corporate Governance	TBD
312	Report to EBA BoS covering trends, risks and recommendations regarding consumer protection and financial innovation	31/12/2012	N/A	Article 9 EBA regulation	1
313	Analysis of consumer detriment in the context of the mortgage market and other indebtedness issues, (including aspects such as advice, provisions, credit intermediation, credit cards, transparency, financial education, etc) potentially leading to EBA guidance, where appropriate	31/12/2012	TBD	Article 9 EBA regulation	1
314	Identification and analysis of innovative products (or products whose use is innovative and/or rapidly growing), potentially leading to EBA guidance or restrictive measures, where appropriate (and in close co-operation with other ESA's where appropriate)	31/12/2012	TBD	Article 9 EBA regulation	1
315	EBA Roundtable on Consumer Protection	30/06/2012	N/A	Article 9 EBA regulation	1
316	ECAI assessments are subject to ongoing Review (after all significant events/ at least annually) and Mapping of their credit assessments.	ongoing	N/A	CRD - Arts 82 and 98, plus Annex VI Part 2 [1.3 and 4]	2
317	Guidelines on the assessment of fitness and propriety of directors (noting CRDIII seeks CEBS to ensure the existence of guidelines by 1 January 2012)	31/12/2012	April 2012	CRDIII -amended Art 11 of Dir 3006/48/EC	2
318	The EBA staff will, whether upon request from stakeholders (competent authorities, Union institutions, or the Stakeholder Group) or on its own initiative, investigate breaches of Union law by competent authorities. The EBA can issue recommendations to competent authorities setting out the action necessary to comply with Union law. If the competent authority does not comply, the Commission can issue a formal opinion requiring action. If the competent authority fails to comply with the formal opinion then the EBA can, in certain circumstances, issue decisions to individual institutions requiring them to take action to comply with their obligations under directly applicable Union law.	ongoing	N/A	EBA Regulation - Article 17	1
319	Follow up to the EBA recommendations (July 2011) of 2011 EU-wide stress tests (monitoring and reporting)	30/06/2012	N/A	EBA initiative	1
320	Follow up to the EBA recommendations (December 2011) on banks' recapitalisation	30/06/2012	N/A	EBA initiative	1