

JC 2016/52

26 September 2016

Annex

to the Statement by Gabriel Bernardino
on ESAs Joint Committee deliverables
from September 2015 to September 2016

Delivered products from September 2015 until September 2016

Regulatory Technical standards (RTS)

Joint Draft RTS on Key information Documents (KIDs) for Packaged Retail and Insurance-based investment products (PRIIPs) with regard to presentation, content, review and provision of the key information document, including the methodologies underpinning the risk, reward and costs information in accordance with Regulation (EU) No 1286/2014 of the European Parliament and of the Council (JC 2016 21)

Implementing Technical Standards (ITS)

Draft Implementing Technical Standards on the mapping of ECAIs' credit assessment under Article 136(1) and (3) of Regulation (EU) No 575/2013 (Capital Requirements Regulation-CRR) (JC 2016 67)

Consultations/ Hearings
Joint Consultation Paper on PRIIPs Key Information Documents Draft regulatory technical standards with regard to presentation, content, review and provision of the key information document, including the methodologies underpinning the risk, reward and costs information in accordance with Regulation (EU) No 1286/2014 of the European Parliament and of the Council (JC 2015 73)
Consultation on Joint Committee Discussion Paper on automation in financial advice (JC 2015 80)
Consultation Paper on the revised Guidelines on the prudential assessment of acquisitions and increases in qualifying holdings in the financial sector (JC/CP2015/03)
Public Hearing on the revised Guidelines on the prudential assessment of acquisitions and increases in qualifying holdings in the financial sector
Consultation Paper on Joint Guidelines under Article 48 (10) of Directive (EU) 2015/849 on the characteristics of a risk-based approach to anti-money laundering and terrorist financing supervision and the steps to be taken when conducting supervision on a risk-sensitive basis (JC 2015/60)
Consultation Paper on Joint Guidelines under Article 17 and 18(4) of Directive (EU) 2015/849 on simplified and enhanced customer due diligence and the factors credit and financial institutions should consider when assessing the money laundering and terrorist financing risk associated with individual business relationships and occasional transactions (JC 2016 61)

Reports
Joint Committee Report on Risks and Vulnerabilities in the EU financial system – Autumn 2015 (JC 2015 53)
Joint Committee Report on Risks and Vulnerabilities in the EU financial system – Spring 2016 (JC 2016 17)
Joint Committee Report on Risks and Vulnerabilities in the EU financial system – Autumn 2016 (JC 2016 47)
Joint Committee Annual Report 2015 (JC 2016 04)
Joint Committee Work Programme 2016 (JC 2015 55)
Training/ Workshops
Joint ESAs Consumer Protection Day on 16 September 2016 (Paris)
Opinions
Joint Opinion on the European Commission’s amendments to draft ITS on the mapping of ECAIs’ credit assessments under Article 136(1) and (3) of Regulation (EU) No 575/2013 (Capital Requirements Regulation-CRR) (JC 2016 26)
Joint Opinion of the European Supervisory Authorities on the European Commission’s amendments of the final draft Regulatory Technical Standards on risk mitigation techniques for OTC derivatives not cleared by a central counterparty under Article 11(15) of Regulation (EU) No 648/2012 (ESAs 2016 62)

Other publications
Joint Committee list of identified financial Conglomerates 2015 (JC 2015 79)