

Guidelines compliance table

EBA/GL/2019/01

Last update – 18 July 2023; Date of application – 1 July 2019

Guidelines on specification of types of exposures to be associated with high risk under Article 128(3) of Regulation (EU) No 575/2013

The following competent authorities* comply or intend to comply with the EBA's Guidelines on specification of types of exposures to be associated with high risk under Article 128(3) of Regulation (EU) No 575/2013:

Member State	Competent authority	Complies or intends to comply	Comments
BE	National Bank of Belgium	Yes	<p>As at 03.03.2021, notification date. The National Bank of Belgium (NBB) is compliant with these Guidelines and has implemented them in its supervision via a circular of 3 July 2019 (NBB_2019_14).</p> <p>The circular is published on the NBB's website (French and Dutch).</p> <p>Link to French version : Circulaire NBB_2019_14 / Orientations de l'ABE (EBA/GL/2019/01) sur la spécification des types d'expositions devant être considérés comme présentant un risque élevé nbb.be</p> <p>Link to Dutch version : Circulaire NBB_2019_14 / EBA-richtsnoeren (EBA/GL/2019/01) betreffende de specificatie van de soorten blootstellingen waaraan een bijzonder hoog risico verbonden is nbb.be</p>

		Competent authority	Complies or intends to comply	Comments
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Intends to comply**	By 01.07.2019.
CZ	Czech Republic	Czech National Bank	Intends to comply**	By 01.07.2019.
DK	Denmark	Danish Financial Supervisory Authority	Yes	As at 04.03.2021, notification date.
DE	Germany	Bundesanstalt für Finanzdienstleistungsufsicht (BaFin)	Yes	As at 01.01.2020, notification date.
EE	Estonia	Finantsinspektsioon	Yes	As at 22.04.2019, notification date. We have a common list of the ESA GLs on our website which specify compliance, partial compliance or non-compliance for each GL, which can be found here: https://www.finanstilsynet.dk/-/media/EU-lovsamling/ESAretningslinjerexcel19022021pdf3.pdf
IE	Ireland	Central Bank of Ireland	Yes	As at 08.03.2021, notification date. The Guidelines will be considered as part of the Central Bank of Ireland's ongoing credit risk supervision including the completion of future on-site credit risk inspections. Supervisors have also been provided with dedicated training on the implementation of the Guidelines.
EL	Greece	Bank of Greece	Intends to comply**	By such time as the necessary legislative or regulatory proceedings have been completed.
HR	Croatia	Hrvatska narodna banka (Croatian National Bank)	Yes	As at 04.06.2019, notification date.
ES	Spain	Banco de España	Yes	As at 08.03.2021, notification date.
FR	France	Autorité de Contrôle Prudentiel et de Résolution (ACPR)	Yes	As at 03.07.2019, notification date. Please note that the Autorite de contrôle prudentiel (ACPR) published on the 1st of July 2019 on the official register a notification declaring its

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				compliance with the Guidelines on specification of types of exposures to be associated with high risk under Article 128(3) of Regulation (EU) No 575/2013
IT	Italy	Bank of Italy	Intends to comply**	By 31.12.2019.
CY	Cyprus	Central Bank of Cyprus	Intends to comply**	By 01.07.2019.
LV	Latvia	Financial and Capital Market Commission	Yes	As at 08.03.2021, notification date. https://likumi.lv/ta/id/317280-kreditriska-kapitala-prasibas-aprekinasanas-normativie-noteikumi
LT	Lithuania	Bank of Lithuania	Yes	As at 01.07.2019, notification date.
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	Yes	As at 05.03.2021, notification date. https://www.cssf.lu/wp-content/uploads/cssf12_552eng.pdf
HU	Hungary	Central Bank of Hungary	Intends to comply**	Bu 01.01.2020.
MT	Malta	Malta Financial Services Authority (MFSA)	Yes	Complies as of the day of the new notification received on 13/07/2023. By such time as the necessary legislative or regulatory proceedings have been completed.
NL	Netherlands	De Nederlandsche Bank (DNB)	Yes	As at 22.02.219, notification date.
AT	Austria	Austrian Financial Market Authority (FMA)	Yes	As at 13.05.2019, notification date.
PL	Poland	Komisja Nadzoru Finansowego	Yes	As at 15.05.2019, notification date.
PT	Portugal	Banco de Portugal	Yes	As at 09.03.2021, notification date. Banco de Portugal informs the EBA that it complies with the Guidelines on high risk as of July, 1 2019. Banco de Portugal issued and published, on its Official Bulletin no.

		Competent authority	Complies or intends to comply	Comments
				6/2019 - Supplement no. 2, from June, 28 2019, Instruction no. 12/2019* aimed at raising awareness to supervised institutions on the importance of adequately complying with these Guidelines and further emphasizing that Banco de Portugal will take these Guidelines in consideration when performing its supervisory duties. * https://www.bportugal.pt/sites/default/files/anexos/pdf-boletim/bo_6_2019_2s.pdf
RO	Romania	National Bank of Romania	Yes	As at 09.03.2021, notification date. Guideline has been transposed through NBR Regulation from 27.12.2019. http://www.bnr.ro/Institutii-de-credit--1965.aspx
SI	Slovenia	Bank of Slovenia	Yes	As at 06 May 2019, notification date. https://www.uradni-list.si/glasilo-uradni-list-rs/vsebina/2019-01-1311?sop=2019-01-1311
SK	Slovakia	Národná banka Slovenska	Intends to comply**	By 01.07.2019.
FI	Finland	Finanssivalvonta (FIN-FSA)	Yes	As at 03.03.2021, notification date. pls see the FIN-FSA Regulations and guidelines 5/2019 'Regulations and Guidelines related to the Capital Requirements Regulation' https://www.finanssivalvonta.fi/en/regulation/FIN-FSA-regulations/capital-adequacy/05_2019/
SE	Sweden	Finansinspektionen	Yes	As at 15.05.2019, notification date.
UK	United Kingdom	PRA	Intends to comply**	By 01.07.2019.
		The Financial Conduct Authority (FCA)		No response

EU Institutions – Agencies

		Competent authority	Complies or intends to comply	Comments
ECB	ECB	ECB	Yes	As at 03.05.2019, notification date.
EEA – EFTA State				
IS	Iceland	Fjármálaeftirlitið (Financial Supervisory Authority, Iceland)	Yes	As at 04.03.2021, notification date.
LI	Liechtenstein	Financial Market Authority Liechtenstein (FMA)	Yes	As at 09.03.2021, notification date.
NO	Norway	Finanstilsynet (FSA Norway)	Yes	As at 25.04.2019, notification date.
European Territories under Article 355(3) TFEU				
UK	United Kingdom	Gibraltar Financial Services Commission		No response

*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

** Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.



For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website www.eba.europa.eu.