

Bank name:

Standard Chartered

General Bank Data

Section 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:			
(1) Country code	1001	GB	1.a.(1)
(2) Bank name	1002	StandardChartered	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2018-12-31	1.a.(3)
(4) Reporting currency	1004	USD	1.a.(4)
(5) Euro conversion rate	1005	0,873362445	1.a.(5)
(6) Submission date (yyyy-mm-dd)	1006	2019-04-30	1.a.(6)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1 000 000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009	2019-04-30	1.b.(3)
(4) Language of public disclosure	1010	English	1.b.(4)
(5) Web address of public disclosure	1011	https://www.sc.com/en/investors/financial-results/#FullYear	1.b.(5)

Size Indicator

Section 2 - Total Exposures	GSIB	Amount	
a. Derivatives			
(1) Counterparty exposure of derivatives contracts	1012	5 477	2.a.(1)
(2) Capped notional amount of credit derivatives	1201	1 221	2.a.(2)
(3) Potential future exposure of derivative contracts	1018	28 498	2.a.(3)
b. Securities financing transactions (SFTs)			
(1) Adjusted gross value of SFTs	1013	61 735	2.b.(1)
(2) Counterparty exposure of SFTs	1014	8 281	2.b.(2)
c. Other assets			
(1) Other assets	1015	582 035	2.c.
d. Gross notional amount of off-balance sheet items			
(1) Items subject to a 0% credit conversion factor (CCF)	1019	246 484	2.d.(1)
(2) Items subject to a 20% CCF	1022	5 337	2.d.(2)
(3) Items subject to a 50% CCF	1023	74 052	2.d.(3)
(4) Items subject to a 100% CCF	1024	52 594	2.d.(4)
e. Regulatory adjustments			
(1) Regulatory adjustments	1031	6 289	2.e.
f. Total exposures indicator (Total exposures prior to regulatory adjustments) (sum of items 2.a.(1) through 2.c., 0.1 times 2.d.(1), 0.2 times 2.d.(2), 0.5 times 2.d.(3), and 2.d.(4))	1103	802 582,46	2.f.

Interconnectedness Indicators

Section 3 - Intra-Financial System Assets	GSIB	Amount	
a. Funds deposited with or lent to other financial institutions			
(1) Certificates of deposit	1033	67 415	3.a.
(2) Certificates of deposit	1034	5 150	3.a.(1)
b. Unused portion of committed lines extended to other financial institutions	1035	14 145	3.b.
c. Holdings of securities issued by other financial institutions:			
(1) Secured debt securities	1036	5 573	3.c.(1)
(2) Senior unsecured debt securities	1037	24 602	3.c.(2)
(3) Subordinated debt securities	1038	1 950	3.c.(3)
(4) Commercial paper	1039	0	3.c.(4)
(5) Equity securities	1040	2 654	3.c.(5)
(6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1041	45	3.c.(6)
d. Net positive current exposure of securities financing transactions with other financial institutions	1213	3 477	3.d.
e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:			
(1) Net positive fair value	1043	7 941	3.e.(1)
(2) Potential future exposure	1044	13 792	3.e.(2)
f. Intra-financial system assets indicator (sum of items 3.a., 3.b through 3.c.(5), 3.d., 3.e.(1), and 3.e.(2), minus 3.c.(6))	1045	141 504	3.f.

Section 4 - Intra-Financial System Liabilities	GSIB	Amount	
a. Funds deposited by or borrowed from other financial institutions:			
(1) Deposits due to depository institutions	1046	43 673	4.a.(1)
(2) Deposits due to non-depository financial institutions	1047	94 496	4.a.(2)
(3) Loans obtained from other financial institutions	1105	0	4.a.(3)
b. Unused portion of committed lines obtained from other financial institutions	1048	0	4.b.
c. Net negative current exposure of securities financing transactions with other financial institutions	1214	1 877	4.c.
d. Over-the-counter derivatives with other financial institutions that have a net negative fair value:			
(1) Net negative fair value	1050	10 156	4.d.(1)
(2) Potential future exposure	1051	10 047	4.d.(2)
e. Intra-financial system liabilities indicator (sum of items 4.a.(1) through 4.d.(2))	1052	160 247	4.e.

Section 5 - Securities Outstanding	GSIB	Amount	
a. Secured debt securities	1053	0	5.a.
b. Senior unsecured debt securities	1054	27 780	5.b.
c. Subordinated debt securities	1055	15 503	5.c.
d. Commercial paper	1056	2 577	5.d.
e. Certificates of deposit	1057	21 088	5.e.
f. Common equity	1058	27 555	5.f.
g. Preferred shares and any other forms of subordinated funding not captured in item 5.c.	1059	9 007	5.g.
h. Securities outstanding indicator (sum of items 5.a through 5.g)	1060	103 509	5.h.

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Substitutability/Financial Institution Infrastructure Indicators

Section 6 - Payments made in the reporting year (excluding intragroup payments)	GSIB	Amount	
a. Australian dollars (AUD)	1061	167 772	6.a.
b. Brazilian real (BRL)	1062	114 375	6.b.
c. Canadian dollars (CAD)	1063	154 871	6.c.
d. Swiss francs (CHF)	1064	53 051	6.d.
e. Chinese yuan (CNY)	1065	4 971 501	6.e.
f. Euros (EUR)	1066	2 543 392	6.f.
g. British pounds (GBP)	1067	1 058 316	6.g.
h. Hong Kong dollars (HKD)	1068	4 473 813	6.h.
i. Indian rupee (INR)	1069	727 579	6.i.
j. Japanese yen (JPY)	1070	380 861	6.j.
k. Mexican pesos (MXN)	1108	58 067	6.k.
l. Swedish krona (SEK)	1071	14 934	6.l.
m. United States dollars (USD)	1072	17 405 748	6.m.
n. Payments activity indicator (sum of items 6.a through 6.m)	1073	32 124 280	6.n.

Section 7 - Assets Under Custody	GSIB	Amount	
a. Assets under custody indicator	1074	1 172 228	7.a.

Section 8 - Underwritten Transactions in Debt and Equity Markets	GSIB	Amount	
a. Equity underwriting activity	1075	78	8.a.
b. Debt underwriting activity	1076	42 437	8.b.
c. Underwriting activity indicator (sum of items 8.a and 8.b)	1077	42 515	8.c.

Complexity indicators

Section 9 - Notional Amount of Over-the-Counter (OTC) Derivatives	GSIB	Amount	
a. OTC derivatives cleared through a central counterparty	1078	3 492 614	9.a.
b. OTC derivatives settled bilaterally	1079	3 740 303	9.b.
c. OTC derivatives indicator (sum of items 9.a and 9.b)	1080	7 232 917	9.c.

Section 10 - Trading and Available-for-Sale Securities	GSIB	Amount	
a. Held-for-trading securities (HFT)	1081	23 667	10.a.
b. Available-for-sale securities (AFS)	1082	126 978	10.b.
c. Trading and AFS securities that meet the definition of Level 1 assets	1083	86 743	10.c.
d. Trading and AFS securities that meet the definition of Level 2 assets, with haircuts	1084	16 886	10.d.
e. Trading and AFS securities indicator (sum of items 10.a and 10.b, minus the sum of 10.c and 10.d)	1085	47 015	10.e.

Section 11 - Level 3 Assets	GSIB	Amount	
a. Level 3 assets indicator (Assets valued for accounting purposes using Level 3 measurement inputs)	1086	1 790	11.a.

Cross-Jurisdictional Activity Indicators

Section 12 - Cross-Jurisdictional Claims	GSIB	Amount	
a. Cross-jurisdictional claims indicator (Total foreign claims on an ultimate risk basis)	1087	608 216	12.a.

Section 13 - Cross-Jurisdictional Liabilities	GSIB	Amount	
a. Foreign liabilities (excluding derivatives and local liabilities in local currency)	1088	327 954	13.a.
(1) Any foreign liabilities to related offices included in item 13.a.	1089	53 412	13.a.(1)
b. Local liabilities in local currency (excluding derivatives activity)	1090	269 735	13.b.
c. Cross-jurisdictional liabilities indicator (sum of items 13.a and 13.b, minus 13.a.(1))	1091	544 277	13.c.

Ancillary Data