

Annex I - TEMPLATES FOR THE REPORTING FOR THRESHOLD MONITORING PURPOSES

INVESTMENT FIRMS - CREDIT INSTITUTION THRESHOLD MONITORING			
Template number	Template code	Name of the template / group of templates	Short name
10.01	I 10.01	Verification of total assets at individual level and group test	CI TEST 1
10.02	I 10.02	Total assets for group test broken down by entity	CI TEST 2

I 10.01 - Verification of total assets at individual level and group test (CI TEST 1)

		Average over past 12 months	Monthly values			Ultimate parent
			Month t	Month t-1	Month t-2	
	Item	0010	0020	0030	0040	0050
Information about the reporting entity						
0010	Total assets					
0020	Total consolidated assets					
Information about the group the entity belongs to						
0030	Ultimate parent: Name					
0040	Ultimate parent: Code					
0050	Ultimate parent: Type of code					
0060	Total value of consolidated assets of relevant undertakings in the group					
0070	of which: Total value of individual assets of relevant third-country branches					

I 10.02 - Total assets for group test broken down by entity (CI TEST 2)

Name	Code	Type of code	Is relevant third-country branch	Country	Consolidated assets: Average over past 12 months	Monthly values		
						Month t	Month t-1	Month t-2
0010	0020	0030	0040	0050	0060	0070	0080	0090