

EBA/GL/2014/04 Appendix 1

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30 June 2014 – Updated 19 June 2015

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GL/2014/04 + Appendix 1

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## Compliance Table - Guidelines

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Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines – EBA/GL/2014/04 on Harmonised Definitions and Templates for Funding Plans on Credit Institutions Under Recommendation A4 of ESRB/2012/19 June – published on 30<sup>th</sup> June 2014.

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Yes	
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Yes	
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Yes	The Czech National bank intends to comply with the guidelines from 2016, submitting its first report to EBA by 30th April 2016. As this is not until the future, it has been classed as non-compliance. Email sent to BoS on 25/11/14 to inform that the response has been taken as non-compliance.
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)	Yes	
EE	Estonia	Finantsinspektsioon (Financial Supervision Authority)	Yes	Please note that Estonia will make every effort to comply with this guideline. We would also like to note that due to fact that Estonian Financial Authority does not have power to impose legal obligations to market participants; the reports will be required in manner that may not guarantee their submission. Please also note that Estonian FSA intends to comply with the above mention guidelines as soon as the official translations of the guidelines are publicly available in the EBA website.
IE	Ireland	Central Bank of Ireland	Yes	
EL	Greece	Τράπεζα της Ελλάδος	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		(Bank of Greece)		
HR	Croatia	National Bank of Croatia	Yes	My competent authority does intend to, comply with the guidelines. The implementing national act that enables us to obtain reports on credit institutions' funding plan is going to be finalised till the end of 1Q 2015, in order to facilitate first reporting deadline for the submission of reports to the CNB till 30 <sup>th</sup> September 2015, with a first reporting reference date of 30 June 2015.
ES	Spain	Banco de España (Bank of Spain)	Yes	
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Yes	
IT	Italy	Banca d'Italia (Bank of Italy)	Yes	
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Yes	
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Yes	
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	Yes	
HU	Hungary	Magyar Nemzeti Bank (National Bank of Hungary)	Yes	
MT	Malta	Malta Financial Services Authority	Yes	
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	Yes	
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Yes	
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Yes	
PT	Portugal	Banco de Portugal (Bank of Portugal)	Yes	
RO	Romania	Banca Națională a României (National Bank of Romania)	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	Yes	
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	Partial	National Bank of Slovakia have prepared and approved for relevant institutions Reporting Decree, in which the templates for funding plans have not been included yet. National Bank of Slovakia shall revise the Decree in the second half 2015 at least including the relevant templates.
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	Yes	
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	Yes	
UK	United Kingdom	Prudential Regulation Authority (Bank of England)	Yes	
		Financial Services Commission (Gibraltar)	Yes	
<b>EEA-EFTA State</b>				
IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	Partial	<ul style="list-style-type: none"> <li>FME will not be able to join until from year end 2015. First transmittance of data would then be March, 31, 2016.</li> <li>CRD IV/CRR has not yet been incorporated into the EEA Agreement. Nonetheless, implementation of CRDIV/CRR is scheduled for January 2015, in line with the scheduled implementation in the</li> </ul>

Member State		Competent authority	Complies or intends to comply	Comments
				other EEA EFTA States. Furthermore, Regulation (EU) No 1093/2010 has also not yet been incorporated into the EEA Agreement, due to constitutional requirements in certain EEA EFTA States. To resolve the situation the EEA EFTA States remain engaged in a dialogue with the EU on how their participation in the European System of Financial Supervision will be secured.
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	Yes	<p>The Financial Market Authority Liechtenstein (FMA) as the competent authority in charge of the national implementation of financial market related regulations acknowledges the publication of the EBA Guidelines on harmonised definitions and templates for funding plans of credit institutions und Recommendation A4 of ESRB/2012/2 (EBA/GL/2014/04).</p> <p>Currently, Liechtenstein is only admitted to EBA's and ESMA's Board of Supervisors as observer and is not vested with any voting rights under the EBA Regulation (EU) No. 1093/2010 or the ESMA Regulation (EU) No. 1095/2010. Further, Liechtenstein is not represented in the ESRB. Nevertheless, the FMA will comply with these Guidelines once the Regulation (EU) No 1092/2010 of the European Parliament and of the Council of 24/11/2010 on European Union macro-prudential oversight of the financial system and establishing a European Systemic Risk Board has been incorporated into the EEA-Agreement.</p>
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Yes	

## Notes



Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.eba.europa.eu](http://www.eba.europa.eu)).

