



EBA/GL/2014/02 Appendix 1

01 July 2014 – Updated 9 July 2015

GL/2014/02 + Appendix 1

Compliance Table - Guidelines

Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines – EBA/GL/2014/02 on Global Systemically Important Institutions – G-SII published on 5th June 2014.

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Yes	
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Yes	
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Yes	
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)	Partial	<p>EBA FORM NOT RETURNED - OWN BaFin FORM RETURNED</p> <p>Even though the guideline was published on 5th June 2014 the required ITS, which provides for the disclosure on the EBA website has not been endorsed by the EU Commission yet. Due to that fact, BaFin reviews the legal basis for the disclosure of the templates already in 2014. As a result, an amendment of the German Banking Act might be necessary. If an amendment is necessary, the German Banking Act shall be amended in accordance with the guideline. Regarding this and due to the short time period BaFin for 2014 complies with the guideline partially. Therefore the relevant institutions will be requested to disclose the indicators publicly. As for 2015 BaFin aims for a full disclosure both of the indicators and the templates.</p>
EE	Estonia	Finantsinspektsioon (Financial Supervision Authority)	Yes	<p>Please note that Estonian FSA intends to comply with the above mention guidelines as soon as the official translations of the guidelines are publicly available in the EBA website.</p> <p>Please also note that we have a concern regarding the publication of the EBA guidelines and recommendations – we suggest that the approach on publication</p>

Member State		Competent authority	Complies or intends to comply	Comments
				should be similar among ESAs, i.e the two month comply-explain period will start only after the official translations of the guidelines/recommendations are available in the EBA website.
IE	Ireland	Central Bank of Ireland	Yes	
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Yes	
HR	Croatia	National Bank of Croatia	Yes	Intention to comply 'as of the date a relevant entity according to the Guidelines exists in our jurisdiction'.
ES	Spain	Banco de España (Bank of Spain)	Yes	
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Yes	
IT	Italy	Banca d'Italia (Bank of Italy)	No response	The CA failed to provide the notification of compliance
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	No response	The CA failed to provide the notification of compliance
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Yes	Currently there are no relevant entities under the scope of supervision of the FMCM which are proximate to the EUR 200 billion leverage ratio exposure measure threshold. In case if such entities fall under the scope of supervision of the FCMC, appropriate regulation will be issued.

Member State		Competent authority	Complies or intends to comply	Comments
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	No response	The CA failed to provide the notification of compliance
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	Yes	
HU	Hungary	Magyar Nemzeti Bank (National Bank of Hungary)	Yes	Updated – Email 06.11.2015 - The MNB, the central bank and competent authority of Hungary would like to inform the EBA that MNB intends to comply with the Guidelines on disclosure of indicators of global systemic importance (EBA/GL/2014/02) even though MNB did not notify EBA about its compliance until 30 th September 2014 as there were no G-SIIs (or institutions above EUR 200 billion exposure measure) under its supervision thus MNB could not apply the Guidelines to any institution. In consequence the absence of the notification has been indicated by EBA in the Compliance Table (updated 29 June 2015) on EBA/GL/2014/02 published by EBA. So far there have been no relevant entities under the scope of supervision of MNB which would have come close to the EUR 200 billion leverage ratio exposure measure threshold (the largest institution has a leverage ratio measure of approximately the one-sixth of the threshold). In case supervised entities would reach the threshold in the future, MNB is going to ensure the disclosure of the values of the indicators in accordance with the up-to-date methodology for identifying global systemically important institutions. We would kindly ask you to take account of this email and the attached 'Confirmation of compliance' template as a notification of compliance.
MT	Malta	Malta Financial Services Authority	Yes	There are no GSIIIs in accordance with the Guidelines EBA/GL/2014/02 currently licenced locally. However, Malta intends to comply with the Guidelines in the event that a relevant entity according to the Guidelines exists in our jurisdiction.
NL	Netherlands	De Nederlandsche Bank (National Bank of	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		Netherlands)		
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Yes	
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Yes	
PT	Portugal	Banco de Portugal (Bank of Portugal)	Yes	
RO	Romania	Banca Națională a României (National Bank of Romania)	Yes	
SI	Slovenia	Banka Slovenije (Bank of Slovenia)		Credit institutions in Slovenia do not reach the limits from Guidelines with purpose to be identified as G-SII. From this perspective these Guidelines and respective template are not applicable for us.
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	No response	The CA failed to provide the notification of compliance
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	No response	The CA failed to provide the notification of compliance
SE	Sweden	Finansinspektionen (Swedish Financial	Yes	

Member State		Competent authority	Complies or intends to comply	Comments
		Supervisory Authority		
UK	United Kingdom	Prudential Regulation Authority (Bank of England)	Partial	<p>30/9/2014 - Form cross refers to email sent with notification - PRA - As per the Basel Committee’s framework – which the Guidelines aim to take into account – we have ensured that the six UK headquartered institutions that participate in the Basel Committee exercise for identifying global systemically important banks (G-SIBs) have disclosed the relevant data. We understand from the EBA Secretariat that there is an intention that large subsidiaries of non-EU headquartered banks are also subject to the disclosure. Given that the guidelines are on disclosure of ‘indicators of <i>global</i> systemic importance’ we do not see a rationale for requiring these subsidiaries to complete the template, as it would not help serve this objective - not least because it is anticipated that in most cases their parent will complete and disclose the template at the group level. As these firms do not participate in the Basel process in their own right, this data would not be used for any other purpose, however this would result in an additional cost for us as the supervisory authority, as the current set of data submissions go through an extensive data quality assurance process by the PRA.</p> <p>Taking the above points into account, we believe that our interpretation of the policy intention of these guidelines is also consistent with the accompanying cost benefit analysis/impact assessment in the EBA document.</p>
		Financial Conduct Authority (FCA)	Yes	We intend to comply but we have no firms that come close to the threshold. Please consider this as a notification under Article 9 of the Guideline.
		Financial Services Commission (Gibraltar)	No response	The CA failed to provide the notification of compliance
EEA-EFTA State				

Member State		Competent authority	Complies or intends to comply	Comments
IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	No response	The CA failed to provide the notification of compliance
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	Yes	The Financial Market Authority Liechtenstein (FMA) as the competent authority in charge of the national implementation of financial market related regulations acknowledges the publication of these Guidelines (EBA/GL/2014/02). Currently, Liechtenstein is only admitted to the Board of Supervisors as observer and is not vested with any voting rights under the EBA Regulation No. 1093/2010. Nevertheless, the FMA will comply with these Guidelines (EBA/GL/2014/02) considering the time frame of the CRD IV/CRR legislation in Liechtenstein. Currently, there exist no global systemically important institutions (G-SIIs) in Liechtenstein.
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Yes	Response dated 8/7/15

Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.



For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website (www.eba.europa.eu).