

## Guidelines compliance table

EBA/GL/2017/15

14 November 2017; Date of application – 1 February 2019

### Guidelines on connected clients under Article 4(1)(39) of Regulation (EU) No 575/2013

The following competent authorities\* comply or intend to comply with the EBA's Guidelines on Connected Clients:

Member State	Competent authority	Complies or intends to comply	Comments	
BE	Belgium	National Bank of Belgium	<b>Intends to comply**</b>	By 01.01.2019.
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	<b>Intends to comply**</b>	By 01.01.2019.
CZ	Czech Republic	Czech National Bank	<b>Intends to comply**</b>	By 01.01.2019.
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority – DFSA)	<b>Intends to comply**</b>	By 01.01.2019.
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	<b>Intends to comply**</b>	By 01.01.2019.
EE	Estonia	Finantsinspektsioon	<b>Yes</b>	As at 09.04.2018, notification date.
IE	Ireland	Central Bank of Ireland	<b>Intends to comply**</b>	By 01.01.2019.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
EL	Greece	Bank of Greece	<b>Intends to comply**</b>	By 01.01.2019.
HR	Croatia	Hrvatska narodna banka (Croatian National Bank)	<b>Intends to comply**</b>	By 01.01.2019.
ES	Spain	Banco de España	<b>Intends to comply**</b>	By 01.01.2019.
FR	France	Autorité de Contrôle Prudentiel et de Résolution (ACPR)	<b>Yes</b>	As at 31.05.2018, notification date. Please note that the Autorité de contrôle prudentiel et de résolution (ACPR) published on 05 June 2018 on its official register a notification declaring its compliance with the Guidelines on connected clients under Article 4(1)(39) of Regulation (EU) N° 575/2013 (EBA/GL/2017 /15)
IT	Italy	Bank of Italy	<b>Intends to comply**</b>	By 01.01.2019.
CY	Cyprus	Central Bank of Cyprus	<b>Yes</b>	As at 18.04.2018, notification date.
LV	Latvia	Financial and Capital Market Commission	<b>Intends to comply**</b>	By 31.12.2018.
LT	Lithuania	Bank of Lithuania	<b>Intends to comply**</b>	By 01/01.2019.
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	<b>Intends to comply**</b>	By 01.01.2019.
HU	Hungary	Central Bank of Hungary	<b>Intends to comply**</b>	By 01.01.2019.
MT	Malta	Malta Financial Services Authority	<b>Intends to comply**</b>	By such time as the necessary legislative or regulatory proceedings have been completed.
NL	Netherlands	De Nederlandsche Bank	<b>Intends to comply**</b>	By 01.01.2019.
AT	Austria	Austrian Financial Market Authority	<b>Intends to comply**</b>	As of the application date of the Guidelines.
PL	Poland	Komisja Nadzoru Finansowego	<b>Yes</b>	As at 23.04.2018, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
PT	Portugal	Banco de Portugal	<b>Intends to comply**</b>	By 01.01.2019.
RO	Romania	National Bank of Romania	<b>Yes</b>	As at 26.04.2018, notification date.
SI	Slovenia	Bank of Slovenia	<b>Intends to comply**</b>	By 01.01.2019.
SK	Slovakia	Národná banka Slovenska	<b>Intends to comply**</b>	By 01.01.2019.
FI	Finland	Finanssivalvonta (FIN-FSA)	<b>Intends to comply**</b>	By 01.01.2019.
SE	Sweden	Finansinspektionen	<b>Intends to comply**</b>	By 01.01.2019.
UK	United Kingdom	PRA	<b>Intends to comply**</b>	By 01.01.2019.
		Financial Conduct Authority	<b>Yes</b>	As at 17.04.2018, notification date.

**EU Institutions – Agencies**

ECB	ECB	European Central Bank (for Significant Institutions as defined in Council Regulation (EU) No 1024/2013 of 15 October 2013 conferring specific tasks on the European Central Bank concerning policies relating to the prudential supervision of credit institutions)	<b>Intends to comply**</b>	By 01.01.2019.
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**EEA – EFTA State**

IS	Iceland	Fjármálaeftirlitið (Financial Supervisory Authority, Iceland)	<b>Intends to comply**</b>	By the application date of the Guidelines
LI	Liechtenstein	Financial Market Authority Liechtenstein (FMA)	<b>Yes</b>	As at 13.04.2018, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
NO	Norway	The Financial Supervisory Authority of Norway	<b>Yes</b>	As at 19.04.2018, notification date.

#### European Territories under Article 355(3) TFEU

UK	United Kingdom	Gibraltar Financial Services Commission	<b>Yes</b>	As at 05.06.2018, notification date.
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\*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

\*\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

#### Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website [www.eba.europa.eu](http://www.eba.europa.eu).