

# Guidelines compliance table

EBA/GL/2016/01 Appendix 1

2 May 2016 – Updated 18 March 2021

## Revised Guidelines for the identification of global systemically important institutions (G-SIIs)

The following competent authorities\* comply or intend to comply with the EBA's Revised Guidelines for the identification of global systemically important institutions (G-SIIs):

Member State	Competent authority	Complies or intends to comply	Comments
BE	Belgium National Bank of Belgium	Yes	As at 05.04.2016 – Notification date.
BG	Bulgaria Българска народна банка (Bulgarian National Bank)	Does not apply	In Bulgaria there are no identified institutions with a leverage ratio exposure exceeding EUR 200 billion, and the Bulgarian National Bank does not apply indicators of global systemic importance.
CZ	Czech Republic Česká Národní Banka (Czech National Bank)	Yes	As at 05.02.2019, notification date.
DK	Denmark Finanstilsynet (Danish Financial Supervisory Authority)	Yes	As at 02.05.2013, notification date. For your further information, two Danish institutions – Danske Bank and Nykredit Realkredit – have disclosed their indicator values in April 2016 according to the EBA/GL/2016/1. For the first time, Nykredit Realkredit exceeds the exposure value of €200 bn and has disclosed the indicator values.
DE	Germany Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	Yes	As at 01.01.2019, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
EE	Estonia	Finantsinspektsioon (Financial Supervision Authority)	<b>Yes</b>	As at 01.04.2016 – Notification date.
IE	Ireland	Central Bank of Ireland	<b>Intends to comply**</b>	By the date a relevant institution exists in my jurisdiction. - still the same
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	<b>Intends to comply**</b>	By the date a relevant institution exist in my jurisdiction. The Guidelines are addressed to my competent authority but relate to a type of institution, which does not currently exist in the authority's jurisdiction.
HR	Croatia	National Bank of Croatia	<b>Intends to comply**</b>	As of the date relevant entity according to the Guidelines exists in our jurisdiction. The Croatian National Bank as a designated authority in charge of identifying G-SIs has not identified any credit institution with registered office in the Republic of Croatia as a global systemically important institution. Since in the Republic of Croatia there is no relevant entity which has a leverage ratio exposure exceeding 200 billion EUR, Croatian National Bank intends to comply with the Guidelines as of the date a relevant entity according to the Guidelines exists in our jurisdiction.- still the same
ES	Spain	Banco de España (Bank of Spain)	<b>Yes</b>	As at 18.05.2016, notification date.
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	<b>Yes</b>	As at 2.102.2019, notification date. ACPR has complied through an instruction published on its website: <a href="https://acpr.banque-france.fr/sites/default/files/media/2018/12/14/156_instruction_2016-i-21.pdf">https://acpr.banque-france.fr/sites/default/files/media/2018/12/14/156_instruction_2016-i-21.pdf</a>
IT	Italy	Banca d'Italia (Bank of Italy)	<b>Yes</b>	As at 29.04.2016, notification date.
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	<b>Yes</b>	As at 28.04.2016, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	<b>Intends to comply**</b>	By the date the relevant institution or instrument exists in my jurisdiction. Requirements of the EBA Guidelines apply to institutions and companies which observe a leverage ratio exposure measure exceeding EUR 200 billion. There is no institution in Latvia with leverage ratio exposure measure exceeding EUR 200 billion or even close to a quarter of the indicated threshold, thus the implementation of the Guidelines is not justified.
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	<b>Yes</b>	As at 22.01.2019, notification date. Compliance with GL approved by 13 December 2016 Board of the Bank of Lithuania Resolution No V 2016/(22.1.E-2200)-265E-23
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	<b>Yes</b>	As at 02.05.2016, notification date.
HU	Hungary	Magyar Nemzeti Bank (The Central Bank of Hungary)	<b>Yes</b>	As at 13.04.2016 – notification date. Currently no relevant entity close to the EUR 200 billion leverage ratio exposure measure threshold under the jurisdiction of MNB. In the future MNB will perform the identification and scoring process of disclosure in compliance with EBA/GL/2016/01 if the threshold is exceeded by a relevant institution.
MT	Malta	Malta Financial Services Authority	<b>Not applicable</b>	The GLs do not apply in the jurisdiction of the competent authority. No G-SIIs are currently licensed in Malta however; Malta will comply should such institution exist in the jurisdiction.
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands)	<b>Yes</b>	As at 19.04.2016, notification date.
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	<b>Yes</b>	As at 03.05.2016, notification date.

		<b>Competent authority</b>	<b>Complies or intends to comply</b>	<b>Comments</b>
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	<b>Yes</b>	As at 27.04.2016, notification date.
		Bankowy Fundusz Gwarancyjny (Bank Guarantee Fund)	<b>No response</b>	No notification of compliance was received by the EBA within the two-month time limit.
PT	Portugal	Banco de Portugal (Bank of Portugal)	<b>Yes</b>	As at 02.05.2016, notification date.
RO	Romania	Banca Națională a României (National Bank of Romania)	<b>Yes</b>	As at 19.05.2016, notification date. As of 19 <sup>th</sup> of May, 2016, the respective Guidelines (both the Romanian and English version) are available on the National Bank of Romania's website (at the following link - <a href="http://www.bnr.ro/page.aspx?pid=11656">http://www.bnr.ro/page.aspx?pid=11656</a> )
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	<b>Not applicable</b>	There are no global systemically important institutions in Slovenia. Given the size of Slovenian banking system, we do not expect this to happen at any time in the future. However, the Bank of Slovenia will comply with the guidelines should such institution appear in our jurisdiction.
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia)	<b>Not applicable</b>	The Guidelines do not apply in the jurisdiction of the competent authority. Based on Commission Delegated Regulation (EU) No 1222/2014, Article 3 Paragraph 2: "The relevant authority shall report the indicator values of each relevant entity with an exposure measure above EUR 200 billion which is authorised within its jurisdiction to the EBA not later than 31 July each year", and based on Article 4 Paragraph 1 of the same regulation: "The relevant authority shall calculate the scores of the relevant entities that are included in the sample notified by the EBA, which are authorised in its jurisdiction, not later than 15 December of each year". As in case of the Slovak

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				financial sector none of the relevant entities has an exposure measure above EUR 200 billion, National Bank of Slovakia as the relevant authority is not obliged to calculate the scores and indicator values for Slovak banks.
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	<b>Yes</b>	As at 29.04.2016, notification date.
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	<b>Yes</b>	As at 30.01.2019, notification date.

#### EU Institutions – Agencies

ECB	European Central Bank	Single Supervisory Mechanism	<b>Yes</b>	As at 05.02.2019, notification date.
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#### EEA – EFTA State

IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	<b>Intends to comply**</b>	By the date relevant institution or instrument exists in my jurisdiction.
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	<b>Yes</b>	As at 24.01.2019, notification date. The FMA complies with GL/2016/01 as of 1 August 2017.
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	<b>Yes</b>	As at 05.02.2019, notification date.

\*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

\*\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

## Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website [www.eba.europa.eu](http://www.eba.europa.eu).