

Guidelines compliance table

EBA/GL/2015/02 Appendix 1

23 September 2015 – Updated 08
February 2018

Guidelines on the minimum list of qualitative and quantitative recovery plan indicators

The following competent authorities* comply or intend to comply with EBA's Guidelines on the minimum list of qualitative and quantitative recovery plan indicators:

Member State	Competent authority	Complies or intends to comply	Comments
BE	Belgium National Bank of Belgium	Yes	As at 18 th September 2015 (Notification date)
BG	Bulgaria Българска народна банка (Bulgarian National Bank)	No	No notification of compliance was received by the EBA within the two-month time limit.
CZ	Czech Republic Česká Národní Banka (Czech National Bank)	Intends to comply**	20 August 2015 – The Czech National Bank intends to comply with the guidelines once the BRRD is implemented in the Czech legal system.
DK	Denmark Finanstilsynet (Danish Financial Supervisory Authority)	No	No notification of compliance was received by the EBA within the two-month time limit.
DE	Germany <i>Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory</i>	Yes	As at 23 rd September 2015 (Notification date)

		Competent authority	Complies or intends to comply	Comments
		<i>Authority)</i>		
EE	Estonia	<i>Finantsinspektsioon (Financial Supervision Authority)</i>	Yes	As at 29 th September 2015. (Notification date).
IE	Ireland	<i>Central Bank of Ireland</i>	Yes	As at 22 nd September 2015 (Notification date)
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Intends to comply**	By 1 st December 2015
HR	Croatia	National Bank of Croatia	Yes	<p>As at 14th August 2015 (Notification date)</p> <p>The Guidelines are implemented through Decision on recovery plans of credit institutions (adopted in June 2014 as amended in June 2015) and related supervisory processes. Links to the related provisions are as follows:</p> <p>http://www.hnb.hr/propisi/odluke-nadzor-kontrola/2014/eng/e-odluka-planovi-oporavka-ki-78-2014.pdf</p> <p>http://www.hnb.hr/propisi/odluke-nadzor-kontrola/2015/h-odluka-planovi-oporavka-ki-izmjena-67-2015.pdf</p> <p>The original decision is translated into English, we expect the amendment to be translated into English by the end of September (the second link is therefore the link to the Croatian version of the amendment).</p>
ES	Spain	Banco de España (Bank of Spain)	Yes	As at 21 st September 2015 (Notification date)
FR	France	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Intends to comply**	By 31 st December 2015
IT	Italy	Banca d'Italia (Bank of	Intends to	By 31 st December 2016

		Competent authority	Complies or intends to comply	Comments
		Italy)	comply**	
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Intends to comply**	By 16 th October 2015
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Intends to comply**	By 31 st December 2015
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Yes	By 1 st July 2016
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	Intends to comply**	Intends to comply with the Guidelines by such time as the necessary legislative or regulatory proceedings have been completed. The bill transposing the BRRD into Luxembourg law is currently under discussion at the Luxembourg Parliament.
HU	Hungary	Magyar Nemzeti Bank (The Central Bank of Hungary)	Yes	As at 23 September 2015. (Notification date).
MT	Malta	Malta Financial Services Authority	Intends to comply**	By such time as the necessary legislative or regulatory proceedings have been completed. The provisions of these Guidelines are going to be implemented in a new rule issued under the Banking Act, Cap. 371 of the Laws of Malta.
NL	Netherlands	De Nederlandsche Bank (National Bank of Netherlands) or Autoriteit Financiële Markten (Authority for the Financial Markets)	Yes	As at 22 nd September 2015 (Notification date)
AT	Austria	Finanzmarktaufsicht (Financial Market Authority)	Yes	As at 2 nd September 2015 (Notification date)
PL	Poland	Komisja Nadzoru Finansowego (Polish Financial Supervision Authority)	Intends to comply**	From the moment the relevant provisions implementing the BRRD enter into force in Poland

		Competent authority	Complies or intends to comply	Comments
PT	Portugal	Banco de Portugal (Bank of Portugal)	Intends to comply**	By 1 st January 2016.
RO	Romania	Banca Națională a României (National Bank of Romania)	Yes	As at 25.10.2016, notification date.
SI	Slovenia	Banka Slovenije (Bank of Slovenia)	Yes	As at 6 th October 2015. (Notification date).
SK	Slovakia	Národná Banka Slovenska (National Bank of Slovakia) / Resolution Council	Yes	As at 14 th September 2015 (Notification date) (Second notification noting CA as Bank of Slovakia – 23.09.2015)
FI	Finland	Finanssivalvonta (Finnish Financial Supervisory Authority)	Intends to comply**	By 1 st November 2015
SE	Sweden	Finansinspektionen (Swedish Financial Supervisory Authority)	Intends to comply**	Once the BRRD has been transposed into Swedish Law, in early 2016
UK	United Kingdom	Prudential Regulation Authority (PRA)	Yes	As at 17 th September 2015 (Notification date)
		Financial Conduct Authority (FCA)	Yes	As at 7 th October 2015 (Notification date).

EU Institutions – Agencies

ECB	ECB	ECB	Yes	As at 31 st August 2015 (Notification date)
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EEA – EFTA State

IS	Iceland	Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	Intends to comply**	By December 2016. BRRD has not yet been incorporated into the EEA Agreement. Nonetheless, implementation of BRRD is in progress. The Ministry of Finance and Economic Affairs, The FME and The Central bank of Iceland are involved in that work. The EEA EFTA States remain engaged in a dialogue with the EU on how their participation in the European System of Financial Supervision will be secured.
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		Competent authority	Complies or intends to comply	Comments
				The designation of the Resolution Authority in Iceland remains to be decided. A public authority closely related to our authority is likely to be appointed as the resolution authority in Iceland. Hence, Fjármálaeftirlitið (the FSA in Iceland) will be the contact authority of the Resolution Authority in Iceland.
LI	Liechtenstein	Finanzmarktaufsicht - FMA (Financial Market Authority)	Intends to comply**	The Guidelines refer to Directive 2014/59/EU, establishing a recovery and resolution framework in the European Union. The Principality of Liechtenstein intends to implement this Directive on January 1, 2017
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Not applicable	The Guidelines do not apply in the jurisdiction of the competent authority. Awaiting a final agreement on the implementation of the ESAs' regulations into the EEA agreement, the BRRD has not yet been incorporated into the EEA agreement, nor has a resolution authority in Norway yet been appointed. As soon as the relevant domestic legislation has been adopted and necessary decisions taken, the stance of the Norwegian resolution authority as regards compliance with this and other guidelines, will be communicated.

European Territories under Article 355(3) TFEU

UK	United Kingdom	Financial Services Commission (Gibraltar)	Yes	As at 5 th October 2015 (Notification date).
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*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

** Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered "non-compliant" unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

Notes

Article 16(3) of the EBA's Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA's website www.eba.europa.eu.