

VACANCY NOTICE SECONDED NATIONAL EXPERT

Policy Expert

(Supervisory Review and Risk Assessment)

REF: PRSP SRRR SEC 4/2020

Type of contract	Seconded National Expert (SNE)
Duration of contract	6 up to 24 months(with the possibility of extension)
Department/Unit	Prudential Regulation and Supervisory Policy Department /
	Supervisory Review, Recovery and Resolution Unit
Place of employment	Paris, France
Deadline for applications	Extended to 05 October 2020 21 September 2020 at 12:00
	noon Paris time
Reserve list valid until	31 December 2021

The Authority

The European Banking Authority ("EBA") is an independent European Union Authority established on 1 January 2011 by Regulation (EU) No. 1093/2010 of the European Parliament and of the Council of 24 November 2010.¹

The Mission

With a view to improving the functioning of the European Union internal market, the EBA contributes to ensuring a high quality, effective and consistent level of regulation and supervision in its areas of competence. It also promotes public values such as the stability of the financial system, the transparency of markets and financial products, and the protection of depositors and investors.

It works to prevent regulatory arbitrage and guarantee a level playing field, strengthens international supervisory coordination, promotes supervisory convergence and provides advice to the Union institutions in the areas of banking, payments and e-money regulation and supervision, and related corporate governance, auditing and financial reporting issues.

As an integral part of the European System of Financial Supervision (ESFS), the EBA works closely together with her sister authorities, the European Insurance and Occupational Pensions Authority (EIOPA) and the European Securities Market Authorities (ESMA), as well as the Joint Committee and the European Systemic Risk Board (ESRB).

Further information on the EBA is available on the EBA website: www.eba.europa.eu.

¹ Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC (OJ L 331, 15.12.2010, p. 1) as last amended by Regulation (EU) 2018/1717 of the European Parliament and of the Council of 14 November 2018.



The EBA is seeking a suitable candidate on secondment² for the position of a **Policy Expert on Supervisory Review and Risk Assessment** in the Supervisory Review, Recovery and Resolution Unit of the Prudential Regulation and Supervisory Policy Department at its offices in Paris, France.

Job description

Main purpose

Under the responsibility of the Head of the Supervisory Review, Recovery and Resolution Unit, the Policy Expert will contribute to the EBA's work on supervisory review, assessment of risks, capital and liquidity adequacy under the Pillar 2 framework in ensuring that regulatory and supervisory rules for on-going concern and crisis situations are implemented consistently across the EU, in particular through the development of technical standards, guidelines, opinions and reports, through the monitoring of the consistent implementation of the Single Rulebook and through the monitoring of supervisory and resolution colleges.

The role will also involve advising, monitoring and assisting competent authorities in the implementation and application of guidelines and standards in a consistent way in day-to-day supervisory practices.

Main responsibilities:

- Contributing to the development of EBA's policies and methodologies under the Pillar 2 framework set by the Capital Requirement Directive and the Investment Firm Directive as well as advising, monitoring and facilitating their implementation in a comparable and consistent manner across the EU;
- Assisting in the development of EBA's policies with regards to on-going supervision set by the Capital Requirement Directive;
- Participating in the development and taking responsibility for timely completion of guidelines, binding technical standards or any other regulatory products relevant for supervisory review, assessment of risks, capital and liquidity adequacy under the Pillar 2 framework set by the Capital Requirement Directive and the Investment Firm Directive and the overall supervisory review and evaluation process;
- Providing policy advice and contributing to the preparation of policy stances related to supervisory
 issues and in particular in relation to the assessment of risks, capital and liquidity adequacy under
 the Pillar 2 framework set by the Capital Requirement Directive and the Investment Firm Directive
 and the overall supervisory review and evaluation process;
- Contributing to the monitoring of supervisory colleges, through the participation colleges of crossborder banking groups;
- Providing support to the EBA internal committees and subgroups and their chairpersons;
- Liaising with external stakeholders such as competent and resolution authorities, EU institutions, agencies and bodies;
- Providing implementation advice and guidance related to relevant EBA policies including the provision of training;

 $^{^{2}}$ EBA DC 304 - Decision of the Management Board on Secondment of National Experts of 13 December 2019



- To the extent necessary, externally representing the EBA by providing expertise at a technical level in his/her area of expertise;
- Executing other tasks requested by the Head of the Unit, such as tasks related to resolution topics.

Requirements

1. Eligibility Criteria

To be considered eligible, candidates must satisfy all the criteria listed below, by the deadline for submitting applications:

1.1 General

- Be a national of a Member State of the European Union, of an European Free Trade Association Member State (Iceland, Liechtenstein, Norway, and Switzerland), or a country with which the Council has decided to open accession negotiations and which has concluded a specific agreement on staff secondments;³
- Be entitled to his/her full rights as a citizen;⁴
- Have an excellent knowledge of English as this is the working language of the EBA and a satisfactory knowledge⁵ of another European Union language⁶;
- Be employed by a) a member of the ESFS (as specified in Article 2 of Regulation (EU) no. 1093/2010),
 b) a country with which the Council has decided to open accession negotiations and which has concluded a specific agreement on staff secondments for participation in EBA's work within the meaning of Article 75 of the Regulation, c) a public intergovernmental organisation, who are seconded to EBA so that it can use their expertise in a particular field⁷;
- Have worked for their employer on a permanent or contract basis for at least 12 months before their secondment.

1.2 Specific

1.2.1 Qualifications⁸

To be eligible, candidates must have a level of education which corresponds to completed university studies of at least three years attested by a diploma.

³ Due to the withdrawal of the United Kingdom from the European Union on the 31/01/2020, candidates with UK nationality who do not hold the nationality of a Member State of the European Union or of an EFTA Member State, are not eligible for calls for applications at the EBA.

⁴ Prior to the appointment, the successful candidate will be asked to provide a police certificate confirming the absence of any criminal record.

⁵ The knowledge is required at least at B2 level. The assessment at B2 level is done in accordance with the Common European Framework of Reference for Languages (CEFR) (CEFR language self-assessment grid).

⁶ The official languages of the European Union are: Bulgarian, Croatian, Czech, Danish, Dutch, English, Estonian, Finnish, French, German, Greek, Hungarian, Irish, Italian, Latvian, Lithuanian, Maltese, Polish, Portuguese, Romanian, Slovak, Slovenian, Spanish, and Swedish.

⁷ In accordance with Article 1 paragraph 2 of the EBA Decision on Secondment of National Experts, the Executive Director of EBA may, on a case-by-case basis, authorise the secondment of an SNE from another employer if the interests of EBA warrant bringing in specific expertise as a temporary measure.

⁸ Only qualifications awarded by EU Member State authorities or European Economic Area (EEA) authorities or qualifications recognised as equivalent by the relevant authorities will be taken into consideration. If the main studies took place outside the European Union, the candidate's qualification must have been recognised by a body delegated officially for the purpose by one of the European Union Members States (such as a national Ministry of Education) and a document attesting so must be submitted with the application by the closing date.



1.2.2 Professional experience

In addition to the above, candidates must have at least three years of proven fulltime professional experience in administrative, legal, scientific, technical, advisory or supervisory functions after completing the education as mentioned under section 1.2.1 of the vacancy notice.

The work experience for eligibility purposes is counted from the award of diploma up to the closing date of applications.

1.2.3 Knowledge of languages

For working purposes, as English is the working language of the EBA⁹, an excellent knowledge of the English language, both written and spoken, is required.

Native English speakers will be tested to prove their second language skills, in accordance with section 1.1 of the vacancy notice.

2. Selection criteria

In addition to the above, the following selection criteria will be used:

- Proven experience in assessing or reporting prudential risks and/or own funds of banks: please give specific examples in your application;
- Proven knowledge of EU banking regulation, in particular in relation to the supervision of crossborder banks;
- Proven experience of supervisory colleges;
- Proven experience in drafting supervisory policies, stances, procedures or reports: please give specific examples of the documents you have drafted in your application;
- Proven experience of dealing with a wide range of stakeholders in particular experience in an EU or international working environment.

Applications will be assessed on the basis of the Eligibility and Selection criteria specified in sections 1 and 2 of the vacancy notice.

For this position, the candidates are expected to fulfil the following competencies that may be assessed during interviews:

- Be able to investigate and analyse complex information from a wide range of sources, consider options and propose and implement effective solutions to challenging legal and practical issues;
- Be able to take initiative and to work autonomously;
- Be able to communicate legal issues effectively to policy and operational colleagues;
- Strong sense of responsibility, commitment and cooperation;
- Flexibility to take on new tasks in a dynamic and evolving institutional environment;
- Proactive attitude;
- Ability to work efficiently under pressure.

 $^{^{\}rm 9}$ Decision EBA DC 003 of the Management Board on Internal Language Arrangements.



3. Equal opportunities

As a European Union Authority, the EBA will apply a policy of equal opportunities and will take care to avoid any form of discrimination in its recruitment procedures.

4. Selection procedure

The selection procedure will include the following:

- 4.1. A selection committee will be established for each vacancy notice. Candidates invited to interviews and to sit written tests shall be informed of the composition of the selection committee in the invitation.
- 4.2. The selection committee will analyse the application documents (curriculum vitae, motivation letter, and eligibility criteria grid) of applicants with reference to the eligibility and selection criteria and will establish a shortlist. Shortlisted candidates may be invited to interviews and to sit written tests. Interviews and written tests may possibly be held remotely.

Written tests will be carried out in English and will be related to the job and designed to test the ability to communicate in written English, knowledge and competencies related to the job, and drafting skills. Total mark for written test: 10 points. The minimum score to pass: 6 points.

The interview will aim to assess the motivation and the professional knowledge of the candidates as well as their suitability to perform the duties mentioned under main responsibilities. The interview will be held in English. The total mark for the interview: 10 points. The minimum score to pass: 6 points.

Successful candidates with a total score equal to and above 12 points will be placed on the reserve list, which will be valid until **31 December 2021**. It may be renewed. Inclusion on the reserve list does not guarantee recruitment. The successful candidate will be selected from the established reserve list which may also be used for the recruitment of a similar post depending on the needs of the EBA. When a vacancy becomes available, candidates on the reserve list may be contacted for an additional interview.

Please note that the selection committee's work and deliberations are strictly confidential and that any contact with its members is strictly forbidden. Any contact made by the candidates or third parties in order to influence the members of the selection committee in relation to the selection constitutes grounds for disqualification from the selection procedure.

5. Appointment and conditions of secondment

5.1 Contract type, duration and starting date:

The successful candidate will be offered the position of Seconded National Expert for a minimum period of 6 up to 24 months with the possibility of extension.

The estimated starting date is 1 November 2020.



5.2 Conditions of secondment

The person to be seconded shall remain in the service of his/her employer throughout the period of secondment. The SNE's employer shall thus undertake to continue to pay the salary, to maintain the social rights, particularly social security and pension.

In accordance with the EBA Decision on secondment of national experts, an SNE shall be entitled to a daily subsistence allowance and a monthly subsistence allowance (established by the Commission each year) paid by the EBA throughout the period of secondment. The allowances are intended to cover SNE's living expenses in the place of secondment on a flat-rate basis and shall in no circumstances be construed as remuneration paid by EBA.¹⁰

These allowances are subject to the exchange rate as published monthly by the European Commission and the country correction coefficient currently applicable for France (117.7 %).

5.3 Place of secondment

The offices of the European Banking Authority are located on Floors 24 to 27 of the EUROPLAZA, 20 Avenue André Prothin, 92400, Courbevoie, France.

5.4 Ethics obligations

Independence and high standards of professional conduct are crucial for ensuring high standards and excellence for the work of the EBA. The Agency also strives to ensure that its staff do not have any interests that could affect their impartiality.

The successful candidate will be required to make a declaration of commitment to act independently in the public interest and to make an annual declaration with respect to any interests which might be considered prejudicial to his/her independence. In addition, the successful candidate will be bound to professional secrecy and to confidentiality requirements while working at the EBA and after having left; he/she will have to confirm their compliance with these requirements on a specific declaration of intention.

Before recruiting a member of staff, the EBA's Executive Director will examine whether the candidate has any personal interest which may impair his/her independence or any other conflict of interest. To that end, the applicant, using a specific form, shall inform the Executive Director of any actual or potential conflict of interest.

At the end of the secondment the SNE shall continue to have a duty of loyalty to the European Union and be bound by the obligation to act with integrity and discretion in the exercise of new duties assigned to him and in accepting certain posts or advantages.

For more information on ethics obligations, please refer to the following documents:

 Decision of the Management Board EBA DC 006 of 12 January 2011 on EBA Code of Good Administrative Behaviour;¹¹

¹⁰ For more information, please refer to Careers page of the EBA: The different parts of your pay - Overview of the remuneration package

¹¹ EBA Code of Good Administrative Behaviour (EBA DC 006)



- Decision of the Executive Director EBA DC 104rev1 of 10 May 2017 on the EBA's Policy on Independence and Decision Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Staff and other Contractual Parties;¹²
- Commission Decision C(2018) 4048 final of 29 June 2018 on outside activities and assignments and on occupational activities after leaving the Service;¹³
- Decision of the Executive Director EBA DC 271 of 26 April 2019 on the Ethics Guidelines for EBA staff.¹⁴

6. Submission of Applications

Applications including a curriculum vitae in the Europass format¹⁵, a motivation letter, and a completed eligibility criteria grid, dated and signed, and a statement of the employer issued by the applicant's employer supporting his/her application for a position on secondment before the deadline should be submitted in English to the following address: EBA-Applications@eba.europa.eu by: extended to 05 October 2020 (21 September 2020) at 12.00 noon Paris time. Please indicate the reference number of this selection, your name and surname in the subject of your email.

EBA will disregard any application received after deadline. Applicants are strongly advised not to wait until the last day to submit their applications, since heavy internet traffic or fault with the internet connection could lead to difficulties in submission. The EBA cannot be held responsible for any delay due to such difficulties.

Only complete applications will be accepted and considered. In order for the application to be considered complete candidates must send all documents: curriculum vitae in the Europass format, motivation letter, eligibility criteria grid dated and signed, a copy of the document formally recognising your qualification within the EU, if applicable, (see footnote 8) before the deadline and a statement of the employer issued by the applicant's employer (as defined in section 1.1. of the vacancy notice, fourth bullet point) and supporting his/her application for a position on secondment before the deadline.

Candidates should assess and check before submitting their application whether they fulfil all the requirements as specified in the vacancy notice, particularly in terms of qualifications and relevant professional experience.

Professional experience indicated on the curriculum vitae is only counted from the time the candidate obtained the certificate or diploma required for the position and if it is on a paid basis (including study grants or internship grants). PhDs may be counted as professional experience if the candidate received a study grant or salary during the period of the PhD studies. The maximum duration counted for a PhD is three years provided that the PhD has been successfully concluded by the closing date for applications of the selection procedure.

¹² EBA Conflict of Interest Policy (EBA DC 104 rev1)

¹³ Outside activities and Occupational activities after leaving the Service

¹⁴ EBA Ethics Guideline for EBA staff (EBA DC 271)

¹⁵ https://eba.europa.eu/about-us/careers/practical-information



Start and end dates of all previous positions and whether they were full time or part time must be indicated on the curriculum vitae. Freelance or self-employed candidates must provide either a copy of the entry in the relevant trade register, or any official document (for example a tax return document) showing clearly the length of the relevant professional experience. Details of any professional experience, training, research or studies must be given on the application form. Candidates must be able to provide of supporting documents clearly showing duration and nature of experience upon request.

The address indicated on the curriculum vitae will be used as the location from which the candidates invited to interviews travel.

At this stage, please do not send supporting documents (copies of ID cards, passports, diplomas, etc.) unless it is to demonstrate the recognition of your qualification within the EU, if applicable, (see footnote 8).

7. Data protection

EBA will ensure that candidates' personal data are processed as required by Regulation (EU) 2018/1725¹⁶. This applies in particular to the confidentiality and security of such data.

8. Appeal Procedure

Candidates who consider that their interests have been prejudiced by any decision related to the selection procedure can take the following actions:

8.1 Request for review of the decisions taken by the Selection Committee

Within ten days of the date of the letter notifying the candidate of a decision taken by the Selection Committee, he/she may submit a written request for a review of such a decision, setting out the reasons for the request, to the following e-mail address: EBA-vacancies@eba.europa.eu.

8.2 Appeals

a) The candidate may lodge a complaint under Article 90(2) of the Staff Regulations within the time limits provided for, at the following address:

The Executive Director
European Banking Authority
Selection procedure: Ref. PRSP SRRR SEC 4/2020
DEFENSE 4 – EUROPLAZA
20 Avenue André Prothin

¹⁶ Regulation (EU) 2018/1725 of the European Parliament and of the Council of 23 October 2018 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC (OJ L 295, 21.11.2018, p. 39)



CS 30154 92927 Paris La Défense CEDEX France

b) The candidate can submit a judicial appeal to the General Court under Article 270 of the Treaty on the Functioning of the European Union and Article 91 of the Staff Regulations.

For details of how to submit an appeal, please consult the website of the General Court: http://curia.europa.eu/

8.3 Complaint to the European Ombudsman

It is also possible to lodge a complaint to the European Ombudsman pursuant to Article 228(1) of the Treaty on the Functioning of the European Union and in accordance with the conditions laid down in the Decision of the European Parliament of 9 March 1994 on the Staff Regulations and the general conditions governing the performance of the European Ombudsman's duties¹⁷.

For details of how to lodge a complaint, please consult the website of the European Ombudsman: http://www.ombudsman.europa.eu/

Please note that complaints made to the European Ombudsman have no suspensive effect on the period laid down in Articles 90(2) and 91 of the Staff Regulations for lodging, respectively, a complaint or an appeal with the General Court. Furthermore, under Article 2(4) of the general conditions governing the performance of the European Ombudsman's duties, any complaint lodged with the European Ombudsman must be preceded by the appropriate administrative approaches to the institutions and bodies concerned.

¹⁷ OJ L 113 of 4 May 1994.