

<b>Question ID</b>	2013_333
<b>Status</b>	Final Q&A
<b>Legal act</b>	Regulation (EU) No 575/2013 (CRR)
<b>Topic</b>	Supervisory reporting - FINREP (incl. FB&NPE)
<b>Article</b>	99
<b>Paragraph</b>	2
<b>Subparagraph</b>	-
<b>COM Delegated or Implementing Acts/RTS/ITS/GLs/Recommendations</b>	Regulation (EU) No 680/2014 - ITS on supervisory reporting of institutions (as amended)
<b>Article/Paragraph</b>	Annex III, F 40.01 column 010 and Annex I, C 06.00 column 025
<b>Date of submission</b>	04/10/2013
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<b>Disclose name of institution / entity</b>	Yes
<b>Name of institution / submitter</b>	Swedish Bankers' Association
<b>Country of incorporation / residence</b>	Sweden
<b>Type of submitter</b>	Industry association
<b>Subject matter</b>	Missing LEI code
<b>Question</b>	What should be reported in column 010 in table F 40.01 Annex III and in column 025 in table C 06.00 Annex I, if LEI code is missing for an entity? Should the cell be left empty?
<b>Background on the question</b>	LEI codes could be missing for some entities.
<b>Final answer</b>	<p>When the LEI (or pre-LEI) code of the investee is missing, {F 40.01, c010} will be left empty but {F 40.01, c020} should be necessarily filled to provide the alternative code used to identify the investee (e.g. national identification code).</p> <p>As a system of pre-LEI codes already exists, when a pre-LEI code (which is assigned by a recognised pre-LOU) exists for a given investee, it should be</p>

	used in {F 40.01, c010} to identify that investee. This would prepare for the full implementation of the LEI system since the pre-LEI codes, as long as they are assigned by a recognised pre-LOU, will become LEI codes. The same applies to column 025 in COREP template C 06.00 regarding entities within the consolidated group and to column 030 in Large Exposure template C 27.00 regarding the identification of counterparties.
<b>Link</b>	<a href="https://www.eba.europa.eu/single-rule-book-qa/-/qna/view/publicId/2013_333">https://www.eba.europa.eu/single-rule-book-qa/-/qna/view/publicId/2013_333</a>

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