



EBA/GL/2014/11 Appendix 1

22 December 2014 – Updated 17
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Compliance Table - Guidelines

Based on information supplied by them, the following competent authorities comply or intend to comply with: EBA Guidelines EBA/GL/2014/11 on measures to reduce or remove impediments to resolvability, published on 19th December 2014.

Member State		Competent authority	Complies or intends to comply	Comments
BE	Belgium	National Bank of Belgium	Yes	
BG	Bulgaria	Българска народна банка (Bulgarian National Bank)	Yes	
CZ	Czech Republic	Česká Národní Banka (Czech National Bank)	Yes	
DK	Denmark	Finanstilsynet (Danish Financial Supervisory Authority)	Yes	
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority) / Bundesanstalt für Finanzmarktstabilisierung (FMSA)	Yes	
EE	Estonia	Finantsinspektsioon (Financial Supervision Authority)	Yes	
IE	Ireland	Central Bank of Ireland	Yes	
EL	Greece	Τράπεζα της Ελλάδος (Bank of Greece)	Yes	

Member State	Competent authority	Complies or intends to comply	Comments
HR	Hrvatska narodna banka (Croatian National Bank)	Yes	
ES	Banco de España (Bank of Spain)	Yes	As at 09.10.2015 - Further to the e-mail sent on 11th February 2015, and regarding EBA/GL/2014/11 (Guidelines on the specification of measures to reduce or remove impediments to resolvability and the circumstances in which each measure may be applied under Directive 2014/59/EU), Banco de España wishes to inform the EBA that the Fund for Orderly Bank Restructuring (FROB) has also adopted the Guideline as the Authority responsible for the execution phase of resolution, as far as it affects its competences.
	COMISION NACIONAL DEL MERCADO DE VALORES (CNMV),	Yes	(as the preventive resolution authority of investment firms)
FR	Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority)	Yes	
IT	Banca d'Italia (Bank of Italy)	Yes	As at 24.11.2020, notification date. Compliance with the GL is ensured via the national handbook on crisis management and resolution recently finalized that provides an operational description of the resolution processes to be implemented by the Resolution Unit of the Bank of Italy both for SIs and LSIs in case of resolution. The handbook is an internal document. It refers to and includes as annexes the SRB

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			internal manuals on Crisis Governance and Resolution Planning and the Internal Arrangements implementing some provisions of the Cooperation Framework. However, in light of their specific status, these annexes are available on a need to know basis only to the Resolution Unit staff and are stored separately in a dedicated repository.	
CY	Cyprus	Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus)	Yes	
LV	Latvia	Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission)	Yes	
LT	Lithuania	Lietuvos Bankas (Bank of Lithuania)	Yes	Updated: 06.03.2017
LU	Luxembourg	Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector)	Yes	18.02.2015 - Please note that since the BRRD has not yet been transposed into Luxembourg law, the CSSF will comply with these Guidelines as of the date it will become the official resolution authority following the terms of the BRRD
HU	Hungary	Magyar Nemzeti Bank (National Bank of Hungary)	Yes	
MT	Malta	Malta Financial Services Authority	Yes	Updated: 11.12.2015

Member State	Competent authority	Complies or intends to comply	Comments
NL	Netherlands De Nederlandsche Bank (National Bank of Netherlands)	Yes	
AT	Austria Finanzmarktaufsicht (Financial Market Authority)	Yes	
PL	Poland Bankowy Fundusz Gwarancyjny (Bank Guarantee Fund)	Yes	Updated 14.12.2016: Compliance with the Guidelines is going to be provided by incorporating into Resolution of the Fund Council on the rules of development and approval of resolution plans and group resolution plans and their resolvability assessment issued on the basis of Article 8(9) of the Act of 10 June 2016 on the Bank Guarantee Fund, Deposit Guarantee Scheme and Resolution (“Act on BFG”), a provision specifying that the Fund – when deciding on measures to address or remove impediments to resolvability – is obliged to follow the GL. In addition to the above please be informed that a non-official translation of the Act on BFG is available on BFG’s website: https://www.bfg.pl/wp-content/uploads/2016/11/Act-of-10-June-2016-on-the-Bank-Guarantee-Fund-Deposit-Guarantee-Scheme-and-Resolution.pdf
PT	Portugal Banco de Portugal (Bank of Portugal)	Yes	
RO	Romania Banca Națională a României (National Bank of Romania)	Yes	
SI	Slovenia Banka Slovenije (Bank of Slovenia)	Yes	

Member State	Competent authority	Complies or intends to comply	Comments
SK	Slovakia Národná Banka Slovenska (National Bank of Slovakia)	Yes	
FI	Finland Finanssivalvonta (Finnish Financial Supervisory Authority)	Yes	Updated: 09.11.2015
SE	Sweden Riksgäldskontoret (Swedish National Debt Office)	Yes	Updated 13.12.2016

EEA-EFTA State

IS	Iceland Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME)	Yes	As at 08.03.2021, notification date.
LI	Liechtenstein Finanzmarktaufsicht - FMA (Financial Market Authority)	Yes	<p>The Financial Market Authority Liechtenstein (FMA) as the competent authority in charge of the national implementation of financial market related regulations acknowledges the publication of the EBA Guidelines on the specification of measures to reduce or remove impediments to resolvability and the circumstances in which each measure may be applied under Directive 2014/59/EU (EBA/GL/2014/11).</p> <p>Currently, Liechtenstein is only admitted to EBA's Board of Supervisors as observer and is not vested with any voting rights under the EBA Regulation (EU) No. 1093/2010. Nevertheless, the FMA will comply with these Guidelines considering the time frame of the BRRD legislation in Liechtenstein which is currently in</p>

Member State	Competent authority	Complies intends to comply	or	Comments
				implementation into national law.
NO	Norway	Finanstilsynet (Norwegian Financial Supervisory Authority)	Yes	

Notes

Article 16(3) of the EBA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavours to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website (www.eba.europa.eu).

