

EBA BS 2017 436

05 December 2017

EBA REGULAR USE

Request for approval of the 2018 EBA budget, establishment plan and financing decision

The EBA’s Financial Regulation Article 33 states that the Board of Supervisors adopts the EBA budget and establishment plan after it has been adopted by the budgetary authority (European Parliament and Council).

Budget 2018

In January 2017 the Board of Supervisors approved the EBA’s 2018 single programming document (SPD) with a budget request of EUR 42 448 000, and an establishment plan of 147 temporary agents (TA). This was submitted to the European Commission which then presented in June 2017 a Draft Budget showing an EU subsidy of EUR 14 459 404 (net of surplus) and 145 TA. During the conciliation process, the Council and the European Parliament agreed to the Commission’s proposal. The European Parliament adopted these figures in plenary session on 30 November 2017.

Annex 1 shows the detailed 2018 budget at budget line level, compared to the 2016 outturn and 2017 adopted budget. The EBA still intends to submit an amending budget for 2017 to eliminate the EFTA subsidy amount that the EBA was not legally enabled to call.

Figure 1: Summary of 2018 budget by funding source, with comparison to 2017 budget

Revenue type	Budget 2018	Budget 2017	Change	% change
EU subsidy	15 076 978	14 543 000	+533 978	4%
EFTA subsidy	-	355 445	-355 445	-
NCA contribution	24 798 022	23 876 555	+921 467	4%
<i>of which EFTA NCA</i>	<i>685 028</i>	<i>659 573</i>	<i>+25 455</i>	<i>4%</i>
Total revenue	39 875 000	38 775 000	+1 100 000	3%

How the revenue is calculated

The basis for the total revenue is the EU subsidy. The figure adopted by the European Parliament is net of the EU part of the 2016 surplus so we add this back to get the actual EU subsidy to the EBA for 2018. We then multiply this by 1.5 to get the EU National Competent Authority (NCA) contributions, i.e. applying the 40:60 ratio set out in the EBA basic act. On top of this we add the EFTA NCA contributions in the proportion 352:10 to reflect the NCA voting weights, i.e. we multiply the EU NCA contributions by 10/352 to get the EFTA NCA contributions. We then add on the employer’s pension contributions. The EU element of this does not run through the EBA budget, only the proportion funded by the NCA. The pension is also split 352:10 between EU and EFTA NCA. Figure 2 overleaf shows the result of these calculations.

Figure 2: Summary of 2018 revenue calculation

Revenue type	EU	EFTA	Total 2018
Subsidy	15 076 978	-	15 076 978
NCA contribution - general	22 615 467	642 485	23 257 952
NCA contribution – pension	1 497 527	42 543	1 540 070
Total revenue	39 189 972	685 028	39 875 000

With regard to the issue raised in 2017 by the ESAs in relation to the EFTA subsidy, which was not received in 2017, the Commission Draft Budget 2018 included a pro memoriam entry for EFTA states’ subsidy to the EBA. This would have been in accordance with Protocol 32 on the financial modalities for the implementation of Article 82 of the EEA agreement, however this Article was not incorporated into the EEA agreement in relation to the ESAs. The EBA understands that this question is being looked into by DG BUDG.

Annex 2 shows the contribution detail by NCA.

Figure 3: Summary of 2018 budget by title, and comparison to 2017 budget

Expenditure	Budget 2018	Budget 2017	Change	% change
1 – Staff costs	26 874 000	26 436 000	+438 000	2%
2 – Administrative costs	5 761 000	5 954 000	-193 000	-3%
3 – Operational costs	7 240 000	6 385 000	+855 000	13%
Total expenditure	39 875 000	38 755 000	+1 100 000	3%

Establishment plan 2018

The European Parliament approved 145 temporary agent positions for the EBA in 2018. This is referred to as the Establishment Plan. This is in line with the multi-annual financial framework (MFF) 2014-2020. Contract agents and seconded national experts are not part of the Establishment Plan. The table below shows the EBA staffing movement from 2017 to 2018.

Figure 4: Summary of 2018 staffing with comparison to 2017

Staff	2018	2017	Change	% change
Establishment plan posts : AD	134	125	+9	+7%
Establishment plan posts : AST	11	9	+2	+22%
Total establishment plan posts	145	134	+11	+8%
Contract agents	42	33	+9	+27%
Seconded national experts	16	17	-1	-6%
Total staffing	203	184	+19	+10%

Annex 3 shows the full 2018 establishment plan by staff grade.

Financing decision 2018

Article 68.2 of the EBA financial regulation requires that every commitment of non-administrative expenditure by the EBA be preceded by a financing decision. Annex 4 contains the financing decision for 2018 operational expenditure (Title 3).

The Board of Supervisors is kindly requested to adopt the EBA 2018 Budget, Establishment Plan and Financing Decision.

Annexes:

1. Detailed 2018 budget
2. Contributions detail
3. Staffing and establishment plan
4. Financing decision