

Annex 2: EBA 2018 amending budget

EUROPEAN BANKING AUTHORITY					
Title					
Chapter		INITIAL	Amendment	AMENDED	Remarks
Article	Current year budget line description	BUDGET	2018	BUDGET	
Line		2018	2018	2018	
	REVENUE				
1	Contribution from EU national competent authorities				
10	Contribution from EU national competent authorities				
1000	Contribution from EU national competent authorities	24 112 994	1 598 400	25 711 394	Art. 62 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC
2					
20	European Community Contribution				
2000	Contribution from the European Union	15 076 978	1 065 600	16 142 578	A contribution for the Authority is entered in the general budget of the EU. The revenue entered represents the contribution provided.
3					
30	Fees paid to the authority				
3000	Fees from the supervised Entities				
4					
40	Contributions from EEA EFTA				
4000	Contributions from EEA EFTA national competent authorities	685 028	45 409	730 437	Art. 62 of Regulation (EU) No 1093/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Banking Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/78/EC
4100	Contribution from EEA EFTA states	-	-	-	- These contributions are not receivable under the adopted agreement
5					
50	Contribution from the Host Member State				
5000	Contribution from the Host Member State	-	p.m.	p.m.	French government contribution to EBA relocation costs (external assigned revenue)
6					
60	Revenue from operations				
6000	Revenue from supervisor training fees and other operations	p.m.	p.m.	p.m.	Revenue received for EBA services, from entities other than EU, EEA and NCA.
6001	Revenue from bank interest and other items	p.m.	p.m.	p.m.	Revenue from bank interest and other items.
7	Administrative operation				

Title					
Chapter		INITIAL	Amendment	AMENDED	Remarks
Article	Current year budget line description	BUDGET	2018	BUDGET	
Line		2018	2018	2018	
70	Correction of Budgetary imbalances				
7000	Correction of Budgetary imbalances balance of the outturn account				
9					
90	Miscellaneous revenue				
9000	Miscellaneous revenue	p.m.	p.m.	p.m.	
	TOTAL REVENUE	39 875 000	2 709 409	42 584 409	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
EXPENDITURE					
1	STAFF EXPENDITURE				
11	Staff in active employment				
110	<i>Staff holding a position in the establishment plan</i>				
1100	Basic salaries	11 385 000	-	11 385 000	Staff regulations of officials of the European Communities (hereinafter "Staff Regulations"), and in particular Art. 62 and Art. 66 applicable. Covers the basic salaries of officials and temporary staff holding posts on the establishment plans.
1101	Family allowances	1 064 000	-	1 064 000	Staff Regulations, and in particular Articles 42a, 42b, 62, 67 and 68a thereof, Article 3.2 of Annex VII thereto. Covers family allowances: household allowance, dependent child allowance, pre-school allowance, education allowance and parental leave allowance of relevant staff.
1102	Expatriation and foreign residence allowances	1 729 000	-	1 729 000	Staff Regulations, and in particular Articles 62 and 69 thereof and Art. 4 of Annex thereto. Covers the expatriation and foreign residence allowances of relevant staff.
1103	EBA Education Contributions	-	-	-	From 2017 onwards these costs are shown in budget line 1410
110	<i>Total article</i>	14 178 000	-	14 178 000	
111	<i>Other staff under Staff Regulations</i>				
1110	Seconded national experts	1 050 000	-	1 050 000	Daily and monthly allowances for Secondment of National Experts
1111	Contract agents	2 340 000	-	2 340 000	Conditions of employment of other servants of the European Union, and in particular Art.3a and Title IV thereof. Covers the basic remuneration of contract agents excluding the amounts paid in the form of weightings, which are charged to item 1160. The social security and pension contributions are charged to Article 113.
1112	Trainees	150 000	-	150 000	Monthly maintenance grant and travel in/out allowances for trainees as per EBA policy.
111	<i>Total article</i>	3 540 000	-	3 540 000	
113	<i>Contributions by the agency to social security</i>				

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
1130	Insurance against sickness	454 000	-	454 000	Staff Regulations, and in particular Art. 72 thereof. Rules on sickness insurance for officials of the European Communities, and in particular Art. 23 thereof. Covers the Authority's sickness contributions.
1131	Insurance against accidents and occupational disease	50 000	-	50 000	Staff Regulations, and in particular Art. 73 thereof and Art. 15 of Annex VIII thereto. Covers the Authority's contributions towards insurance against accidents and occupational diseases and the supplementary expenditure arising from the application of the statutory provisions in this area.
1132	Insurance against unemployment	173 000	-	173 000	Conditions of employment of other servants of the European Communities, and in particular Art. 28 and 96 thereof. Covers the cost of unemployment insurance for relevant staff.
1133	Employers pension contributions	1 540 000	-	1 540 000	Cost of employers pension as from Commission Decision on Staff Regulations, and in particular Article 83a paragraph 2. This represents the amount to be funded by NCA.
<i>113</i>	<i>Total article</i>	2 217 000	-	2 217 000	
<i>114</i>	<i>Miscellaneous allowances and grants</i>				
1140	Birth and death grants	3 000	-	3 000	Staff Regulations, and in particular Art. 70, 74 and 75 thereof. Covers: -birth grants; -in the event of an official's death:- the deceased's full remuneration until the end of the third month following that in which death occurred,- the costs of transporting the body of the deceased's place of origin.
1141	Travel expenses for annual leave	216 000	-	216 000	Staff Regulations (Articles 72 and 23) applicable to Temporary Agents.
1142	Other allowances and repayments	-	-	-	Compensation in the event of dismissal of staff during or after probation for - obvious inadequacy (Art. 34 of the SR) and compensations in the event of cancellation of the contract by the Agency (Art. 34).
<i>114</i>	<i>Total article</i>	219 000	-	219 000	
<i>115</i>	<i>Overtime</i>				
1150	Overtime	-	-	-	Staff Regulations, and in particular Art. 56 and Annex VI thereto. This article covers flat-rate allowances and payments at hourly rates for overtime worked
<i>115</i>	<i>Total article</i>	-	-	-	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
116	<i>Salary weighting and exchange rate</i>				
1160	Salary weighting	4 244 000	-	4 244 000	Staff Regulations, and in particular Art. 64 and 65 thereof and Art. 17 (3) of Annex VII thereto. Covers the cost of weightings applied to the remuneration of relevant staff.
1162	Exchange rate	-	-	-	- Covers exchange rate differences arising on staff salary payments.
116	<i>Total article</i>	4 244 000	-	4 244 000	
11	Total chapter	24 398 000	-	24 398 000	
12	Expenditure relating to staff management and recruitment				
120	<i>Expenditure on recruitment procedure</i>				
1200	Expenditure on recruitment procedure	75 000	-	75 000	Expenditure arising from recruitment procedures, in particular the travel costs of applicants attending for written tests, interviews and pre-employment medical examinations, and mission costs of external panel members
120	<i>Total article</i>	75 000	-	75 000	
121	<i>Travel expenses of recruited staff and family</i>				
1210	Travel expenses of recruited staff and family	22 000	-	22 000	Staff Regulations, and in particular Art. 20 and 71 thereof and Art. 7 Annex VII thereto. Covers travel expenses due to relevant staff (including their families) on taking up their duties or leaving the institution or transfer to another place of employment.
121	<i>Total article</i>	22 000	-	22 000	
122	<i>Installation, resettlement and transfer allowances</i>				
1220	Installation, resettlement and transfer allowances	195 000	-	195 000	Staff Regulations, and in particular Art. 5 and 6 of Annex VII thereto. Covers installation and resettlement allowances due to relevant staff obliged to change their place of residence on taking up their duties, on transfer to a new place of employment and upon finally leaving the institution and resettling elsewhere.
122	<i>Total article</i>	195 000	-	195 000	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
123	<i>Removal expenses</i>				
1230	Removal expenses	62 000	-	62 000	Staff Regulations, and in particular Art. 20 and 71 thereof and Art. 20 of Annex VII thereto. Covers temporary daily subsistence allowances for relevant staff who furnish evidence that they must change their place of residence on taking up their duties, or transferring to a new place of employment.
123	<i>Total article</i>	62 000	-	62 000	
124	<i>Temporary daily subsistence allowances</i>				
1240	Temporary daily subsistence allowances	133 000	-	133 000	Staff Regulations, and in particular Art. 20 and 71 thereof and Art. 20 of Annex VII thereto. Covers temporary daily subsistence allowances for relevant staff who furnish evidence that they must change their place of residence on taking up their duties, or transferring to a new place of employment.
124	<i>Total article</i>	133 000	-	133 000	
129	<i>External services</i>				
1290	External services and consultations	821 000	170 700	991 700	Services of interim staff and other staff-related external services such as PMO charges, travel insurance for missions and cost of publishing vacancies
129	<i>Total article</i>	821 000	170 700	991 700	
12	Total chapter	1 308 000	170 700	1 478 700	
13	Mission expenses, travel and incidental expenses				
130	<i>Administrative mission expenses</i>				
1300	Administrative mission expenses	40 000	132 309	172 309	Mission expenses, expenditure on transport, daily mission allowances, and other ancillary or exceptional expenditure incurred by staff of the Authority on mission.
130	<i>Total article</i>	40 000	132 309	172 309	
13	Total chapter	40 000	132 309	172 309	
14	Socio-medical infrastructure				
140	<i>Medical service</i>				
1400	Medical service	83 000	-	83 000	Costs of medical services, including medical visits, annual medical check-ups, preventive medical examinations and certain vaccinations.
140	<i>Total article</i>	83 000	-	83 000	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
141	<i>EBA Education Contributions</i>				
1410	EBA Education Contributions	721 000	-	721 000	EBA Education contribution for EBA staff members - Staff Regulations Annex VII, art. 3, related to school fees within the provisions decided by the Management Board. Also includes expenditure relating to Early Childhood Centres and crèches. These expenses were shown in Line 1103 in 2015 & 2016.
141	<i>Total article</i>	721 000	-	721 000	
14	Total chapter	804 000	-	804 000	
15	Staff training				
150	<i>Staff training</i>				
1500	Staff training	263 000	-	263 000	Staff Regulations, and in particular Art. 24 (a) thereof and Art. 11 and 81 of the CEOS. Covers the costs of language courses as well as learning and development (including courses, events, seminars, information sessions both in-house and outside the EBA) for all staff.
150	<i>Total article</i>	263 000	-	263 000	
15	Total chapter	263 000	-	263 000	
17	Representation expenses, receptions and events				
170	<i>Representation expenses, receptions and events</i>				
1700	Representation, receptions, team building and social activities for SM	60 000	-	60 000	Covers expenditure on the Authority's obligations in respect of representation especially linked with receptions. It also covers team building events and social activities cost for staff members.
1702	Staff Committee	1 000	-	1 000	Expenditures related to the EBA Staff Committee.
170	<i>Total article</i>	61 000	-	61 000	
17	Total chapter	61 000	-	61 000	
1	TOTAL TITLE 1	26 874 000	303 009	27 177 009	
2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE				
20	Rental of building and associated costs				
200	<i>Rental of building</i>				
2000	Rental of building	1 985 000	-	1 985 000	Rent relating to occupied buildings or part of buildings. Appropriations arising from external assigned revenue received from French government as a contribution to EBA relocation costs.
200	<i>Total article</i>	1 985 000	-	1 985 000	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
201	<i>Insurance</i>				
2010	Insurance	69 000	30 000	99 000	Insurance premiums on the buildings or part of buildings occupied by the EBA, as well as for contents and civil liability insurances. Appropriations arising from external assigned revenue received from French government as a contribution to EBA relocation costs.
201	<i>Total article</i>	69 000	30 000	99 000	
202	<i>Utilities and charges</i>				
2020	Utilities and charges	897 000	-	897 000	Estate and building service charges, in accordance with the lease of One Canada Square; electricity and carbon reduction charges. Appropriations arising from external assigned revenue received from French government as a contribution to EBA relocation costs.
202	<i>Total article</i>	897 000	-	897 000	
203	<i>Maintenance, cleaning and repairs</i>				
2030	Maintenance, cleaning and repairs	168 000	-	168 000	Maintenance costs for premises, lifts, central heating, air-conditioning equipment, etc.; regular cleaning operations, maintenance, washing, laundry and dry-cleaning products, etc.; repainting and repair services and associated supplies. Appropriations arising from external assigned revenue received from French government as a contribution to EBA relocation costs.
203	<i>Total article</i>	168 000	-	168 000	
204	<i>Taxes - London Business rates</i>				
2040	Taxes - London Business rates	86 000	-	86 000	Local taxes to be paid to the UK authorities
204	<i>Total article</i>	86 000	-	86 000	
205	<i>Fitting out premises and refurbishment works</i>				
2050	Fitting out premises and refurbishment works	11 000	834 500	845 500	Covers the fitting-out of existing buildings, e.g. alterations to partitioning, alterations to technical installations and other specialist work on locks, electrical equipment, plumbing, painting, floor coverings, etc.; and fit-out of new offices, including project management and design works. It also covers the necessary equipment and furnishings. Appropriations arising from external assigned revenue received from French government as a contribution to EBA relocation costs.
205	<i>Total article</i>	11 000	834 500	845 500	
20	Total chapter	3 216 000	864 500	4 080 500	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
21	Information and communication technology				
210	<i>Software package and information systems</i>				
2100	Software package and information systems	414 000	-	414 000	Used to purchase or rent "common" software and includes installation, maintenance and support for the software including all related expenses, where the software is being used for administrative purposes. This includes e.g. operating systems, office software, database software etc.
210	<i>Total article</i>	414 000	-	414 000	
211	<i>Computing and telecommunications machinery equipment and supplies</i>				
2110	Computing and telecommunications machinery equipment and supplies	380 000	557 800	937 800	Covers purchase and rental of "common" hardware and includes the installation, maintenance, support and all related expenses, where the hardware is being expended for administrative purposes. This includes e.g. PCs, monitors, printers and supplies, network equipment, telephones, etc.
211	<i>Total article</i>	380 000	557 800	937 800	
212	<i>IT Services: consulting software development and support</i>				
2120	IT Services: consulting software development and support	882 000	853 700	1 735 700	Covers purchase of "common" services such as consultancy services, software development and support services for administrative purposes. This includes e.g. hiring of consultants for IT Support, having software developed or customized, IT infrastructure support, etc.
212	<i>Total article</i>	882 000	853 700	1 735 700	
21	Total chapter	1 676 000	1 411 500	3 087 500	
23	Current administrative expenditure				
230	<i>Main current administrative expenditure</i>				
2300	Stationery and printing	19 000	-	19 000	Office stationery; also covers supplies for reprographics and external printing services.
2304	Office supplies	29 000	-	29 000	Office supplies and items, including water, coffee, milk, etc.; office services such as offsite storage and confidential waste shredding.
230	<i>Total article</i>	48 000	-	48 000	
231	<i>Financial charges</i>				
2310	Bank and financial charges	3 000	-	3 000	Commission, miscellaneous charges incurred on banking services.
231	<i>Total article</i>	3 000	-	3 000	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
232	<i>Legal expenses</i>				
2320	Legal advice and consultations	41 000	54 700	95 700	Covers the cost of external legal consultancy.
232	<i>Total article</i>	41 000	54 700	95 700	
233	<i>Other administrative operating expenses</i>				
2332	Other administrative expenditure and consulting expenses	119 000	-	119 000	Covers sundry administrative services related to administrative matters, including health & safety assessments, agency network contribution, annual audit fees, security passes etc.
233	<i>Total article</i>	119 000	-	119 000	
23	Total chapter	211 000	54 700	265 700	
24	Postage and telecommunications				
240	<i>Postal and delivery charges</i>				
2400	Postal and delivery charges	9 000	-	9 000	Covers postal and delivery charges for ordinary mail and couriers.
240	<i>Total article</i>	9 000	-	9 000	
241	<i>Telecommunication services</i>				
2410	Telecommunication services	177 000	57 800	234 800	Covers all telecommunication related charges for landlines, mobile lines, teleconference facilities and related services.
241	<i>Total article</i>	177 000	57 800	234 800	
24	Total chapter	186 000	57 800	243 800	
25	Information and publishing				
250	<i>Communications, publications and translations costs</i>				
2500	Communications, publications and translation - administrative costs	210 000	17 900	227 900	Covers editing, translation and publishing expenses not covered in Title 3.
2501	Website	160 000	-	160 000	Cost of maintaining and developing the website of the authority.
2502	Press and policy monitoring services, subscriptions and library acquisitions	102 000	-	102 000	Press monitoring services and media databases, paper and online subscriptions to newspapers and periodicals, library books, and related items and services.
250	<i>Total article</i>	472 000	17 900	489 900	
25	Total chapter	472 000	17 900	489 900	
26	Meeting expenses				
260	<i>Administrative meeting expenses</i>				
2600	Administrative meeting expenses	-	-	-	- Cost of hire of rooms, lunches, refreshments and external staff for events.
260	<i>Total article</i>	-	-	-	
26	Total chapter	-	-	-	
2	TOTAL TITLE 2	5 761 000	2 406 400	8 167 400	

Title					
Chapter		INITIAL	Amendment	AMENDED	Remarks
Article	Current year budget line description	BUDGET	2018	BUDGET	
Line		2018	2018	2018	
3	OPERATIONAL EXPENDITURE				
31	General Operational Expenditure				
310	<i>Seminars and workshops</i>				
3100	Seminars and workshops	207 000	-	207 000	The EBA shall play an active role in building a common Union supervisory culture and consistent supervisory practices, as well as ensuring uniform procedures and consistent approaches throughout the Union. The EBA will therefore organise sectoral and cross sectoral training for external stakeholders. This will cover the costs of developing and organising the training, including online courses, and costs of attendance of speakers and participants.
310	<i>Total article</i>	207 000	-	207 000	
311	<i>Operational missions and meetings</i>				
3110	Operational missions	857 000	-	857 000	Operational missions of EBA staff.
3111	General Operational meetings costs	191 000	-	191 000	Operational meeting costs related to EBA sub-groups, standing committees and working groups, and the like, held within or outside EBA premises. Includes costs for catering, service staff, rental of rooms, services for the technical set up of the meeting rooms, rental of audio-visual equipment, handyman work for rearranging and set up of room layouts, purchase of meeting-related goods and services. Includes dinners and restaurant visits of EBA working groups (one/year) and reimbursement of external participants including speakers and panel discussants.
3112	Board of Supervisors (BoS) meetings and reimbursements	18 000	-	18 000	Cost of dinners provided to BoS members and the reimbursement of other expenses
3113	Board of Appeal (BoA) meetings and reimbursements	19 000	-	19 000	Covers travel and hotel expenses for members, hire of rooms, lunches, refreshments, etc. dinners provided to BoA members and the reimbursement of expenses. Includes costs for members' time.
3114	Banking and stakeholders group (BSG) meetings and reimbursements	89 000	-	89 000	Reimbursement of travel costs for BSG members representing non-profit organisations and/or academics participating at EBA working groups and meetings. Includes daily allowances for BSG members.
311	<i>Total article</i>	1 174 000	-	1 174 000	
312	<i>Operational consulting services</i>				
3120	Operational consulting services	402 000	-	402 000	Consulting services in relation to operational matters.
3122	Subscription to Data Services and database of financial and market data	233 000	-	233 000	Cost of data Services and financial market data.
312	<i>Total article</i>	635 000	-	635 000	

Title Chapter Article Line	Current year budget line description	INITIAL BUDGET 2018	Amendment 2018	AMENDED BUDGET 2018	Remarks
313	<i>Communication and publication activities, including operational translations costs</i>				
3130	Communication and publication activities, including operational translations costs	804 000	-	804 000	Covers cost of editing, translation and publication of document related to the activity of the Agency, i.e. stress tests results. This budget line may receive internal assigned revenue arising from recharges to the other ESA of the costs of translating and editing joint guidelines.
313	<i>Total article</i>	804 000	-	804 000	
31	Total chapter	2 820 000	-	2 820 000	
32	IT Expenses for operational purposes				
320	<i>Software package and information systems</i>				
3200	Software package and information systems	314 000	-	314 000	Purchase or rent of operational software, including installation, maintenance, support and related expenses.
320	<i>Total article</i>	314 000	-	314 000	
321	<i>Computing and telecommunications machinery equipment and supplies</i>				
3210	Computing and telecommunications machinery equipment and supplies	-	-	-	Purchase or rent of operational hardware, including installation, maintenance, support and related expenses.
321	<i>Total article</i>	-	-	-	
322	<i>IT services: consulting software development and support</i>				
3220	IT services: consulting software development and support	4 106 000	-	4 106 000	Purchase of IT services for operational purposes. This includes IT software development services, system and technical consultancy services, IT Support services, IT infrastructure support, etc.
322	<i>Total article</i>	4 106 000	-	4 106 000	
32	Total chapter	4 420 000	-	4 420 000	
3	TOTAL TITLE 3	7 240 000	-	7 240 000	
	TOTAL EXPENDITURE	39 875 000	2 709 409	42 584 409	
1	STAFF EXPENDITURE	26 874 000	303 009	27 177 009	
2	INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE	5 761 000	2 406 400	8 167 400	
3	OPERATIONAL EXPENDITURE	7 240 000	-	7 240 000	
	TOTAL EXPENDITURE	39 875 000	2 709 409	42 584 409	

Notes:

1 Expenditure budget lines may receive assigned revenue.