Bank name: NordLB

Dalik Haille.			
and Park Date			
eral Bank Data			
ection 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:	COID	Response	
(1) Country code	1001	DE	1.a.(1)
(2) Bank name	1002	NordLB	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2014-12-31	1.a.(3)
(4) Reporting currency	1004	EUR	1.a.(4)
(5) Euro conversion rate	1005	1	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000	1.b.(1)
(2) Accounting standard	1008	IFRS	1.b.(2)
(3) Date of public disclosure (yyyy-mm-dd)	1009	2015-04-30	1.b.(3)
(4) Language of public disclosure	1010	English	1.b.(4)
(5) Web address of public disclosure	1011	https://www.nordlb.com/fileadmin/redaktion_en/branchen/investorrelati	1.b.(5)
Indicator			
ection 2 - Total Exposures	GSIB	Amount	
a. Counterparty exposure of derivatives contracts	1012	6,900,757	2.a.
b. Gross value of securities financing transactions (SFTs)	1013	13,271,650	2.b.
c. Counterparty exposure of SFTs	1014	828,525	2.c.
d. Other assets	1014	174,545,962	2.d.
(1) Securities received in SFTs that are recognised as assets	1015	174,545,962	2.d. 2.d.(1)
e. Total on-balance-sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	1017	195,546,894	2.u.(1)
f. Potential future exposure of derivative contracts	1017	2,374,786	2.e. 2.f.
g. Notional amount of off-balance-sheet items with a 0% credit conversion factor	1019	8,191,965	2.g.
(1) Unconditionally cancellable credit card commitments	1020	192,859	2.g.(1)
(2) Other unconditionally cancellable commitments	1021	7,552,425	2.g.(1)
h. Notional amount of off-balance-sheet items with a 20% credit conversion factor	1021	3,184,504	2.g.(2) 2.h.
i. Notional amount of off-balance-sheet items with a 50% credit conversion factor	1023	9,736,491	2.i.
j. Notional amount of off-balance-sheet items with a 100% credit conversion factor	1024	3,996,355	2.j.
k. Total off-balance-sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of			,
items 2.g.(1) and 2.g.(2))	1025	20,513,345	2.k.
I. Entities consolidated for accounting purposes but not for risk-based regulatory purposes:			
(1) On-balance-sheet assets	1026	3,253,610	2.l.(1)
(2) Potential future exposure of derivatives contracts	1027	0	2.1.(2)
		0	2.1.(3)
(3) Unconditionally cancellable commitments	1028	0	
(3) Unconditionally cancellable commitments (4) Other off-balance-sheet commitments	1028 1029	0	2.l.(4)
···			2.1.(4)
(4) Other off-balance-sheet commitments	1029	0	
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum	1029 1030 1031	0 1,410,089 2,294,667	2.l.(4) 2.l.(5) 2.m.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments	1029 1030	0 1,410,089	2.l.(4) 2.l.(5)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)	1029 1030 1031	0 1,410,089 2,294,667	2.l.(4) 2.l.(5) 2.m.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators	1029 1030 1031 1032	0 1,410,089 2,294,667 215,609,093	2.l.(4) 2.l.(5) 2.m.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators section 3 - Intra-Financial System Assets	1029 1030 1031 1032	0 1,410,089 2,294,667 215,609,093	2.l.(4) 2.l.(5) 2.m. 2.n.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators cotion 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions	1029 1030 1031 1032 GSIB 1033	0 1,410,089 2,294,667 215,609,093 Amount 31,271,407	2.l.(4) 2.l.(5) 2.m. 2.n.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit	1029 1030 1031 1032 GSIB 1033 1034	0 1,410,089 2,294,667 215,609,093 Amount 31,271,407 12,353	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions	1029 1030 1031 1032 GSIB 1033	0 1,410,089 2,294,667 215,609,093 Amount 31,271,407	2.l.(4) 2.l.(5) 2.m. 2.n.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions:	1029 1030 1031 1032 1032 GSIB 1033 1034 1035	Amount Amount 31,271,407 12,353 4,711,689	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators connectedness Indicators a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities	1029 1030 1031 1032 1032 GSIB 1033 1034 1035	Amount Amount 31,271,407 12,353 4,711,689	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(1)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments m. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(3), 2.l.(4), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(3), 2.l.(4), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(3), 2.l.(4), 2.l.(4), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), 2.l.(4)	1029 1030 1031 1032 1032 GSIB 1033 1034 1035	Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities	1029 1030 1031 1032 1032 GSIB 1033 1034 1035 1036 1037	Amount 31,271,407 1,353 4,711,689 4,300,409 21,726,037 68,444	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper	1029 1030 1031 1032 1032 GSIB 1033 1034 1035 1036 1037 1038	Amount Amount 1,410,089 2,294,667 215,609,093 Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(3) 3.c.(4)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators section 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039	Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040	Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 11,286 0	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(6) 3.c.(6)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039	Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040	Amount Amount 31,271,407 12,353 4,711,689 21,726,037 68,444 0 11,286 0 0	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. (3) 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicators connectedness Indicators cution 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1039 1040 1041 1042	Amount Amount 31,271,407 215,609,093 Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 0 0 1,898,812	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(3) 3.c.(5) 3.c.(6) 3.d.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041	Amount Amount 31,271,407 12,353 4,711,689 21,726,037 68,444 0 11,286 0 0	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. (3) 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1039 1040 1041 1042	Amount Amount 31,271,407 215,609,093 Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 0 0 1,898,812	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(3) 3.c.(5) 3.c.(6) 3.d.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(2), 2.l.(2), 2.l.(4), minus the sum of items 2.l.(2), 2.l.(2), 2.l.(4), minus the sum of items 2.l.(2), 2.l.(2), 2.l.(2), 2.l.(4), minus the connectedness and 2.l.(4), minus the sum of items 2.l.(2), 2.	1029 1030 1031 1032 1032 1032 1033 1034 1035 1036 1037 1038 1040 1041 1042	Amount Amount 31,271,407 12,353 4,711,689 21,726,037 68,444 0 11,286 0 1,898,812 539,611	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. (3) 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1032 1033 1034 1035 1036 1037 1038 1040 1041 1042	Amount Amount 31,271,407 12,353 4,711,689 21,726,037 68,444 0 11,286 0 1,898,812 539,611	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. (3) 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,286 11,286 0 18,98,812 539,611	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. (3) 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1032 1033 1034 1035 1036 1037 1038 1040 1041 1042 1043 1044 1045	Amount Amount 31,271,407 215,609,093 Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,286 0 1,898,812 539,611 64,527,695	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045	Amount Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,898,812 539,611 64,527,695	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(1) 3.e.(2) 3.f.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1032 1033 1034 1035 1036 1037 1040 1041 1042 1043 1044 1045	Amount	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 4.a. 4.b.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators ction 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6)) ction 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to non-depository financial institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048	Amount Amount 31,271,407 215,609,093 Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,286 0 1,898,812 539,611 64,527,695 Amount 42,491,205 22,859,655 3,673,584 3,503,891	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(2) 3.f. 4.a. 4.b. 4.c.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048 1049	Amount Amount Amount Amount Amount Amount Amount Amount 44,300,409 21,726,037 68,444 0 11,286 11,286 10 11,898,812 539,611 Amount Amount Amount 42,491,205 22,859,655 3,673,584 3,503,891 3,047,785	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048 1049	Amount Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,286 0 0 11,898,812 539,611 Amount 42,491,205 22,859,655 3,673,584 3,503,891 3,047,785 743,641	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048 1049	Amount Amount Amount Amount Amount Amount Amount Amount 44,300,409 21,726,037 68,444 0 11,286 11,286 10 11,898,812 539,611 Amount Amount Amount 42,491,205 22,859,655 3,673,584 3,503,891 3,047,785	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicator Indica	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1044 1045 1046 1047 1048 1049	Amount Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,286 14,388,812 539,611 Amount 42,491,205 22,899,655 3,673,584 3,503,891 3,047,785 743,641 76,319,761	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2)
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicator Indicators connectedness Indicators connectednes	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048 1049	Amount Amount Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 11,286 0 11,898,812 539,611 64,527,695 Amount 42,491,205 22,859,655 3,673,584 3,503,891 3,047,785 743,641 76,319,761	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators cition 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6)) cition 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to non-depository financial institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions d. Net negative fair value (2) Potential future exposure f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1040 1041 1042 1043 1044 1045 1046 1047 1048 1049 1050 1051 1052	Amount	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 4.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047 1048 1049 1050 1051 1052	Amount	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 4.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) connectedness Indicators setion 3 - Intra-Financial System Assets a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6)) section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to depository institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions for the negative fair value (2) Potential future exposure f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047 1048 1049 1050 1051 1052	Amount	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) Connectedness Indicators Connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1041 1042 1043 1044 1045 1046 1047 1048 1049 1050 1051 1052	Amount Amount Amount Amount 31,271,407 12,353 4,711,689 4,300,409 21,726,037 68,444 0 11,286 0 0 11,286 0 0 11,898,812 539,611 64,527,695 Amount 42,491,205 22,859,655 3,673,584 3,503,891 Amount 42,491,205 42,491,207 42,491	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f. 5.a. 5.b. 5.c. 5.d.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) **Connectedness Indicators** (1) Centificates of deposit **Connectedness Indicators** (1) Centificates of deposit **Connectedness Indicators** (1) Centificates of deposit **Connectedness Indicators** (2) Sequity securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) **Connectedness Indicator paper (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) **Connectedness Indicator paper (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) **Connectedness Indicator paper (7) Net positive current exposure of securities financial institutions that have a net positive fair value (6) Potential future exposure (7) Net positive fair value (8) Potential future exposure (9) Potential future exposure (1) Net negative current exposure of securities financing transactions with other financial institutions 10. Net negative fair value (1) Net negative fair value (2) Potential future exposure (3) Potential future exposure (4) Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2)) ***Connected	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 1046 1047 1050 1051 1052	Amount	2.I.(4) 2.I.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 4.f. 4.e. 5.a. 5.b. 5.c. 5.d. 5.e.
(4) Other off-balance-sheet commitments (5) Investment value in the consolidated entities m. Regulatory adjustments n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m) Connectedness Indicators Connectedness Indicato	1029 1030 1031 1032 1032 1033 1034 1035 1036 1037 1038 1039 1041 1042 1043 1044 1045 1046 1047 1048 1049 1050 1051 1052	Amount	2.l.(4) 2.l.(5) 2.m. 2.n. 3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f. 4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f. 5.a. 5.b. 5.c. 5.d.

Bank name: NordLB

ection 1 - General Information			GSIB	Response	
a. General information provided by the relevant supervisit.	sory authority:				
(1) Country code			1001	DE	1.a.(1)
(2) Bank name			1002	NordLB	1.a.(2)
(3) Reporting date (yyyy-mm-dd)			1003	2014-12-31	1.a.(3
(4) Reporting currency			1004	EUR	1.a.(4
(5) Euro conversion rate			1005	1	1.a.(5
 b. General Information provided by the reporting institution 	ion:				
(1) Reporting unit			1007	1,000	1.b.(1
(2) Accounting standard			1008	IFRS	1.b.(2
(3) Date of public disclosure (yyyy-mm-dd)			1009	2015-04-30	1.b.(3
(4) Language of public disclosure			1010	English	1.b.(4
(5) Web address of public disclosure			1011	https://www.nordlb.com/fileadmin/redaktion_en/branchen/investorrelati	1.b.(5
stitutability/Financial Institution Infrastructu	re Indicators				
ection 6 - Payments made in the reporting year	Reported	Amount in specified		Amount	
excluding intragroup payments)	in	currency	GSIB		
a. Australian dollars	AUD	AUD 2,730,460	1061	1,856,437	6.a.
b. Brazilian real	BRL	BRL 0	1062	0	6.b.
c. Canadian dollars	CAD	CAD 5,297,660	1063	3,616,352	6.c.
d. Swiss francs	CHF	CHF 8,098,138	1064	6,667,530	6.d.
e. Chinese yuan	CNY	CNY 15,501	1065	1,897	6.e.
f. Euros	EUR	EUR 484,162,203	1066	484,162,203	6.f.
g. British pounds	GBP	GBP 32,550,134	1067	40,396,680	6.g.
h. Hong Kong dollars	HKD	HKD 1,670,785	1068	162,435	6.h.
i. Indian rupee	INR	INR 715,782	1069	8,843	6.i.
j. Japanese yen	JPY	JPY 824,311,454	1070	5,878,093	6.j.
k. Swedish krona I. United States dollars	SEK USD	SEK 6,028,885 USD 221,504,108	1071 1072	662,873 167,000,929	6.k. 6.l.
m. Payments activity indicator (sum of items 6.a through		030 221,004,106	1072	710,414,272	6.m.
ection 7 - Assets Under Custody					
-			GSIB 1074	Amount 69 023 761	7 a
a. Assets under custody indicator			GSIB 1074	Amount 69,023,761	7.a.
-					7.a.
a. Assets under custody indicator	ity Markets			69,023,761	7.a.
a. Assets under custody indicator	ity Markets		1074		7.a. 8.a.
a. Assets under custody indicator section 8 - Underwritten Transactions in Debt and Equi	ity Markets		1074 GSIB	69,023,761 Amount	
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity			1074 GSIB 1075	69,023,761 Amount	8.a.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.)			1074 GSIB 1075 1076	Amount 0 6,886,080	8.a. 8.b.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators	3.b)		1074 GSIB 1075 1076 1077	Amount 0 6,886,080 6,886,080	8.a. 8.b.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8.)	B.b)		1074 GSIB 1075 1076	Amount 0 6,886,080 6,886,080 Amount	8.a. 8.b.
a. Assets under custody indicator iection 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators iection 9 - Notional Amount of Over-the-Counter (OTC)	B.b)		GSIB 1075 1076 1077	Amount 0 6,886,080 6,886,080 Amount 94,103,295	8.a. 8.b. 8.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar	B.b)		GSIB 1075 1076 1077 GSIB 1078	Amount 0 6,886,080 6,886,080 Amount	8.a. 8.b. 8.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally	B.b)		GSIB 1075 1076 1077 GSIB 1078 1079	Amount O 6,886,080 6,886,080 Amount 94,103,295 224,828,994	8.a. 8.b. 8.c. 9.a. 9.b.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)	B.b)		GSIB 1075 1076 1077 GSIB 1078 1079 1080	Amount O 6,886,080 6,886,080 Amount 94,103,295 224,828,994	8.a. 8.b. 8.c. 9.a. 9.b.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities	B.b)		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080	Amount O 6,886,080 6,886,080 Amount 94,103,295 224,828,994 318,932,289	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
a. Assets under custody indicator section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT)	B.b)		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080	Amount O 6,886,080 6,886,080 Amount 94,103,295 224,828,994 318,932,289 Amount 4,700,872	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity r. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS)	3.b) Derivatives ty		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080	Amount Amount Amount Amount Amount Amount 4,700,872 40,461,092	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Inplexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of	B.b) Derivatives ty Level 1 assets		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083	Amount Amount Amount Amount Amount 4,700,872 40,461,092 19,273,193	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities that meet the definition of d. Trading and AFS securities that meet the definition of	Derivatives ty Level 1 assets Level 2 assets, w		GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084	Amount Amount Amount Amount 94,103,295 224,828,994 318,932,289 Amount 4,700,872 40,461,092 19,273,193 4,766,481	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
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a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10	Derivatives ty Level 1 assets Level 2 assets, w D.a and 10.b, minu	s the sum of 10.c and 10.d)	GSIB 1075 1076 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount Amount Amount Amount Amount 4,103,295 224,828,994 318,932,289 Amount 4,700,872 40,461,092 19,273,193 4,766,481 21,122,290	9.a. 9.b. 9.c.
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a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 Ses-Jurisdictional Activity Indicators	Derivatives ty Level 1 assets Level 2 assets, w D.a and 10.b, minu	s the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount Amount Amount Amount 94,103,295 224,828,994 318,932,289 Amount 4,700,872 40,461,092 19,273,193 4,766,481 21,122,290 Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 SSS-Jurisdictional Activity Indicators	Derivatives ty Level 1 assets Level 2 assets, w D.a and 10.b, minu	s the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084 1085 GSIB 1086	Amount Amount Amount Amount 94,103,295 224,828,994 318,932,289 Amount 4,700,872 40,461,092 19,273,193 4,766,481 21,122,290 Amount	9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 Implexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 Ses-Jurisdictional Activity Indicators	Derivatives ty Level 1 assets Level 2 assets, w 0.a and 10.b, minu	s the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount Amount Amount Amount Amount 4,700,872 40,461,092 19,273,193 4,766,481 21,122,290 Amount 563,026	9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 ses-Jurisdictional Activity Indicators	Derivatives ty Level 1 assets Level 2 assets, w 0.a and 10.b, minu	s the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084 1085 GSIB 1086	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d.
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a. Assets under custody indicator Section 8 - Underwritten Transactions in Debt and Equi a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 section 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign clai	Derivatives ty Level 1 assets Level 2 assets, w 0.a and 10.b, minu measurement inp	s the sum of 10.c and 10.d) uts)	GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084 1085 GSIB 1086	Amount Amount Amount Amount Amount Amount 4,700,872 40,461,092 19,273,193 4,766,481 21,122,290 Amount Amount Amount Amount Amount Amount Amount Amount Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.
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Ancillary Data