Guidelines compliance table

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| EBA/GL/2015/17 Appendix 1 |
| 8 February 2016 |
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Guidelines on specifying the conditions for group financial support under Article 23 of Directive 2014/59/EU

The following competent authorities\* comply or intend to comply with EBA’s Guidelines on specifying the conditions for group financial support Article 23:

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| --- | --- | --- | --- | --- | --- |
|  | | | Competent authority | Complies or intends to comply | Comments |
| Member State | |  |  |  |  |
| BE | | Belgium | National Bank of Belgium | **Intends to comply\*\*** | By 1st September 2016. |
| BG | | Bulgaria | Българска народна банка (Bulgarian National Bank) | **No response** | No notification of compliance received by the EBA within the two-month time limit. |
| CZ | | Czech Republic | Česká Národni Banka (Czech National Bank) | **Intends to comply\*\*** | By the day when the legislation implementing the Directive 2014/59/EU (BRRD) in the Czech Republic becomes effective. |
| DK | | Denmark | Finanstilsynet (Danish Financial Supervisory Authority) | **Yes** | As at 05.02.2016 – notification date. |
| DE | | Germany | *Bundesanstalt für Finanzdienstleistungsaufsicht (Federal Financial Supervisory Authority)* | **Yes** | As at 03.02.2016 – notification date. |
| EE | | Estonia | *Finantsinspektsioon (Financial Supervision Authority)* | **Yes** | As at 08.02.2016 (Notification date). |
| IE | | *Irel*and | *Central Bank of Ireland* | **Intends to comply\*\*** | By the application date of the Guidelines. |
| EL | | Greece | Τράπεζα της Ελλάδος (Bank of Greece) | **Intends to comply\*\*** | By 1st March 2016 |
| HR | | Croatia | National Bank of Croatia | **Intends to comply\*\*** | By 30.06.2016. There is currently no support agreement or the notified intention to conclude one in the near future by any of the Croatian banks. Furthermore EBA/RTS/2015/08 specifying the remaining conditions for group financial support under Article 23 of Directive 2014/59/EU has not yet been endorsed by the European Commission. Nevertheless, Croatian national bank intends to implement this guidelines in the sub-legislative act by 30. 06. 2016. |
| ES | | Spain | Banco de España (Bank of Spain) | **Intends to comply\*\*** | By 09.02.2016. Banco de España wishes to inform EBA that the Fund for orderly Bank Restructuring (FROB) has also adopted the Guidelines as the Authority Responsible for the execution phase of resolution, as far as it affects its competencies. |
| FR | | France | Autorité de Contrôle Prudentiel et de Résolution (Prudential Supervisory & Resolution Authority) | **Intends to comply\*\*** | By 31st December 2015 |
| IT | | Italy | Banca d'Italia (Bank of Italy) | **Intends to comply\*\*** | By 31.12.2016 |
| CY | | Cyprus | Κεντρική Τράπεζα της Κύπρου (Central Bank of Cyprus) | **Intends to comply\*\*** | By 31.03.2016 |
| LV | | Latvia | Finanšu un Kapitāla tirgus Komisija (Financial and Capital Market Commission) | **Intends to comply\*\*** | By 30.06.2016. |
| LT | | Lithuania | Lietuvos Bankas (Bank of Lithuania) | **Yes** | Updated: 25.01.2016 – As at 25th January 2016 (Notification date) |
| LU | | Luxembourg | Commission de Surveillance du Secteur Financier (Commission for the Supervision of Financial Sector) | **Yes** | As at 8th February 2016. (Notification date). |
| HU | | Hungary | Magyar Nemzeti Bank (National Bank of Hungary) | **Intends to comply\*\*** | By 30.04.2016. |
| MT | | Malta | Malta Financial Services Authority | **Yes** | Date of notification: 20/03/2023 – new notification received affirming they comply with the guidelines, although they already complied with them. Date of notification: 07/03/2023. Complies as of the date of notification. Guidelines have been transposed through an update in Banking Rule BR/20 on Recovery Plans for Credit Institutions Authorised under the Banking Act. By such time as the necessary legislative or regulatory proceedings have been completed. The provisions of these guidelines shall be partly implemented in a new rule issued under the Banking Act, Cap. 371 of the Laws of Malta. Furthermore, these guidelines shall be incorporated in the Competent Authority’s operations manual. |
| NL | | Netherlands | De Nederlandsche Bank (National Bank of Netherlands) | **Yes** | As at 29.01.2016 – notification date |
| AT | | Austria | Finanzmarktaufsicht (Financial Market Authority) | **Intends to comply\*\*** | By 09.02.2016 |
| PL | | Poland | Komisja Nadzoru Finansowego (Polish Financial Supervision Authority) | **Intends to comply\*\*** | PFSA intends to comply with the GL after BRRD implementation. |
| PT | | Portugal | Banco de Portugal (Bank of Portugal) | **Intends to comply\*\*** | By February 2016. |
| RO | | Romania | Banca Naţională a României (National Bank of Romania) | **Yes** | As at 11.02.2016 – notification date. |
| SI | | Slovenia | Banka Slovenije (Bank of Slovenia) | **Yes** | As at 27.02.2016, notification date. |
| SK | | Slovakia | Národná Banka Slovenska (National Bank of Slovakia) | **Yes** | As at 02.02.2016 – notification date. |
| FI | | Finland | Finanssivalvonta (Finnish Financial Supervisory Authority) | **Intends to comply\*\*** | By 09.02.2016 |
| SE | | Sweden | Finansinspektionen (Swedish Financial Supervisory Authority | **Intends to comply\*\*** | During 2016 |
| UK | | United Kingdom | Prudential Regulation Authority (PRA) | **Yes** | As at 8th February 2016. (Notification date). |
| Financial Conduct Authority (FCA) | **Yes** | As at 14.01.2016 – notification date. |
| EU Institutions - Agencies | | | | | |
| ECB | ECB | | ECB | **Yes** | As at 05.02.2016 – notification date. |
| EEA – EFTA State | | | | | |
| IS | Iceland | | Fjármálaeftirlitið (Icelandic Financial Supervisory Authority - FME) | **Intends to comply\*\*** | By December 2016. BRRD has not yet been incorporated into the EEA Agreement. Nonetheless, implementation of BRRD is in progress. The Ministry of Finance and Economic Affairs, The FME and The Central bank of Iceland are involved in that work. The EEA EFTA States remain engaged in a dialogue with the EU on how their participation in the European System of Financial Supervision will be secured.  The designation of the Resolution Authority in Iceland remains to be decided. A public authority closely related to our authority is likely to be appointed as the resolution authority in Iceland. Hence, Fjármálaeftirlitið (the FSA in Iceland) will be the contact authority of the Resolution Authority in Iceland. |
| LI | Liechtenstein | | Finanzmarktaufsicht - FMA (Financial Market Authority) | **Intends to comply\*\*** | By the date on which the BRRD is incorporated into the EEA Agreement ~~The Guidelines refer to Directive 2014/59/EU, establishing a recovery and resolution framework in the European Union. The Principality of Liechtenstein intends to implement this Directive on January 1, 2017.~~ The Guidelines refer to Directive 2014/59/EU (BRRD). While the national BRRD legislation is already in force in the Principality of Liechtenstein, the BRRD has not yet been incorporated into the EEA Agreement. As soon as the BRRD is part of the EEA Agreement, the Financial Market Authority Liechtenstein will update its notifications regarding eh BRRD Level III-acts. |
| NO | Norway | | Finanstilsynet (Norwegian Financial Supervisory Authority | **Intends to comply\*\*** | 08.02.2016 - Awaiting a final agreement on the implementation of the ESAs’ regulations into the EEA agreement, the BRRD has not yet been incorporated into the EEA agreement, nor has a resolution authority in Norway yet been appointed. As soon as the relevant domestic legislation has been adopted and necessary decisions taken, the stance of the Norwegian resolution authority as regards compliance with this and other guidelines, will be communicated. |
| European Territories under Article 355(3) TFEU | | | | | |
| UK | United Kingdom | | Financial Services Commission (Gibraltar) | **Yes** | As at 05.02.2016 – notification date. |

\*The EEA States other than the Member States of the European Union are not currently required to notify their compliance with the EBA’s Guidelines. This table is based on information provided from those EEA States on a voluntary basis.

\*\* Please note that, in the interest of transparency, if a competent authority continues to intend to comply after the application date, it will be considered “non-compliant” unless (A) the Guidelines relate to a type of institution or instruments which do not currently exist in the jurisdiction concerned; or (B) legislative or regulatory proceedings have been initiated to bring any national measures necessary to comply with the Guidelines in force in the jurisdiction concerned.

Notes

Article 16(3) of the EBA’s Regulations requires national competent authorities to inform us whether they comply or intend to comply with each Guideline or recommendation we issue. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

The EBA endeavour to ensure the accuracy of this document, however, the information is provided by the competent authorities and, as such, the EBA cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from the EBA’s website [www.eba.europa.eu](http://www.eba.europa.eu).