Bank name: La Caixa

Bank name:			
eral Bank Data			
ection 1 - General Information	GSIB	Response	
a. General information provided by the relevant supervisory authority:	GOID	Response	
(1) Country code	1001	ES	1.a.(1)
(2) Bank name	1002	Caixa	1.a.(2)
(3) Reporting date (yyyy-mm-dd)	1003	2014-12-31	1.a.(3)
(4) Reporting currency	1004	EUR	1.a.(4)
(5) Euro conversion rate	1005	1	1.a.(5)
b. General Information provided by the reporting institution:			
(1) Reporting unit	1007	1,000	1.b.(1)
(2) Accounting standard (3) Date of public disclosure (yyyy-mm-dd)	1008 1009	IFRS	1.b.(2)
(4) Language of public disclosure	1009	2015-04-30	1.b.(3) 1.b.(4)
(5) Web address of public disclosure	1011	Spanish http://www.criteria.com/informacionparainversores/indicadoresderelev	1.b.(5)
Indicator			
ection 2 - Total Exposures	GSIB	Amount	0 -
a. Counterparty exposure of derivatives contracts	1012 1013	6,945,316	2.a.
b. Gross value of securities financing transactions (SFTs) c. Counterparty exposure of SFTs	1013	13,591,662 577,937	2.b. 2.c.
d. Other assets	1014	288,147,794	2.d.
(1) Securities received in SFTs that are recognised as assets	1015	200,147,794	2.d. 2.d.(1)
e. Total on-balance-sheet items (sum of items 2.a, 2.b, 2.c, and 2.d, minus 2.d.(1))	1017	309,262,711	2.e.
f. Potential future exposure of derivative contracts	1018	2,483,292	2.f.
g. Notional amount of off-balance-sheet items with a 0% credit conversion factor	1019	37,024,244	2.g.
(1) Unconditionally cancellable credit card commitments	1020	0	2.g.(1)
(2) Other unconditionally cancellable commitments	1021	1,928,505	2.g.(2)
h. Notional amount of off-balance-sheet items with a 20% credit conversion factor	1022	7,717,897	2.h.
i. Notional amount of off-balance-sheet items with a 50% credit conversion factor	1023	29,879,471	2.i.
j. Notional amount of off-balance-sheet items with a 100% credit conversion factor	1024	1,447,793	2.j.
k. Total off-balance-sheet items (sum of items 2.f, 2.g, and 2.h through 2.j, minus 0.9 times the sum of		70.047.040	
items 2.g.(1) and 2.g.(2))	1025	76,817,042	2.k.
Entities consolidated for accounting purposes but not for risk-based regulatory purposes:     (1) On-balance-sheet assets	1026	3,637,705	2.l.(1)
(2) Potential future exposure of derivatives contracts	1026	0	2.1.(1)
(3) Unconditionally cancellable commitments	1028	0	2.1.(3)
(4) Other off-balance-sheet commitments	1029	57,032	2.1.(4)
(5) Investment value in the consolidated entities	1030	947,424	2.1.(5)
m. Regulatory adjustments	1031	12,154,259	2.m.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum			
	1031	12,154,259 376,672,805	2.m. 2.n.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)			
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators	1032	376,672,805	
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators	1032 GSIB	376,672,805	2.n.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  ection 3 - Intra-Financial System Assets	1032	376,672,805	
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions	1032 GSIB 1033	376,672,805  Amount 446,616	2.n. 3.a.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit	1032 GSIB 1033 1034	Amount 446,616 0	2.n. 3.a. 3.a.(1)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions	GSIB 1033 1034 1035	Amount 446.616 0 35.529 50.965	2.n. 3.a. 3.a.(1)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:	1032 GSIB 1033 1034 1035	Amount 446.616 0 35.529	3.a. 3.a.(1) 3.b.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators  **Connectedness Indicators  **Section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities	1032 GSIB 1033 1034 1035 1036 1037 1038	Amount  446,616 0 35,529  50,965 2,039,698 31,819	3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators**  **ection 3 - Intra-Financial System Assets**  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper	1032 GSIB 1033 1034 1035 1036 1037 1038	Amount  446,616 0 35,529 50,965 2,039,698 31,819 0	3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities	1032 GSIB 1033 1034 1035 1036 1037 1038 1039	Amount  446.616 0 35.529  50.965 2,039.698 31,819 0 8,046,346	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)	1032 GSIB 1033 1034 1035 1036 1037 1038 1039 1040	Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators  **Connectedness Indicators  **Section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions	1032 GSIB 1033 1034 1035 1036 1037 1038 1039	Amount  446.616 0 35.529  50.965 2,039.698 31,819 0 8,046,346	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:	1032 GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041	Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value	1032 GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	Amount  446.616 0 35.529 50.965 2,039.698 31,819 0 8,046,346 0 257,348	2.n.  3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  connectedness Indicators  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure	1032 GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041	Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  Connectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value	1032 GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042	Amount  446.616 0 35.529 50.965 2,039.698 31,819 0 8,046,346 0 257,348	2.n.  3.a. 3.a.(1) 3.b. 3.c.(1) 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators  **Connectedness Indicators  **Section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financial institutions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2),	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042 1043 1044	Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  connecte	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044  GSIB	Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d.  3.e.(1) 3.e.(2) 3.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-Financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046	Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358  12,730,193  Amount	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financial institutions that have a net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  ection 4 - Intra-Financial System Liabilities  a. Deposits due to depository institutions  b. Deposits due to non-depository financial institutions	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046 1047	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358  12,730,193   Amount  962,362 16,171,151	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  certion 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to depository institutions c. Unused portion of committed lines obtained from other financial institutions	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046 1047 1048	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount 962,362 16,171,151 7,233,721	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators**  **Connectedness	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046 1047	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358  12,730,193   Amount  962,362 16,171,151	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities  a. Deposits due to depository institutions  b. Deposits due to non-depository financial institutions  c. Unused portion of committed lines obtained from other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046 1047 1048 1049	Amount  446.616 0 35.529  50.965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount 962,362 16,171,151 7,233,721 825,538	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  Connectedness Indicators  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to non-depository financial institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions c. Over-the-counter derivatives with other financial institutions with other financial institutions (1) Net negative fair value	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046 1047 1048 1049	Amount  Amount  446,616  0  35,529  50,965  2,039,698  31,819  0  8,046,346  0  257,348  114,4515  1,667,358  12,730,193  Amount  962,362  16,171,151  7,233,721  825,538	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  connectedness Indicators  section 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities  a. Deposits due to depository institutions  b. Deposits due to non-depository financial institutions  c. Unused portion of committed lines obtained from other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:	1032  GSIB 1033 1034 1035  1036 1037 1038 1039 1040 1041 1042  1043 1044  1045  GSIB 1046 1047 1048 1049	Amount  446.616 0 35.529  50.965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount 962,362 16,171,151 7,233,721 825,538	3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators**  **An Endodated System Assets**  **A. Funds deposited with or lent to other financial institutions**  (1) Certificates of deposite	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048 1049	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount  962,362 16,171,151 7,233,721 825,538 114,496 370,858	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.  4.e.(1) 4.e.(2)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  Connectedness Indicators  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to depository financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions with other financial institutions f. Net negative fair value (2) Potential future exposure f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045 GSIB 1046 1047 1048 1049	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount  962,362 16,171,151 7,233,721 825,538 114,496 370,858	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.  4.e.(1) 4.e.(2)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  **Connectedness Indicators**  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to depository institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions with other financial institutions f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045  GSIB 1046 1047 1048 1049 1050 1051 1052	Amount  Amount  446,616  0  35,529  50,965  2,039,698  31,819  0  8,046,346  0  257,348  1154,515  1,667,358  12,730,193  Amount  962,362  16,171,151  7,233,721  825,538  114,496  370,858  25,678,127	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.  4.e.(1) 4.e.(2)
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  Connectedness Indicators  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions c. Holdings of securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions b. Deposits due to depository financial institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions that have a net negative fair value: (1) Net negative fair value (2) Potential future exposure f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045  GSIB 1046 1047 1048 1049 1050 1051 1052	Amount  Amount  446.616 0 35.529 50.965 2,039.698 31,819 0 8,046,346 0 257,348 154,515 1,667,358 12,730,193  Amount 962,362 16,171,151 7,233,721 825,388 114,496 370,858 25,678,127  Amount  Amount 33,835,605 9,248,640	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d.  4.e.(1) 4.e.(2) 4.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  Connectedness Indicators  a. Funds deposited with or lent to other financial institutions (1) Certificates of deposit b. Unused portion of committed lines extended to other financial institutions (1) Secured debt securities issued by other financial institutions: (1) Secured debt securities (2) Senior unsecured debt securities (3) Subordinated debt securities (4) Commercial paper (5) Equity securities (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5) d. Net positive current exposure of securities financial institutions that have a net positive fair value: (1) Net positive fair value (2) Potential future exposure f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  section 4 - Intra-Financial System Liabilities a. Deposits due to depository institutions c. Unused portion of committed lines obtained from other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions d. Net negative current exposure of securities financing transactions with other financial institutions e. Over-the-counter derivatives with other financial institutions f. Intra-financial system Liabilities indicator (sum of items 4.a through 4.e.(2))	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045  GSIB 1046 1047 1048 1049  GSIB 1050 1051 1052	Amount  Amount  Amount  446,616  0  35,529  50,965  2,039,698  31,819  0  8,046,346  0  257,348  154,515  1,667,358  12,730,193   Amount  962,362  16,171,151  7,233,721  825,538  114,496  370,858  25,678,127   Amount  33,835,605  9,248,640  8,972,796	2.n.  3.a. 3.a.(1) 3.b.  3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d.  3.e.(1) 3.e.(2) 4.f.  4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  reconnectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  ection 4 - Intra-Financial System Liabilities  a. Deposits due to depository institutions  b. Deposits due to non-depository financial institutions  c. Unused portion of committed lines obtained from other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:  (1) Net negative fair value  (2) Potential future exposure  f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045  GSIB 1046 1047 1048 1049  GSIB 1050 1051 1052	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount  962,362 16,171,151 7,233,721 825,538 114,496 370,858 25,678,127  Amount 33,835,605 9,248,640 8,972,796 8,972,796 8,972,796	2.n.  3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 4.f.  4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.  5.a. 5.b. 5.c. 5.d.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  reconnectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  ection 4 - Intra-Financial System Liabilities  a. Deposits due to depository institutions  b. Deposits due to depository institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:  (1) Net negative fair value  (2) Potential future exposure  f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	GSIB 1036 1037 1038 1039 1040 1041 1042 1045 GSIB 1046 1047 1048 1049 1050 1051 1052	Amount  Amount  446.616 0 35.529 50.965 2,039,698 31,819 0 8,046,346 0 257,348 154,515 1,667,358 12,730,193  Amount 962,362 16,171,151 7,233,721 825,538 25,678,127  Amount 33,835,605 9,248,640 8,972,796 226,928	2.n.  3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.d. 3.e.(2) 3.f.  4.a. 4.b. 4.c. 4.d. 4.e.(2) 4.f.  5.a. 5.b. 5.c. 5.d. 5.e.
n. Total exposures indicator (sum of items 2.e, 2.k, 2.l.(1), 2.l.(2), 0.1 times 2.l.(3), 2.l.(4), minus the sum of items 2.l.(5) and 2.m)  reconnectedness Indicators  ection 3 - Intra-Financial System Assets  a. Funds deposited with or lent to other financial institutions  (1) Certificates of deposit  b. Unused portion of committed lines extended to other financial institutions  c. Holdings of securities issued by other financial institutions:  (1) Secured debt securities  (2) Senior unsecured debt securities  (3) Subordinated debt securities  (4) Commercial paper  (5) Equity securities  (6) Offsetting short positions in relation to the specific equity securities included in item 3.c.(5)  d. Net positive current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net positive fair value:  (1) Net positive fair value  (2) Potential future exposure  f. Intra-financial system assets indicator (sum of items 3.a, 3.b through 3.c.(5), 3.d, 3.e.(1), and 3.e.(2), minus 3.c.(6))  ection 4 - Intra-Financial System Liabilities  a. Deposits due to depository institutions  b. Deposits due to non-depository financial institutions  c. Unused portion of committed lines obtained from other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  d. Net negative current exposure of securities financing transactions with other financial institutions  e. Over-the-counter derivatives with other financial institutions that have a net negative fair value:  (1) Net negative fair value  (2) Potential future exposure  f. Intra-financial system liabilities indicator (sum of items 4.a through 4.e.(2))	1032  GSIB 1033 1034 1035 1036 1037 1038 1039 1040 1041 1042 1043 1044 1045  GSIB 1046 1047 1048 1049  GSIB 1050 1051 1052	Amount  Amount  446,616 0 35,529  50,965 2,039,698 31,819 0 8,046,346 0 257,348  154,515 1,667,358 12,730,193  Amount  962,362 16,171,151 7,233,721 825,538 114,496 370,858 25,678,127  Amount 33,835,605 9,248,640 8,972,796 8,972,796 8,972,796	2.n.  3.a. 3.a.(1) 3.b. 3.c.(2) 3.c.(3) 3.c.(4) 3.c.(5) 3.c.(6) 3.d. 3.e.(1) 3.e.(2) 4.f.  4.a. 4.b. 4.c. 4.d. 4.e.(1) 4.e.(2) 4.f.  5.a. 5.b. 5.c. 5.d.

Bank name: La Caixa

ection 1 - General Information			GSIB	Response	
a. General information provided by the relevant supervis	ory authority:				
(1) Country code			1001	ES	1.a.(1
(2) Bank name			1002	Caixa	1.a.(2
(3) Reporting date (yyyy-mm-dd)			1003	2014-12-31	1.a.(3
(4) Reporting currency			1004	EUR	1.a.(4
(5) Euro conversion rate			1005	1	1.a.(5
b. General Information provided by the reporting instituti	on:				
(1) Reporting unit			1007	1,000	1.b.(
(2) Accounting standard			1008	IFRS	1.b.(2
(3) Date of public disclosure (yyyy-mm-dd)			1009	2015-04-30	1.b.(
(4) Language of public disclosure (5) Web address of public disclosure			1010	Spanish http://www.criteria.com/informacionparainversores/indicadoresderelev	1.b.(4
(5) Web address of public disclosure			1011	Integative Solicina Committee and Committee	1.b.(
stitutability/Financial Institution Infrastructur	e Indicators				
testion 6. Payments made in the reporting year	Papartad	Amount in specified			
ection 6 - Payments made in the reporting year excluding intragroup payments)	Reported in	Amount in specified currency	GSIB	Amount	
a. Australian dollars	AUD	AUD 3,987,846	1061	2,711,332	6.a.
a. Australian dollars b. Brazilian real	BRL	AUD 3,987,846 BRL 0	1061	2,711,332	6.a. 6.b.
	CAD	CAD 9,323,205			
c. Canadian dollars d. Swiss francs			1063	6,364,318	6.c.
	CHF	CHF 13,142,376	1064	10,820,660	6.d.
e. Chinese yuan	CNY	CNY 302,016	1065	36,963	6.e.
f. Euros	EUR	EUR 1,820,746,121	1066	1,820,746,121	6.f.
g. British pounds	GBP	GBP 49,568,273	1067	61,517,218	6.g.
h. Hong Kong dollars	HKD	HKD 3,144,883	1068	305,748	6.h.
i. Indian rupee	INR	INR 380,482	1069	4,701	6.i.
j. Japanese yen	JPY	JPY 2,520,420,995	1070	17,972,903	6.j.
k. Swedish krona	SEK	SEK 15,024,955	1071	1,651,986	6.k.
I. United States dollars	USD	USD 1,016,979,242	1072	766,741,889	6.I.
m. Payments activity indicator (sum of items 6.a through	ı 6.l)		1073	2,688,873,837	6.m.
a. Assets under custody indicator			GSIB 1074	Amount 97,896,361	7.a.
·			1074	97,896,361	7.a.
Section 8 - Underwritten Transactions in Debt and Equi	ty Markets		1074 GSIB	97,896,361 Amount	
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity	ty Markets		1074 GSIB 1075	97,896,361 Amount 31,160	8.a.
section 8 - Underwritten Transactions in Debt and Equi			1074 GSIB	97,896,361 Amount	
ection 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8			1074 GSIB 1075 1076	97,896,361  Amount  31,160 72,000	8.a. 8.b.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators	.b)		1074 GSIB 1075 1076 1077	97,896,361  Amount  31,160  72,000  103,160	8.a. 8.b.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators	.b)		GSIB 1075 1076 1077	Amount 31,160 72,000 103,160	8.a. 8.b. 8.c.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar	.b)		GSIB 1075 1076 1077 GSIB 1078	Amount 31,160 72,000 103,160 Amount 168,893,684	8.a. 8.b. 8.c.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8  mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally	.b)		GSIB 1075 1076 1077 GSIB 1078 1079	Amount  31,160  72,000 103,160  Amount  168,893,684 285,763,843	8.a. 8.b. 8.c. 9.a. 9.b.
section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpar	.b)		GSIB 1075 1076 1077 GSIB 1078	Amount 31,160 72,000 103,160 Amount 168,893,684	8.a. 8.b. 8.c.
Section 8 - Underwritten Transactions in Debt and Equital a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)	.b)		GSIB 1075 1076 1077 GSIB 1078 1079 1080	Amount  31,160 72,000 103,160  Amount 168,893,684 285,763,843 454,657,527	8.a. 8.b. 8.c. 9.a. 9.b.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities	.b)		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080	Amount  Amount  31,160  72,000  103,160  Amount  168,893,684  285,763,843  454,657,527	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
isection 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators  isection 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  isection 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT)	.b)		GSIB 1075 1076 1077 1077 GSIB 1079 1080	Amount  Amount  31,160  72,000 103,160  Amount  168,893,684 285,763,843 454,657,527  Amount  2,082,389	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
isection 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators  section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b) section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS)	Derivatives		GSIB 1075 1076 1077 1077 GSIB 1079 1080 GSIB 1081 1081	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of	Derivatives by  Level 1 assets		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933	9.a. 9.b. 9.c.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities that meet the definition of d. Trading and AFS securities that meet the definition of	Derivatives ty  Level 1 assets Level 2 assets, w		GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543	9.a. 9.b. 9.c.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of	Derivatives ty  Level 1 assets Level 2 assets, w		GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10	Derivatives ty  Level 1 assets Level 2 assets, w		GSIB 1075 1076 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582	8.a. 8.b. 8.c. 9.a. 9.b. 9.c.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (hAFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets	Derivatives by Level 1 assets Level 2 assets, w.a. and 10.b, minu	is the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 inplexity indicators  section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities indicator (sum of items 10 in	Derivatives by Level 1 assets Level 2 assets, w.a. and 10.b, minu	is the sum of 10.c and 10.d)	GSIB 1075 1076 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582	9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3	Derivatives by Level 1 assets Level 2 assets, w.a. and 10.b, minu	is the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 DSS-Jurisdictional Activity Indicators	Derivatives by Level 1 assets Level 2 assets, w.a. and 10.b, minu	is the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582  Amount  965,353	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10  Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3  DESS-Jurisdictional Activity Indicators  Section 12 - Cross-Jurisdictional Claims	Derivatives by  Level 1 assets Level 2 assets, w .a and 10.b, minumeasurement inp	us the sum of 10.c and 10.d)	GSIB 1075 1076 1077  GSIB 1078 1079 1080  GSIB 1081 1082 1083 1084 1085  GSIB 1086	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e. 11.a.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10 Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3 Ses-Jurisdictional Activity Indicators	Derivatives by  Level 1 assets Level 2 assets, w .a and 10.b, minumeasurement inp	us the sum of 10.c and 10.d)	GSIB 1075 1076 1077 1077 GSIB 1078 1079 1080 GSIB 1081 1082 1083 1084 1085	Amount  Amount  Amount  168,893,684  285,763,843  454,657,527  Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582  Amount  965,353	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10  Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3  DESS-Jurisdictional Activity Indicators  Section 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign clai	Derivatives by  Level 1 assets Level 2 assets, w .a and 10.b, minumeasurement inp	us the sum of 10.c and 10.d)	GSIB 1075 1076 1077  GSIB 1078 1079 1080  GSIB 1081 1082 1083 1084 1085  GSIB 1086	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e. 11.a.
Section 8 - Underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities that meet the definition of e. Trading and AFS securities that meet the definition of section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3  Section 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign clai	Derivatives by  Level 1 assets Level 2 assets, w .a and 10.b, minumeasurement inp	us the sum of 10.c and 10.d)	GSIB 1075 1076 1077  GSIB 1078 1079 1080  GSIB 1081 1082 1083 1084 1085  GSIB 1086	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10  Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3  DESS-Jurisdictional Activity Indicators  Section 12 - Cross-Jurisdictional Claims	Derivatives by  Level 1 assets Level 2 assets, w Level 2 assets, w Level 2 assets and 10.b, minument inp	uts)	GSIB 1076 1077 1076 1077 1080 GSIB 1080 GSIB 1081 1082 1083 1084 1085 GSIB 1086	Amount  Amount  Amount  Amount  168,893,684  285,763,843  454,657,527   Amount  2,082,389  28,753,669  24,920,933  2,134,543  3,780,582   Amount  965,353	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e. 11.a.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 implexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (AFS) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10  Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3  DESS-Jurisdictional Activity Indicators  Section 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign clai	Derivatives by  Level 1 assets Level 2 assets, w .a and 10.b, minumeasurement inp	uts)	GSIB 1076 1077  GSIB 1078 1079 1080  GSIB 1081 1082 1083 1084 1085  GSIB 1086  GSIB 1086	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e. 11.a. 12.a. 13.a.
a. Equity underwritten Transactions in Debt and Equit a. Equity underwriting activity b. Debt underwriting activity c. Underwriting activity c. Underwriting activity indicator (sum of items 8.a and 8 mplexity indicators  Section 9 - Notional Amount of Over-the-Counter (OTC) a. OTC derivatives cleared through a central counterpart b. OTC derivatives settled bilaterally c. OTC derivatives indicator (sum of items 9.a and 9.b)  Section 10 - Trading and Available-for-Sale Securities a. Held-for-trading securities (HFT) b. Available-for-sale securities (HFT) c. Trading and AFS securities that meet the definition of d. Trading and AFS securities that meet the definition of e. Trading and AFS securities indicator (sum of items 10  Section 11 - Level 3 Assets a. Level 3 assets indicator (Assets valued using Level 3  Ses-Jurisdictional Activity Indicators  Section 12 - Cross-Jurisdictional Claims a. Cross-jurisdictional claims indicator (Total foreign clai  Section 13 - Cross-Jurisdictional Liabilities a. Foreign liabilities (excluding derivatives and local liabilities	Level 1 assets Level 2 assets, w.a. and 10.b, minumeasurement inp	uts)	GSIB 1075 1076 1077  GSIB 1078 1079 1080  GSIB 1081 1082 1083 1084 1085  GSIB 1086  GSIB 1086	Amount	8.a. 8.b. 8.c. 9.a. 9.b. 9.c. 10.a. 10.b. 10.c. 10.d. 10.e. 11.a.

Ancillary Data